

## Voting summary

September 2020

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Avenue Supermarts Limited

**Meeting Date:** 09/01/2020

**Country:** India

**Meeting Type:** Annual

**Ticker:** 540376

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	For	For
2	Reelect Ramakant Baheti as Director	For	Against
3	Reelect Chandrashekhar Bhawe as Director	For	Against
4	Approve Reappointment and Remuneration of Ignatius Navil Noronha as Managing Director and Designated as Chief Executive Officer	For	For
5	Approve Reappointment and Remuneration of Elvin Machado as Whole-time Director	For	Against

### Custodian REIT PLC

**Meeting Date:** 09/01/2020

**Country:** United Kingdom

**Meeting Type:** Annual

**Ticker:** CREI

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	For	For
2	Approve Remuneration Policy	For	For
3	Approve Remuneration Report	For	For
4	Elect Hazel Adam as Director	For	For
5	Re-elect Barry Gilbertson as Director	For	For
6	Re-elect David Hunter as Director	For	For
7	Re-elect Ian Mattioli as Director	For	For
8	Re-elect Matthew Thorne as Director	For	For
9	Reappoint Deloitte LLP as Auditors	For	For
10	Authorise Board to Fix Remuneration of Auditors	For	For
11	Authorise Issue of Equity	For	For
12	Adopt the Amended Investment Policy	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Custodian REIT PLC

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
13	Authorise Issue of Equity without Pre-emptive Rights	For	For
14	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	For	For
15	Authorise Market Purchase of Ordinary Shares	For	For
16	Authorise the Company to Call General Meeting with Two Weeks' Notice	For	For

### Haier Smart Home Co., Ltd.

Meeting Date: 09/01/2020

Country: China

Meeting Type: Special

Ticker: 600690

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF A SHARES		
1	Approve Major Asset Restructure Complies with Relevant Laws and Regulations	For	For
	APPROVE SPECIFIC PLAN FOR MAJOR ASSET RESTRUCTURE		
2.1	Approve Transaction Overview	For	For
2.2	Approve Regulatory Approval	For	For
2.3	Approve Transaction Parties	For	For
2.4	Approve Target Assets	For	For
2.5	Approve Underlying Asset Valuation and Transaction Price	For	For
2.6	Approve Issue Type and Par Value	For	For
2.7	Approve Listing Location	For	For
2.8	Approve Issue Period	For	For
2.9	Approve Target Parties	For	For
2.10	Approve Pricing Reference Date, Issue Price and Pricing Manner of H Shares	For	For
2.11	Approve Issue Scale of H Shares	For	For
2.12	Approve Conversion Ratio	For	For
2.13	Approve Cash Payment Consideration	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Haier Smart Home Co., Ltd.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
2.14	Approve Fragment Stock Processing Method	For	For
2.15	Approve Distribution Arrangement of Undistributed Earnings	For	For
2.16	Approve Lock-up Period Arrangement	For	For
2.17	Approve Resolution Validity Period	For	For
3	Approve Transaction Constitutes as Related Party Transactions	For	For
4	Approve Transaction Constitutes as Major Asset Restructure	For	For
5	Approve Transaction Does Not Constitutes as Reorganization and Listing	For	For
6	Approve Draft and Summary Major Asset Acquisition and Related Party Transaction	For	For
7	Approve Transaction Complies with Article 11 of the Administrative Measures for the Material Asset Reorganizations of Listed Companies and Article IV of Provisions on Issues Concerning Regulating the Material Asset Reorganizations of Listed Companies	For	For
8	Approve Relevant Audit Report, Review Report of the Transaction	For	For
9	Approve Relevant Audit Report of Underlying Assets of Transaction and Review Report	For	For
10	Approve Valuation Reports Related to Underlying Assets of Transaction	For	For
11	Approve Independence of Appraiser, the Validity of Hypothesis, the Relevance of Valuation Purpose and Approach as Well as the Fairness of Pricing	For	For
12	Approve Completeness and Compliance of Implementation of Legal Proceedings of the Transactions and Validity of the Submitted Legal Documents Regarding this Transaction	For	For
13	Approve Impact of Dilution of Current Returns on Major Financial Indicators and the Relevant Measures to be Taken	For	For
14	Approve Report on the Deposit and Usage of Raised Funds	For	For
15	Approve Shareholder Return Plan	For	For
16	Approve Amendments to Articles of Association of H Shares	For	For
17	Amend Rules and Procedures Regarding General Meetings of Shareholders of H Shares	For	For
18	Amend Rules and Procedures Regarding Meetings of Board of Directors of H Shares	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Haier Smart Home Co., Ltd.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
19	Amend Rules and Procedures Regarding Meetings of Board of Supervisors of H Shares	For	For
20	Amend Management System of Raised Funds of H Shares	For	For
21	Amend Related Party Transaction Fair Decision Making System of H Shares	For	For
22	Amend Working System for Independent Directors of H Shares	For	For
23	Amend Investment Management System of H Shares	For	For
24	Amend External Guarantee Management System of H Shares	For	For
25	Amend Confidentiality and File Management System Related to Overseas Securities Issuance and Listing of H Shares	For	For
26	Approve Authorization on Daily Related Party Transaction	For	Against
27	Approve Authorization of the Board to Handle All Related Matters	For	For
28	Approve Adjustment Plan on Exchangeable Bonds Issued by Company Through its Overseas Wholly-owned Subsidiaries	For	For
29	Approve Transfer of Equity	For	For

### Haier Smart Home Co., Ltd.

Meeting Date: 09/01/2020

Country: China

Meeting Type: Special

Ticker: 600690

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	CLASS MEETING FOR HOLDERS OF A SHARES		
	APPROVE SPECIFIC PLAN FOR MAJOR ASSET RESTRUCTURE		
1.1	Approve Transaction Overview	For	For
1.2	Approve Regulatory Approval	For	For
1.3	Approve Transaction Parties	For	For
1.4	Approve Target Assets	For	For
1.5	Approve Underlying Asset Valuation and Transaction Price	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Haier Smart Home Co., Ltd.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1.6	Approve Issue Type and Par Value	For	For
1.7	Approve Listing Location	For	For
1.8	Approve Issue Period	For	For
1.9	Approve Target Parties	For	For
1.10	Approve Pricing Reference Date, Issue Price and Pricing Manner of H Shares	For	For
1.11	Approve Issue Scale of H Shares	For	For
1.12	Approve Conversion Ratio	For	For
1.13	Approve Cash Payment Consideration	For	For
1.14	Approve Fragment Stock Processing Method	For	For
1.15	Approve Distribution Arrangement of Undistributed Earnings	For	For
1.16	Approve Lock-up Period Arrangement	For	For
1.17	Approve Resolution Validity Period	For	For
2	Approve Adjustment Plan on Exchangeable Bonds Issued by Company Through its Overseas Wholly-owned Subsidiaries	For	For

### Hammerson Plc

Meeting Date: 09/01/2020

Country: United Kingdom

Meeting Type: Special

Ticker: HMSO

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Matters Relating to the Sale by the Group of its Aggregate 50% Interest in VIA Outlets Joint Venture	For	For
2	Authorise Issue of Equity in Connection with the Rights Issue	For	For
3	Authorise Issue of Equity without Pre-emptive Rights in Connection with the Rights Issue	For	For
4	Approve Capital Reorganisation	For	For
5	Amend Articles of Association	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Sangfor Technologies, Inc.

**Meeting Date:** 09/01/2020

**Country:** China

**Meeting Type:** Special

**Ticker:** 300454

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Draft and Summary of Performance Shares Incentive Plan	For	Against
2	Approve Methods to Assess the Performance of Plan Participants	For	Against
3	Approve Authorization of the Board to Handle All Related Matters	For	Against
4	Amend Articles of Association	For	For
5	Approve Merger by Absorption	For	For
6	Approve Increase of Provision of Loan to Employees	For	For

### Casey's General Stores, Inc.

**Meeting Date:** 09/02/2020

**Country:** USA

**Meeting Type:** Annual

**Ticker:** CASY

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1a	Elect Director H. Lynn Horak	For	For
1b	Elect Director Diane C. Bridgewater	For	For
1c	Elect Director David K. Lenhardt	For	For
1d	Elect Director Darren M. Rebelez	For	For
1e	Elect Director Larree M. Renda	For	For
1f	Elect Director Judy A. Schmeling	For	For
1g	Elect Director Allison M. Wing	For	For
2	Ratify KPMG LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

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### Centrais Eletricas Brasileiras SA

**Meeting Date:** 09/02/2020

**Country:** Brazil

**Meeting Type:** Special

**Ticker:** ELET6

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Sale of 78 Percent Stake in Sociedade de Proposito Especifico Santa Vitoria do Palmar S/A to Omega Geracao SA	For	For
2	Approve Sale of 99.99 Percent Stake in Sociedades de Proposito Especifico Hermenegildo I S/A, Hermenegildo II S/A, Hermenegildo III S/A and Chui IX S/A to Omega Geracao SA	For	For

### Changzhou Xingyu Automotive Lighting Systems Co. Ltd.

**Meeting Date:** 09/02/2020

**Country:** China

**Meeting Type:** Special

**Ticker:** 601799

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Use of Idle Raised Funds for Cash Management	For	For
2	Approve Amendments to Articles of Association	For	For

### Guangzhou Kingmed Diagnostics Group Co., Ltd.

**Meeting Date:** 09/02/2020

**Country:** China

**Meeting Type:** Special

**Ticker:** 603882

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	APPROVE DRAFT AND SUMMARY OF STOCK OPTION INCENTIVE PLAN		
1.1	Approve Purpose and Principles	For	Against
1.2	Approve Management Agency	For	Against
1.3	Approve Criteria to Select Plan Participants	For	Against



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### Guangzhou Kingmed Diagnostics Group Co., Ltd.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1.4	Approve Source, Quantity and Distribution	For	Against
1.5	Approve Time Arrangements	For	Against
1.6	Approve Exercise Price and Price-setting Basis	For	Against
1.7	Approve Conditions for Granting and Exercising Stock Options	For	Against
1.8	Approve Methods and Procedures to Adjust the Incentive Plan	For	Against
1.9	Approve Accounting Treatment	For	Against
1.10	Approve Implementation Procedure	For	Against
1.11	Approve Rights and Obligations of the Plan Participants and the Company	For	Against
1.12	Approve How to Implement the Restricted Stock Incentive Plan When There Are Changes for the Company and Incentive Objects	For	Against
2	Approve Formulation of Methods to Assess the Performance of Plan Participants	For	Against
3	Approve Authorization of Board to Handle All Related Matters	For	Against

### HuaAn Securities Co., Ltd.

Meeting Date: 09/02/2020

Country: China

Meeting Type: Special

Ticker: 600909

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve to Appoint Auditor	For	For
2	Amend Rules and Procedures Regarding General Meetings of Shareholders	For	For
3	Amend Working System for Independent Directors	For	For
4	Amend Rules and Procedures Regarding Meetings of Board of Supervisors	For	For
5	Approve Amendments to Articles of Association	For	Against

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### Jiangsu Zhongnan Construction Group Co., Ltd.

**Meeting Date:** 09/02/2020

**Country:** China

**Meeting Type:** Special

**Ticker:** 000961

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Guarantee Provision Plan	For	For
2	Approve Loan Provision	For	For
3	Approve Related Party Transaction in Connection to Investment in Qingdao Maorun Equity Investment Partnership (Limited Partnership)	For	For

### Kuehne + Nagel International AG

**Meeting Date:** 09/02/2020

**Country:** Switzerland

**Meeting Type:** Special

**Ticker:** KNIN

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income and Dividends of CHF 4.00 per Share	For	For
2	Transact Other Business (Voting)	For	Against

### MONETA Money Bank, a.s.

**Meeting Date:** 09/02/2020

**Country:** Czech Republic

**Meeting Type:** Annual

**Ticker:** MONET

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Meeting Procedures	For	For
2	Elect Meeting Chairman and Other Meeting Officials	For	For
3	Reelect Clare Clarke, Michal Petrman and Denis Hall as Supervisory Board Members	For	For
4	Reelect Michal Petrman and Denis Hall as Members of Audit Committee	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### MONETA Money Bank, a.s.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
5	Receive Management Board Report		
6	Receive Supervisory Board Report		
7	Receive Audit Committee Report		
8	Approve Consolidated Financial Statements	For	For
9	Approve Financial Statements	For	For
10	Approve Allocation of Income and Omission of Dividends	For	For
11	Approve Contracts on Performance of Functions with Supervisory Board Members	For	For
12	Ratify Deloitte Audit s.r.o. as Auditor	For	For
13	Approve Remuneration Policy	For	For
14	Amend Articles of Association Re: General Meeting	For	For
15	Close Meeting		

### PT Bank Negara Indonesia (Persero) Tbk

**Meeting Date:** 09/02/2020      **Country:** Indonesia  
**Meeting Type:** Special      **Ticker:** BBNI

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Changes in Boards of Company	None	Against

### Wereldhave Belgium SCA

**Meeting Date:** 09/02/2020      **Country:** Belgium  
**Meeting Type:** Annual      **Ticker:** WEHB

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	Annual Meeting Agenda		
1	Receive Consolidated Financial Statements and Statutory Reports (Non-Voting)		
2	Approve Remuneration Report	For	Against

## Vote Summary Report

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### Wereldhave Belgium SCA

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
3	Adopt Financial Statements	For	For
4	Adopt Auditors' Reports	For	For
5	Approve Financial Statements and Allocation of Income	For	For
6	Approve Discharge of Statutory Manager	For	For
7	Approve Special Discharge of Statutory Manager	For	For
8	Approve Discharge of Auditors	For	For
9.1	Elect Doris Slegtenhorst as Director	For	For
9.2	Elect Remco W.C. Langewouters as Director	For	For
9.3	Elect Edmund Wellenstein as Director	For	For
10	Authorize Implementation of Approved Resolutions and Filing of Required Documents/Formalities at Trade Registry	For	
11	Allow Questions		

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	Annual Meeting Agenda		
1	Receive Consolidated Financial Statements and Statutory Reports (Non-Voting)		
2	Approve Remuneration Report	For	Against
3	Adopt Financial Statements	For	For
4	Adopt Auditors' Reports	For	For
5	Approve Financial Statements and Allocation of Income	For	For
6	Approve Discharge of Statutory Manager	For	For
7	Approve Special Discharge of Statutory Manager	For	For
8	Approve Discharge of Auditors	For	For
9.1	Elect Doris Slegtenhorst as Director	For	For
9.2	Elect Remco W.C. Langewouters as Director	For	For
9.3	Elect Edmund Wellenstein as Director	For	For
10	Authorize Implementation of Approved Resolutions and Filing of Required Documents/Formalities at Trade Registry	For	For

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Date range covered: 09/01/2020 to 09/30/2020

### Wereldhave Belgium SCA

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
11	Allow Questions		

### Dabur India Limited

**Meeting Date:** 09/03/2020      **Country:** India  
**Meeting Type:** Annual      **Ticker:** 500096

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Accept Standalone Financial Statements and Statutory Reports	For	For
2	Accept Consolidated Financial Statements and Statutory Reports	For	For
3	Confirm Interim Dividend and Declare Final Dividend	For	For
4	Reelect Amit Burman as Director	For	For
5	Reelect Saket Burman as Director	For	For
6	Approve Remuneration of Cost Auditors	For	For

### Jinyu Bio-Technology Co., Ltd.

**Meeting Date:** 09/03/2020      **Country:** China  
**Meeting Type:** Special      **Ticker:** 600201

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	APPROVE DRAFT AND SUMMARY OF PERFORMANCE SHARES INCENTIVE PLAN		
1.1	Approve Purpose of Plan	For	Against
1.2	Approve Basis and Scope of Incentive Objects	For	Against
1.3	Approve Number and Distribution of the Underlying Stocks of this Incentive Plan	For	Against
1.4	Approve Validity Period, Grant Date, Lock-up Period, Lift-off Period and Lock-up Period of this incentive plan	For	Against
1.5	Approve Grant Price and its Determination Method	For	Against

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Jinyu Bio-Technology Co., Ltd.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1.6	Approve Conditions for Granting and Lifting of Restrictions on Sales	For	Against
1.7	Approve Adjustment Methods and Procedures	For	Against
1.8	Approve Accounting Treatment Method	For	Against
1.9	Approve Change and Termination Procedures	For	Against
1.10	Approve Rights and Obligation of Company and Incentive Object	For	Against
1.11	Approve How to Implement the Restricted Stock Incentive Plan When There Are Changes for the Company and Incentive Objects	For	Against
2	Approve Methods to Assess the Performance of Plan Participants	For	Against
3	Approve Authorization of the Board to Handle All Related Matters	For	Against
4	Approve Change in Business Scope	For	For
5	Approve Amendments to Articles of Association	For	For
6	Amend Rules and Procedures Regarding General Meetings of Shareholders	For	For
7	Amend Rules and Procedures Regarding Meetings of Board of Supervisors	For	For

### Shougang Fushan Resources Group Limited

**Meeting Date:** 09/03/2020      **Country:** Hong Kong  
**Meeting Type:** Special      **Ticker:** 639

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
a	Approve Conditional Cash Offer to Buy Back Shares and Related Transactions	For	For
b	Approve Whitewash Waiver and Related Transactions	For	For

### Berkeley Group Holdings Plc

**Meeting Date:** 09/04/2020      **Country:** United Kingdom  
**Meeting Type:** Annual      **Ticker:** BKG

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Berkeley Group Holdings Plc

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Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	For	For
2	Approve Remuneration Report	For	For
3	Re-elect Glyn Barker as Director	For	For
4	Re-elect Rob Perrins as Director	For	For
5	Re-elect Richard Stearn as Director	For	For
6	Re-elect Karl Whiteman as Director	For	For
7	Re-elect Sean Ellis as Director	For	For
8	Re-elect Sir John Armitth as Director	For	For
9	Re-elect Dame Alison Nimmo as Director	For	For
10	Re-elect Veronica Wadley as Director	For	For
11	Re-elect Adrian Li as Director	For	For
12	Re-elect Andy Myers as Director	For	For
13	Re-elect Diana Brightmore-Armour as Director	For	For
14	Re-elect Justin Tibaldi as Director	For	For
15	Re-elect Paul Vallone as Director	For	For
16	Re-elect Peter Vernon as Director	For	For
17	Re-elect Rachel Downey as Director	For	For
18	Reappoint KPMG LLP as Auditors	For	For
19	Authorise the Audit Committee to Fix Remuneration of Auditors	For	For
20	Authorise Issue of Equity	For	For
21	Authorise Issue of Equity without Pre-emptive Rights	For	For
22	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	For	For
23	Authorise Market Purchase of Ordinary Shares	For	For
24	Authorise EU Political Donations and Expenditure	For	For
25	Authorise the Company to Call General Meeting with Two Weeks' Notice	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Bouygues SA

**Meeting Date:** 09/04/2020

**Country:** France

**Meeting Type:** Special

**Ticker:** EN

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	Ordinary Business		
1	Approve Dividends of EUR 1.70 per Share	For	For
2	Approve Remuneration Policy of Corporate Officers	For	Against
3	Authorize Filing of Required Documents/Other Formalities	For	For

### Halma Plc

**Meeting Date:** 09/04/2020

**Country:** United Kingdom

**Meeting Type:** Annual

**Ticker:** HLMA

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	For	For
2	Approve Final Dividend	For	For
3	Approve Remuneration Report	For	For
4	Re-elect Paul Walker as Director	For	For
5	Re-elect Andrew Williams as Director	For	For
6	Re-elect Adam Meyers as Director	For	For
7	Re-elect Daniela Barone Soares as Director	For	For
8	Re-elect Roy Twite as Director	For	For
9	Re-elect Tony Rice as Director	For	For
10	Re-elect Carole Cran as Director	For	For
11	Re-elect Jo Harlow as Director	For	For
12	Re-elect Jennifer Ward as Director	For	For
13	Re-elect Marc Ronchetti as Director	For	For
14	Reappoint PricewaterhouseCoopers LLP as Auditors	For	For



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### Halma Plc

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
15	Authorise Board to Fix Remuneration of Auditors	For	For
16	Authorise Issue of Equity	For	For
17	Authorise EU Political Donations and Expenditure	For	For
18	Authorise Issue of Equity without Pre-emptive Rights	For	For
19	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	For	For
20	Authorise Market Purchase of Ordinary Shares	For	For
21	Authorise the Company to Call General Meeting with Two Weeks' Notice	For	For
22	Adopt New Articles of Association	For	For

### InterGlobe Aviation Limited

**Meeting Date:** 09/04/2020      **Country:** India  
**Meeting Type:** Annual      **Ticker:** 539448

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	For	For
2	Reelect Rohini Bhatia as Director	For	For
3	Elect Pallavi Shardul Shroff as Director	For	For
4	Elect Venkataramani Sumantran as Director	For	For
5	Approve Appointment and Remuneration of Ronojoy Dutta as Whole Time Director and Chief Executive Officer	For	For
6	Approve Increase in Borrowing Powers	For	For
7	Approve Pledging of Assets for Debt	For	For

### ITC Limited

**Meeting Date:** 09/04/2020      **Country:** India  
**Meeting Type:** Annual      **Ticker:** 500875

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Date range covered: 09/01/2020 to 09/30/2020

### ITC Limited

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	For	For
2	Approve Dividend	For	For
3	Reelect Nakul Anand as Director	For	For
4	Reelect Rajiv Tandon as Director	For	For
5	Approve S R B C & CO LLP, Chartered Accountants as Auditors and Authorize Board to Fix Their Remuneration	For	For
6	Elect Atul Jerath as Director	For	For
7	Elect David Robert Simpson as Director	For	For
8	Reelect Nirupama Rao as Director	For	For
9	Approve Reappointment and Remuneration of Nakul Anand as Wholetime Director	For	For
10	Approve Reappointment and Remuneration of Rajiv Tandon as Wholetime Director	For	For
11	Approve Remuneration of P. Raju Iyer, Cost Accountant as Cost Auditors	For	For
12	Approve Remuneration of S. Mahadevan & Co., Cost Accountants as Cost Auditors	For	For

### Jiangxi Copper Company Limited

Meeting Date: 09/04/2020

Country: China

Meeting Type: Special

Ticker: 358

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	EGM BALLOT FOR HOLDERS OF H SHARES Approve Issuance of Corporate Bonds and Related Transactions	For	For

### Jiangxi Copper Company Limited

Meeting Date: 09/04/2020

Country: China

Meeting Type: Special

Ticker: 358

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Jiangxi Copper Company Limited

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	EGM BALLOT FOR HOLDERS OF A SHARES Approve Issuance of Corporate Bonds and Related Transactions	For	For

### Sany Heavy Industry Co., Ltd.

Meeting Date: 09/04/2020      Country: China  
Meeting Type: Special      Ticker: 600031

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Related Party Transaction in Connection to Establishment and Application for the Issuance of Accounts Receivable Asset-backed Securities (ABS)	For	For
2	Approve Establishment of Sanyi Jinpiao Supply Chain Collective Fund Trust Plan	For	Against

### Sunwoda Electronic Co., Ltd.

Meeting Date: 09/04/2020      Country: China  
Meeting Type: Special      Ticker: 300207

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	ELECT NON-INDEPENDENT DIRECTORS VIA CUMULATIVE VOTING		
1.1	Elect Wang Wei as Non-Independent Director	For	For
1.2	Elect Xiao Guangyu as Non-Independent Director	For	For
1.3	Elect Zhou Xiaoxiong as Non-Independent Director	For	For
1.4	Elect Zeng Di as Non-Independent Director	For	For
	ELECT INDEPENDENT DIRECTORS VIA CUMULATIVE VOTING		
2.1	Elect Zhong Mingxia as Independent Director	For	For

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### Sunwoda Electronic Co., Ltd.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
2.2	Elect Liu Zhengbing as Independent Director	For	For
2.3	Elect Zhang Jianjun as Independent Director	For	For
	ELECT SUPERVISORS VIA CUMULATIVE VOTING		
3.1	Elect Yuan Huiqiong as Supervisor	For	For
3.2	Elect Liu Rongbo as Supervisor	For	For
4	Approve Guarantee Provision Plan	For	For

### Zai Lab Limited

**Meeting Date:** 09/04/2020      **Country:** Cayman Islands  
**Meeting Type:** Special      **Ticker:** ZLAB

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Increase in Authorized Share Capital	For	Against
2	Amend Memorandum of Association	For	Against

### 58.com Inc.

**Meeting Date:** 09/07/2020      **Country:** Cayman Islands  
**Meeting Type:** Special      **Ticker:** WUBA

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	Meeting for ADR Holders		
1	Approve Merger Agreement	For	Against
2	Authorize Board to Ratify and Execute Approved Resolutions	For	Against
3	Adjourn Meeting	For	Against

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Beijing New Building Materials Public Ltd. Co.

**Meeting Date:** 09/07/2020

**Country:** China

**Meeting Type:** Special

**Ticker:** 000786

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Amend Articles of Association	For	Against
2	Amend Rules and Procedures Regarding General Meetings of Shareholders	For	For
3	Amend Rules and Procedures Regarding Meetings of Board of Directors	For	Against
4	Approve Provision of Guarantee	For	For
5	Amend Rules and Procedures Regarding Meetings of Board of Supervisors	For	For
6	Elect Fu Jinguang as Supervisor	For	For

### Centre Testing International Group Co., Ltd.

**Meeting Date:** 09/07/2020

**Country:** China

**Meeting Type:** Special

**Ticker:** 300012

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Elect Cheng Haijin as Independent Director	For	For
2	Approve Change in Use of Raised Funds	For	For
3	Approve Amendments to Articles of Association	For	For

### China National Software & Service Co., Ltd.

**Meeting Date:** 09/07/2020

**Country:** China

**Meeting Type:** Special

**Ticker:** 600536

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Financial Services Agreement	For	Against
2	Approve Related Party Transaction in Connection to Leasing of Office Space	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Giant Network Group Co. Ltd.

**Meeting Date:** 09/07/2020

**Country:** China

**Meeting Type:** Special

**Ticker:** 002558

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Related Party Transaction in Connection to Capital Injection Agreement	For	For

### Indiabulls Housing Finance Limited

**Meeting Date:** 09/07/2020

**Country:** India

**Meeting Type:** Annual

**Ticker:** 535789

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	For	For
2	Approve Interim Dividends	For	For
3	Reelect Ajit Kumar Mittal as Director	For	For
4	Reelect Ashwini Omprakash Kumar as Director	For	For
5	Elect Achuthan Siddharth as Director	For	For
6	Approve Issuance of Non-Convertible Debentures on Private Placement Basis	For	For
7	Approve Remuneration of Directors	For	For

### Inner Mongolia First Machinery Group Co., Ltd.

**Meeting Date:** 09/07/2020

**Country:** China

**Meeting Type:** Special

**Ticker:** 600967

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Interim Profit Distribution	For	For
2	Elect Wang Zhiliang as Supervisor	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### SIASUN Robot & Automation Co., Ltd.

**Meeting Date:** 09/07/2020

**Country:** China

**Meeting Type:** Special

**Ticker:** 300024

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Company's Eligibility for Share Issuance APPROVE PLAN FOR SHARE ISSUANCE	For	For
2.1	Approve Share Type and Par Value	For	For
2.2	Approve Issue Manner and Issue Time	For	For
2.3	Approve Target Subscribers and Subscription Method	For	For
2.4	Approve Issue Price and Pricing Basis	For	For
2.5	Approve Issue Size	For	For
2.6	Approve Lock-up Period	For	For
2.7	Approve Distribution Arrangement of Undistributed Earnings	For	For
2.8	Approve Amount and Use of Proceeds	For	For
2.9	Approve Listing Exchange	For	For
2.10	Approve Resolution Validity Period	For	For
3	Approve Share Issuance	For	For
4	Approve Demonstration Analysis Report in Connection to Share Issuance	For	For
5	Approve Feasibility Analysis Report on the Use of Proceeds	For	For
6	Approve Report on the Usage of Previously Raised Funds	For	For
7	Approve Shareholder Return Plan	For	For
8	Approve Authorization of Board to Handle All Related Matters	For	For
9	Approve Impact of Dilution of Current Returns on Major Financial Indicators and the Relevant Measures to be Taken	For	For

### Wen's Foodstuff Group Co., Ltd.

**Meeting Date:** 09/07/2020

**Country:** China

**Meeting Type:** Special

**Ticker:** 300498

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Wen's Foodstuff Group Co., Ltd.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Provision of Guarantee	For	For
2	Approve Interim Profit Distribution	For	For
3	Approve Use of Excess Raised Funds	For	For
4	Approve Overseas Issuance of Bonds	For	For

### Zhejiang Semir Garment Co., Ltd.

**Meeting Date:** 09/07/2020      **Country:** China  
**Meeting Type:** Special      **Ticker:** 002563

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Equity Transfer Agreement	For	For
2	Approve Acceptance of Provision of Counter Guarantee	For	For

### Zhejiang Wanfeng Auto Wheel Co., Ltd.

**Meeting Date:** 09/07/2020      **Country:** China  
**Meeting Type:** Special      **Ticker:** 002085

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Elect Hu Xingxing as Supervisor	For	For

### Ashtead Group Plc

**Meeting Date:** 09/08/2020      **Country:** United Kingdom  
**Meeting Type:** Annual      **Ticker:** AHT



## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Ashtead Group Plc

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Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	For	For
2	Approve Remuneration Report	For	For
3	Approve Final Dividend	For	For
4	Re-elect Paul Walker as Director	For	For
5	Re-elect Brendan Horgan as Director	For	For
6	Re-elect Michael Pratt as Director	For	For
7	Re-elect Angus Cockburn as Director	For	For
8	Re-elect Lucinda Riches as Director	For	For
9	Re-elect Tanya Fratto as Director	For	For
10	Re-elect Lindsley Ruth as Director	For	For
11	Elect Jill Easterbrook as Director	For	For
12	Reappoint Deloitte LLP as Auditors	For	For
13	Authorise Board to Fix Remuneration of Auditors	For	For
14	Authorise Issue of Equity	For	For
15	Authorise Issue of Equity without Pre-emptive Rights	For	For
16	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	For	For
17	Authorise Market Purchase of Ordinary Shares	For	For
18	Authorise the Company to Call General Meeting with Two Weeks' Notice	For	For
19	Approve Reduction of Capital	For	For

### BYD Company Limited

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**Meeting Date:** 09/08/2020

**Country:** China

**Meeting Type:** Special

**Ticker:** 1211

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## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### BYD Company Limited

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Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES		
	ELECT NON-INDEPENDENT DIRECTORS VIA CUMULATIVE VOTING		
1a	Elect Wang Chuan-Fu as Director	For	Against
1b	Elect Lv Xiang-Yang as Director	For	Against
1c	Elect Xia Zuo-Quan as Director	For	For
	ELECT INDEPENDENT NON-EXECUTIVE DIRECTORS VIA CUMULATIVE VOTING		
1d	Elect Cai Hong-Ping as Director	For	For
1e	Elect Jiang Yan-Bo as Director	For	For
1f	Elect Zhang Min as Director	For	For
	ELECT SUPERVISORS VIA CUMULATIVE VOTING		
2a	Elect Dong Jun-Ging as Supervisor	For	For
2b	Elect Li Yong-Zhao as Supervisor	For	For
2c	Elect Huang Jiang-Feng as Supervisor	For	For
2d	Authorize Board to Enter into a Service Contract with Wang Zhen and Yang Dong-Sheng	For	For
3	Approve Remuneration of Directors	For	For
4	Approve Remuneration of Supervisors	For	For

### BYD Company Limited

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Meeting Date: 09/08/2020

Country: China

Meeting Type: Special

Ticker: 1211

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Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF A SHARES		
	ELECT NON-INDEPENDENT DIRECTORS VIA CUMULATIVE VOTING		
1.1	Elect Wang Chuan-Fu as Director	For	Against

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### BYD Company Limited

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1.2	Elect Lv Xiang-Yang as Director	For	Against
1.3	Elect Xia Zuo-Quan as Director	For	For
	ELECT INDEPENDENT NON-EXECUTIVE DIRECTORS VIA CUMULATIVE VOTING		
2.1	Elect Cai Hong-Ping as Director	For	For
2.2	Elect Jiang Yan-Bo as Director	For	For
2.3	Elect Zhang Min as Director	For	For
	ELECT SUPERVISORS VIA CUMULATIVE VOTING		
3.1	Elect Dong Jun-Ging as Supervisor	For	For
3.2	Elect Li Yong-Zhao as Supervisor	For	For
3.3	Elect Huang Jiang-Feng as Supervisor	For	For
4	Authorize Board to Enter into a Service Contract with Wang Zhen and Yang Dong-Sheng	For	For
5	Approve Remuneration of Directors	For	For
6	Approve Remuneration of Supervisors	For	For

### Civitas Social Housing Plc

Meeting Date: 09/08/2020

Country: United Kingdom

Meeting Type: Annual

Ticker: CSH

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	For	For
2	Approve Remuneration Report	For	For
3	Elect Alison Hadden as Director	For	For
4	Re-elect Michael Wrobel as Director	For	For
5	Re-elect Peter Baxter as Director	For	For
6	Re-elect Caroline Gulliver as Director	For	For
7	Re-elect Alastair Moss as Director	For	For
8	Reappoint PricewaterhouseCoopers LLP as Auditors	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Civitas Social Housing Plc

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
9	Authorise the Audit and Management Engagement Committee to Fix Remuneration of Auditors	For	For
10	Approve the Company's Dividend Payment Policy	For	For
11	Authorise Issue of Equity	For	For
12	Authorise Issue of Equity without Pre-emptive Rights	For	For
13	Authorise Market Purchase of Ordinary Shares	For	For
14	Authorise the Company to Call General Meeting with Two Weeks' Notice	For	For
15	Authorise the Company to Use Electronic Communications	For	For
16	Adopt New Articles of Association	For	For

### DS Smith Plc

**Meeting Date:** 09/08/2020

**Country:** United Kingdom

**Meeting Type:** Annual

**Ticker:** SMDS

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	For	For
2	Approve Remuneration Policy	For	For
3	Approve Remuneration Report	For	For
4	Re-elect Gareth Davis as Director	For	For
5	Re-elect Miles Roberts as Director	For	For
6	Re-elect Adrian Marsh as Director	For	For
7	Elect Celia Baxter as Director	For	For
8	Elect Geoff Drabble as Director	For	For
9	Elect Alina Kessel as Director	For	For
10	Re-elect David Robbie as Director	For	For
11	Re-elect Louise Smalley as Director	For	For
12	Re-elect Rupert Soames as Director	For	For
13	Reappoint Deloitte LLP as Auditors	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### DS Smith Plc

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
14	Authorise the Audit Committee to Fix Remuneration of Auditors	For	For
15	Approve Sharesave Plan	For	For
16	Approve Stock Purchase Plan	For	For
17	Approve the French Schedule to the Performance Share Plan	For	For
18	Authorise Issue of Equity	For	For
19	Authorise Issue of Equity without Pre-emptive Rights	For	For
20	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	For	For
21	Authorise Market Purchase of Ordinary Shares	For	For
22	Authorise the Company to Call General Meeting with Two Weeks' Notice	For	For

### Jonjee Hi-Tech Industrial & Commercial Holding Co., Ltd.

Meeting Date: 09/08/2020

Country: China

Meeting Type: Special

Ticker: 600872

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve to Appoint Auditor	For	For
2	Amend Related Party Transaction Management System	For	For
3	Amend Rules and Procedures Regarding Meetings of Board of Directors	For	For
4	Amend Working System for Independent Directors	For	For

### NortonLifeLock Inc.

Meeting Date: 09/08/2020

Country: USA

Meeting Type: Annual

Ticker: NLOK

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### NortonLifeLock Inc.

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Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1a	Elect Director Sue Barsamian	For	For
1b	Elect Director Eric K. Brandt	For	For
1c	Elect Director Frank E. Dangeard	For	For
1d	Elect Director Nora M. Denzel	For	For
1e	Elect Director Peter A. Feld	For	For
1f	Elect Director Kenneth Y. Hao	For	For
1g	Elect Director David W. Humphrey	For	For
1h	Elect Director Vincent Pilette	For	For
2	Ratify KPMG LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Report on Political Contributions and Expenditures	Against	Against

### Yunda Holding Co., Ltd.

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**Meeting Date:** 09/08/2020

**Country:** China

**Meeting Type:** Special

**Ticker:** 002120

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Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve to Carry-out Asset Securitization of Wholly-owned Subsidiary	For	For
2	Amend Articles of Association	For	For

### Avicopter Plc

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**Meeting Date:** 09/09/2020

**Country:** China

**Meeting Type:** Special

**Ticker:** 600038

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## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Avicopter Plc

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Allowance of Independent Directors	For	For
2	Approve Related Party Transaction	For	Against
3	Amend Rules and Procedures Regarding General Meetings of Shareholders	For	For
4	Approve Amendments to Articles of Association	For	For

### BYD Electronic (International) Co., Ltd.

Meeting Date: 09/09/2020

Country: Hong Kong

Meeting Type: Special

Ticker: 285

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Second Supplemental Agreement and Proposed Annual Cap	For	For

### CENTURY PLYBOARDS (INDIA) LIMITED

Meeting Date: 09/09/2020

Country: India

Meeting Type: Annual

Ticker: 532548

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	For	For
2	Confirm Interim Dividend as Final Dividend	For	For
3	Reelect Hari Prasad Agarwal as Director	For	Against
4	Reelect Prem Kumar Bhajanka as Director	For	Against
5	Authorize Board to Fix Remuneration of Singhi & Co., Chartered Accountants as Auditors	For	For
6	Elect Amit Kiran Deb as Director	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### China Merchants Bank Co., Ltd.

**Meeting Date:** 09/09/2020      **Country:** China  
**Meeting Type:** Special      **Ticker:** 3968

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES		
1	Elect Miao Jianmin as Director	For	For

### China Merchants Bank Co., Ltd.

**Meeting Date:** 09/09/2020      **Country:** China  
**Meeting Type:** Special      **Ticker:** 3968

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF A SHARES		
1	Elect Miao Jianmin as Director	For	For

### China National Medicines Co., Ltd.

**Meeting Date:** 09/09/2020      **Country:** China  
**Meeting Type:** Special      **Ticker:** 600511

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Use of Idle Raised Funds to Handle Deposit Certificate Business	For	For
2	Approve Financial Services Agreement	For	Against

### Chongqing Fuling Zhacai Group Co., Ltd.

**Meeting Date:** 09/09/2020      **Country:** China  
**Meeting Type:** Special      **Ticker:** 002507



## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Chongqing Fuling Zhacai Group Co., Ltd.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Company's Eligibility for Private Placement of Shares  APPROVE ISSUANCE OF SHARES VIA PRIVATE PLACEMENT	For	For
2.1	Approve Issue Type and Par Value	For	For
2.2	Approve Issue Manner and Period	For	For
2.3	Approve Issue Price and Pricing Basis	For	For
2.4	Approve Target Parties and Subscription Manner	For	For
2.5	Approve Issue Amount and Scale	For	For
2.6	Approve Usage of Raised Funds	For	For
2.7	Approve Restriction Period Arrangement	For	For
2.8	Approve Listing Location	For	For
2.9	Approve Distribution Arrangement of Undistributed Earnings	For	For
2.10	Approve Resolution Validity Period	For	For
3	Approve Plan on Private Placement of Shares	For	For
4	Approve Report on the Usage of Previously Raised Funds	For	For
5	Approve Feasibility Analysis Report on the Use of Proceeds	For	For
6	Approve Related Party Transactions in Connection to Private Placement  APPROVE CONDITIONAL SHARE SUBSCRIPTION AGREEMENT BETWEEN COMPANY AND TARGET PARTIES OF THE ISSUANCE	For	For
7.1	Approve Signing of Share Subscription Agreement with Fuling State Investment	For	For
7.2	Approve Signing of Share Subscription Agreement with Zhou Binqun	For	For
8	Approve Impact of Dilution of Current Returns on Major Financial Indicators and the Relevant Measures to be Taken	For	For
9	Approve White Wash Waiver	For	For
10	Approve Authorization of Board to Handle All Related Matters	For	For
11	Approve Establishment of Special Deposit Account for Raised Funds	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Chongqing Fuling Zhacai Group Co., Ltd.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
12	Approve Shareholder Return Plan	For	For
13	Approve Amendments to Articles of Association	For	Against
14	Amend Working System for Independent Directors	For	For
15	Amend Management System for Providing External Guarantees	For	For
16	Amend Related-Party Transaction Management System	For	For
17	Approve Formulation of Management System of Raised Funds	For	For
18	Amend Rules and Procedures Regarding General Meetings of Shareholders	For	For
19	Amend Rules and Procedures Regarding Meetings of Board of Directors	For	For
20	Amend Rules and Procedures Regarding Meetings of Board of Supervisors	For	For
21	Amend Detailed Rules for Online Voting	For	For

### Compagnie Financiere Richemont SA

Meeting Date: 09/09/2020

Country: Switzerland

Meeting Type: Annual

Ticker: CFR

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	For	For
2	Approve Allocation of Income and Dividends of CHF 1.00 per Registered A Share and CHF 0.10 per Registered B Share	For	For
3	Approve Creation of CHF 24.2 Million Pool of Conditional Capital to Cover Exercise of Warrants	For	For
4	Approve Discharge of Board and Senior Management	For	For
5.1	Reelect Johann Rupert as Director and Board Chairman	For	For
5.2	Reelect Josua Malherbe as Director	For	Against
5.3	Reelect Nikesh Arora as Director	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Compagnie Financiere Richemont SA

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
5.4	Reelect Nicolas Bos as Director	For	For
5.5	Reelect Clay Brendish as Director	For	For
5.6	Reelect Jean-Blaise Eckert as Director	For	For
5.7	Reelect Burkhardt Grund as Director	For	For
5.8	Reelect Keyu Jin as Director	For	For
5.9	Reelect Jerome Lambert as Director	For	For
5.10	Reelect Ruggero Magnoni as Director	For	For
5.11	Reelect Jeff Moss as Director	For	For
5.12	Reelect Vesna Nevistic as Director	For	For
5.13	Reelect Guillaume Pictet as Director	For	For
5.14	Reelect Alan Quasha as Director	For	For
5.15	Reelect Maria Ramos as Director	For	For
5.16	Reelect Anton Rupert as Director	For	For
5.17	Reelect Jan Rupert as Director	For	For
5.18	Reelect Gary Saage as Director	For	For
5.19	Reelect Cyrille Vigneron as Director	For	For
5.20	Elect Wendy Luhabe as Director	For	For
6.1	Reappoint Clay Brendish as Member of the Compensation Committee	For	For
6.2	Reappoint Keyu Jin as Member of the Compensation Committee	For	For
6.3	Reappoint Guillaume Pictet as Member of the Compensation Committee	For	For
6.4	Reappoint Maria Ramos as Member of the Compensation Committee	For	For
7	Ratify PricewaterhouseCoopers SA as Auditors	For	Against
8	Designate Etude Gampert Demierre Moreno as Independent Proxy	For	For
9.1	Approve Remuneration of Directors in the Amount of CHF 6.7 Million	For	For
9.2	Approve Fixed Remuneration of Executive Committee in the Amount of CHF 15.8 Million	For	For
9.3	Approve Variable Remuneration of Executive Committee in the Amount of CHF 38.3 Million	For	Against
10	Transact Other Business (Voting)	For	Against

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Guosen Securities Co., Ltd.

**Meeting Date:** 09/09/2020

**Country:** China

**Meeting Type:** Special

**Ticker:** 002736

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Issuance of Debt Financing Instruments for Domestic and Foreign Companies	For	For

### Logitech International S.A.

**Meeting Date:** 09/09/2020

**Country:** Switzerland

**Meeting Type:** Annual

**Ticker:** LOGN

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Appropriation of Retained Earnings and Declaration of Dividend	For	For
4	Approve Creation of CHF 4.3 Million Pool of Authorized Capital without Preemptive Rights	For	For
5	Approve Discharge of Board and Senior Management Elections to the Board of Directors	For	For
6A	Elect Director Patrick Aebischer	For	For
6B	Elect Director Wendy Becker	For	For
6C	Elect Director Edouard Bugnion	For	For
6D	Elect Director Bracken Darrell	For	For
6E	Elect Director Guy Gecht	For	For
6F	Elect Director Didier Hirsch	For	For
6G	Elect Director Neil Hunt	For	For
6H	Elect Director Marjorie Lao	For	For
6I	Elect Director Neela Montgomery	For	For
6J	Elect Director Michael Polk	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Logitech International S.A.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
6K	Elect Director Riet Cadonau	For	For
6L	Elect Director Deborah Thomas	For	For
7	Elect Wendy Becker as Board Chairman Elections to the Compensation Committee	For	For
8A	Appoint Edouard Bugnion as Member of the Compensation Committee	For	For
8B	Appoint Neil Hunt as Member of the Compensation Committee	For	For
8C	Appoint Michael Polk as Member of the Compensation Committee	For	For
8D	Appoint Riet Cadonau as Member of the Compensation Committee	For	For
9	Approve Remuneration of Board of Directors in the Amount of CHF 3,500,000	For	For
10	Approve Remuneration of the Group Management Team in the Amount of USD 29,400,000	For	For
11	Ratify KPMG AG as Auditors and Ratify KPMG LLP as Independent Registered Public Accounting Firm for Fiscal Year 2021	For	For
12	Designate Etude Regina Wenger & Sarah Keiser-Wuger as Independent Representative	For	For
A	Authorize Independent Representative to Vote on Any Amendment to Previous Resolutions	For	Against

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	For	
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	
3	Appropriation of Retained Earnings and Declaration of Dividend	For	
4	Approve Creation of CHF 4.3 Million Pool of Authorized Capital without Preemptive Rights	For	
5	Approve Discharge of Board and Senior Management Elections to the Board of Directors	For	
6A	Elect Director Patrick Aebischer	For	
6B	Elect Director Wendy Becker	For	

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Logitech International S.A.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
6C	Elect Director Edouard Bugnion	For	
6D	Elect Director Bracken Darrell	For	
6E	Elect Director Guy Gecht	For	
6F	Elect Director Didier Hirsch	For	
6G	Elect Director Neil Hunt	For	
6H	Elect Director Marjorie Lao	For	
6I	Elect Director Neela Montgomery	For	
6J	Elect Director Michael Polk	For	
6K	Elect Director Riet Cadonau	For	
6L	Elect Director Deborah Thomas	For	
7	Elect Wendy Becker as Board Chairman	For	
	Elections to the Compensation Committee		
8A	Appoint Edouard Bugnion as Member of the Compensation Committee	For	
8B	Appoint Neil Hunt as Member of the Compensation Committee	For	
8C	Appoint Michael Polk as Member of the Compensation Committee	For	
8D	Appoint Riet Cadonau as Member of the Compensation Committee	For	
9	Approve Remuneration of Board of Directors in the Amount of CHF 3,500,000	For	
10	Approve Remuneration of the Group Management Team in the Amount of USD 29,400,000	For	
11	Ratify KPMG AG as Auditors and Ratify KPMG LLP as Independent Registered Public Accounting Firm for Fiscal Year 2021	For	
12	Designate Etude Regina Wenger & Sarah Keiser-Wuger as Independent Representative	For	
A	Authorize Independent Representative to Vote on Any Amendment to Previous Resolutions	For	

### RBC Bearings Incorporated

Meeting Date: 09/09/2020

Country: USA

Meeting Type: Annual

Ticker: ROLL

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### RBC Bearings Incorporated

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1.1	Elect Director Michael J. Hartnett	For	For
1.2	Elect Director Dolores J. Ennico	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against

### Wingtech Technology Co., Ltd.

**Meeting Date:** 09/09/2020      **Country:** China  
**Meeting Type:** Special      **Ticker:** 600745

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Adjustment of Real Estate Assets as well as Target Asset and Transfer Price of Equity Transfer	For	For

### Wuchan Zhongda Group Co., Ltd.

**Meeting Date:** 09/09/2020      **Country:** China  
**Meeting Type:** Special      **Ticker:** 600704

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Elect Jiang Hairong as Supervisor	For	For
2	Approve Application for Registration and Issuance of Debt Financing Instruments	For	Against
3	Approve Company's Compliance with the Conditions for Optimizing Financing Regulatory Standards for Corporate Bond Issuance	For	For
4	Approve Corporate Bond Issuance	For	For
5	Approve Authorization of the Board to Handle All Matters Related to Corporate Bond Issuance	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Wuchan Zhongda Group Co., Ltd.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
6	Approve Authorization of the Board to Handle All Matters Related to taking Corresponding Measures When the Bond Principal and Interest are not Paid on Time at Maturity	For	For
7	Approve Spin-off of Subsidiary on the Main Board of the Shanghai Stock Exchange in Accordance with Relevant Laws and Regulations	For	For
8	Approve Spin-off of Subsidiary on the Main Board of the Shanghai Stock Exchange	For	For
8.1	Approve Listing Location	For	For
8.2	Approve Types of Issued Shares	For	For
8.3	Approve Par Value	For	For
8.4	Approve Target Subscribers	For	For
8.5	Approve Listing Time	For	For
8.6	Approve Issue Manner	For	For
8.7	Approve Issue Scale	For	For
8.8	Approve Pricing Method	For	For
8.9	Approve Other Matters Related to the Issuance	For	For
9	Approve Plan on Spin-off of Subsidiary on the Main Board of the Shanghai Stock Exchange (Revised Draft)	For	For
10	Approve Transaction Complies with Several Provisions on the Pilot Program of Listed Companies' Spin-off of Subsidiaries for Domestic Listing	For	For
11	Approve Transaction is Conducive to Safeguarding the Legal Rights and Interests of Shareholders and Creditors	For	For
12	Approve Proposal on the Company's Independence and Sustainability	For	For
13	Approve Subsidiary's Corresponding Standard Operational Ability	For	For
14	Approve Explanation of the Completeness, Compliance and Validity of Legal Documents Submitted in the Spin-off	For	For
15	Approve Purpose, Commercial Rationality, Necessity and Feasibility Analysis of Spin-off	For	For
16	Approve Authorization of the Board and Its Authorized Persons to Handle Matters on Spin-off	For	For



## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Accelink Technologies Co., Ltd.

**Meeting Date:** 09/10/2020

**Country:** China

**Meeting Type:** Special

**Ticker:** 002281

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Elect Fu Xiaodong as Non-independent Director	For	For
2	Approve Draft and Summary of Performance Shares Incentive Plan	For	Against
3	Approve Methods to Assess the Performance of Plan Participants	For	Against
4	Approve Authorization of the Board to Handle All Related Matters	For	Against

### Alibaba Pictures Group Limited

**Meeting Date:** 09/10/2020

**Country:** Bermuda

**Meeting Type:** Annual

**Ticker:** 1060

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	For	For
2.1a	Elect Meng Jun as Director	For	For
2.1b	Elect Li Jie as Director	For	For
2.1c	Elect Xu Hong as Director	For	For
2.1d	Elect Song Lixin as Director	For	For
2.1e	Elect Johnny Chen as Director	For	For
2.2	Authorize Board to Fix Remuneration of Directors	For	For
3	Approve PricewaterhouseCoopers as Auditor and Authorize Board to Fix Their Remuneration	For	For
4	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	For	Against
5	Authorize Repurchase of Issued Share Capital	For	For
6	Authorize Reissuance of Repurchased Shares	For	Against
7	Approve Increase in Authorized Share Capital	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Alibaba Pictures Group Limited

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
8	Amend Bye-Laws of the Company	For	For

### AVIC Jonhon Optronc Technology Co., Ltd.

**Meeting Date:** 09/10/2020      **Country:** China  
**Meeting Type:** Special      **Ticker:** 002179

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	ELECT INDEPENDENT DIRECTORS VIA CUMULATIVE VOTING		
1.1	Elect Wang Xiufen as Independent Director	For	For
1.2	Elect Zhai Guofu as Independent Director	For	For
1.3	Elect Bao Huifang as Independent Director	For	For
2	Approve Provision of Guarantee	For	For

### Bank Leumi Le-Israel Ltd.

**Meeting Date:** 09/10/2020      **Country:** Israel  
**Meeting Type:** Annual      **Ticker:** LUMI

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Discuss Financial Statements and the Report of the Board		
2	Reappoint Somekh Chaikin (KPMG) and Brightman Almagor Zohar and Co. (Deloitte) as Joint Auditors and Authorize Board to Fix Their Remuneration	For	For
	Regarding Items 3-5 Elect Two Director Out of a Pool of Three Director Nominees, Nominated By the Nomination Committee		
3	Elect Avi Bzura as Director	For	For
4	Elect Yifat Bitton as Director	For	Abstain
5	Elect Haj-Yehia Samer as Director	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Bank Leumi Le-Israel Ltd.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	Regarding Items 6-7 Elect One External Director Out of a Pool of Two Director Nominees, Nominated By the Nomination Committee		
6	Elect Dorit Diana Salinger Alter as External Director, as Defined in Directive 301 of the Proper Conduct of Banking Business Regulations	For	Abstain
7	Elect Zvi Stern Yedidia as External Director, as Defined in Directive 301 of the Proper Conduct of Banking Business Regulations	For	For
A	Vote FOR if you are a controlling shareholder or have a personal interest in one or several resolutions, as indicated in the proxy card; otherwise, vote AGAINST. You may not abstain. If you vote FOR, please provide an explanation to your account manager	None	Against
	Please Select Any Category Which Applies to You as a Shareholder or as a Holder of Power of Attorney		
B1	If you are an Interest Holder as defined in Section 1 of the Securities Law, 1968, vote FOR. Otherwise, vote against.	None	Against
B2	If you are a Senior Officer as defined in Section 37(D) of the Securities Law, 1968, vote FOR. Otherwise, vote against.	None	Against
B3	If you are an Institutional Investor as defined in Regulation 1 of the Supervision Financial Services Regulations 2009 or a Manager of a Joint Investment Trust Fund as defined in the Joint Investment Trust Law, 1994, vote FOR. Otherwise, vote against.	None	For

### Empire Company Limited

Meeting Date: 09/10/2020

Country: Canada

Meeting Type: Annual

Ticker: EMP.A

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	Meeting for Class A Non-Voting Shareholders		
1	Advisory Vote on Executive Compensation Approach	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Folli Follie SA

**Meeting Date:** 09/10/2020

**Country:** Greece

**Meeting Type:** Annual

**Ticker:** FFGRP

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	Postponed FY 2018 Annual Meeting Agenda		
1	Accept 2018 Financial Statements and Statutory Reports	For	Against
2	Approve Management of Company for the Fiscal Year 2018 and Discharge Board	Against	Against
3	Approve Management of Company and Grant Discharge to Auditors in Relation to Drafting and Special Audit of Restated 2018 Financial Statements	For	Against
4	Approve Auditors and Fix Their Remuneration for 2019	For	Against
5	Approve Director Remuneration for 2018	Against	Against
6	Ratify Director Appointments	For	Against
7	Ratify Rules on Audit Committee's Nature, Composition, and Membership	For	For
8	Presentation of Audit Committee's Activity Report		
9	Various Announcements		

### Folli Follie SA

**Meeting Date:** 09/10/2020

**Country:** Greece

**Meeting Type:** Annual

**Ticker:** FFGRP

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	FY 2019 Annual Meeting Agenda		
1	Accept 2019 Financial Statements	For	For
2	Approve Management of Company and Grant Discharge to Auditors	For	For
3	Approve Current Management of Company and Grant Discharge to Auditors in Relation to Drafting and Ordinary Audit of 2019 Financial Statements	For	For
4	Approve Auditors and Fix Their Remuneration	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Folli Follie SA

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
5	Approve Remuneration Policy	For	For
6	Advisory Vote on Remuneration Report	For	For
7	Approve Director Remuneration for 2019	For	For
8	Pre-approve Director Remuneration for 2020	For	For
9	Various Announcements		

### H&R Block, Inc.

Meeting Date: 09/10/2020

Country: USA

Meeting Type: Annual

Ticker: HRB

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1a	Elect Director Paul J. Brown	For	For
1b	Elect Director Robert A. Gerard	For	For
1c	Elect Director Anuradha (Anu) Gupta	For	For
1d	Elect Director Richard A. Johnson	For	For
1e	Elect Director Jeffrey J. Jones, II	For	For
1f	Elect Director David Baker Lewis	For	For
1g	Elect Director Yolande G. Piazza	For	For
1h	Elect Director Victoria J. Reich	For	For
1i	Elect Director Bruce C. Rohde	For	For
1j	Elect Director Matthew E. Winter	For	For
1k	Elect Director Christianna Wood	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

### Hindalco Industries Limited

Meeting Date: 09/10/2020

Country: India

Meeting Type: Annual

Ticker: 500440

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Hindalco Industries Limited

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	For	For
2	Approve Dividend	For	For
3	Reelect Debnarayan Bhattacharya as Director	For	For
4	Approve Remuneration of Cost Auditors	For	For
5	Elect Sudhir Mital as Director	For	For
6	Elect Anant Maheshwari as Director	For	For
7	Approve Rajashree Birla to Continue Office as Non-Executive Director	For	Against
8	Reelect Yazdi Piroj Dandiwala as Director	For	For

### Lingyi iTech (Guangdong) Co.

Meeting Date: 09/10/2020

Country: China

Meeting Type: Special

Ticker: 002600

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Provision of Guarantee	For	For

### NetApp, Inc.

Meeting Date: 09/10/2020

Country: USA

Meeting Type: Annual

Ticker: NTAP

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1a	Elect Director T. Michael Nevens	For	For
1b	Elect Director Deepak Ahuja	For	For
1c	Elect Director Gerald Held	For	For
1d	Elect Director Kathryn M. Hill	For	For
1e	Elect Director Deborah L. Kerr	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### NetApp, Inc.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1f	Elect Director George Kurian	For	For
1g	Elect Director Scott F. Schenkel	For	For
1h	Elect Director George T. Shaheen	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Deloitte & Touche LLP as Auditors	For	For
4	Provide Right to Act by Written Consent	Against	For

### NICE Ltd. (Israel)

**Meeting Date:** 09/10/2020      **Country:** Israel  
**Meeting Type:** Annual      **Ticker:** NICE

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1.1	Reelect David Kostman as Director	For	For
1.2	Reelect Rimon Ben-Shaoul as Director	For	For
1.3	Reelect Yehoshua (Shuki) Ehrlich as Director	For	For
1.4	Reelect Leo Apotheker as Director	For	For
1.5	Reelect Joseph (Joe) Cowan as Director	For	For
2	Approve Current Liability Insurance Policy and Future Amended Liability Insurance Policy to Directors/Officers	For	For
3	Approve Extension of Annual Bonus Plan of CEO	For	For
4	Reappoint Kost Forer Gabay & Kasierer as Auditors and Authorize Board to Fix Their Remuneration	For	For
5	Discuss Financial Statements and the Report of the Board for 2016		
A	Vote FOR if you are a controlling shareholder or have a personal interest in one or several resolutions, as indicated in the proxy card; otherwise, vote AGAINST. You may not abstain. If you vote FOR, please provide an explanation to your account manager	None	Against

Please Select Any Category Which Applies to You as a Shareholder or as a Holder of Power of Attorney

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### NICE Ltd. (Israel)

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
B1	If you are an Interest Holder as defined in Section 1 of the Securities Law, 1968, vote FOR. Otherwise, vote against.	None	Against
B2	If you are a Senior Officer as defined in Section 37(D) of the Securities Law, 1968, vote FOR. Otherwise, vote against.	None	Against
B3	If you are an Institutional Investor as defined in Regulation 1 of the Supervision Financial Services Regulations 2009 or a Manager of a Joint Investment Trust Fund as defined in the Joint Investment Trust Law, 1994, vote FOR. Otherwise, vote against.	None	For

### NuVasive, Inc.

**Meeting Date:** 09/10/2020      **Country:** USA  
**Meeting Type:** Special      **Ticker:** NUVA

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Increase Authorized Common Stock	For	For
2	Adjourn Meeting	For	For

### Petronet Lng Limited

**Meeting Date:** 09/10/2020      **Country:** India  
**Meeting Type:** Annual      **Ticker:** 532522

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	For	For
2	Approve Final Dividend	For	For
3	Reelect Shashi Shanker as Director	For	Against
4	Elect Sanjeev Kumar as Director	For	Against
5	Elect Manoj Jain as Director	For	Against
6	Elect Tarun Kapoor as Director and Chairman	For	Against



## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Petronet Lng Limited

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
7	Elect Shrikant Madhav Vaidya as Director	For	Against
8	Elect Arun Kumar Singh as Director	For	Against
9	Approve Related Party Transactions	For	Against

### Royal KPN NV

**Meeting Date:** 09/10/2020      **Country:** Netherlands  
**Meeting Type:** Special      **Ticker:** KPN

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	Special Meeting Agenda		
1	Open Meeting		
2	Opportunity to Make Recommendations for the Appointment of a Member of the Supervisory Board		
3	Elect Alejandro Douglass Plater to Supervisory Board	For	For
4	Close Meeting		

### China National Chemical Engineering Co., Ltd.

**Meeting Date:** 09/11/2020      **Country:** China  
**Meeting Type:** Special      **Ticker:** 601117

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Financial Leasing and Related Party Transactions	For	For

### Deckers Outdoor Corporation

**Meeting Date:** 09/11/2020      **Country:** USA  
**Meeting Type:** Annual      **Ticker:** DECK

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Deckers Outdoor Corporation

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Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1.1	Elect Director Michael F. Devine, III	For	For
1.2	Elect Director Nelson C. Chan	For	For
1.3	Elect Director Cynthia (Cindy) L. Davis	For	For
1.4	Elect Director Juan R. Figueroe	For	For
1.5	Elect Director Victor Luis	For	For
1.6	Elect Director Dave Powers	For	For
1.7	Elect Director Lauri M. Shanahan	For	For
1.8	Elect Director Brian A. Spaly	For	For
1.9	Elect Director Bonita C. Stewart	For	For
2	Ratify KPMG LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

### Guanghui Energy Co., Ltd.

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Meeting Date: 09/11/2020

Country: China

Meeting Type: Special

Ticker: 600256

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Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve to Appoint Auditors and to Fix Their Remuneration	For	For
2	Approve Related Party Transaction	For	For

### Holitech Technology Co. Ltd.

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Meeting Date: 09/11/2020

Country: China

Meeting Type: Special

Ticker: 002217

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## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Holitech Technology Co. Ltd.

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Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Issuance of Super Short-term Commercial Papers	For	For
2	Approve Provision of Mortgage Guarantee by Subsidiary to the Company	For	For
3	Approve to Develop Bill Pool Business and Bill Pledge Guarantee	For	Against
4	Approve Issuance of Corporate Bonds	For	For

### Leyard Optoelectronic Co., Ltd.

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Meeting Date: 09/11/2020

Country: China

Meeting Type: Special

Ticker: 300296

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Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Amendments to Articles of Association	For	For
2	Amend Rules and Procedures Regarding General Meetings of Shareholders	For	For
3	Amend Rules and Procedures Regarding Meetings of Board of Directors	For	For
4	Amend Working System for Independent Directors	For	For
5	Amend Management System for Providing External Guarantees	For	For
6	Amend Management System for Providing External Investments	For	For
7	Amend Related-Party Transaction Management System	For	For
8	Amend Management System of Raised Funds	For	For

### Magnitogorsk Iron & Steel Works PJSC

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Meeting Date: 09/11/2020

Country: Russia

Meeting Type: Special

Ticker: MAGN

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## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Magnitogorsk Iron & Steel Works PJSC

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Interim Dividends of RUB 0.607 per Share for First Six Months of Fiscal 2020	For	For

### Tele2 AB

**Meeting Date:** 09/11/2020      **Country:** Sweden  
**Meeting Type:** Special      **Ticker:** TEL2.B

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Elect Chairman of Meeting	For	For
2	Prepare and Approve List of Shareholders	For	For
3	Approve Agenda of Meeting	For	For
4	Designate Inspector(s) of Minutes of Meeting	For	For
5	Acknowledge Proper Convening of Meeting	For	For
6	Approve Extraordinary Dividends of SEK 3.50 Per Share	For	For
7.a	Approve Equity Plan Financing	For	For
7.b	Approve Alternative Equity Plan Financing	For	Against
8	Approve Performance Based Share Plan LTI 2020 for CEO Kjell Morten Johnsen	For	For

### UBS ETFs Plc CMCI Composite SF UCITS ETF

**Meeting Date:** 09/11/2020      **Country:** Ireland  
**Meeting Type:** Special      **Ticker:** CCUSAS

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Change of Company Name to UBS (Irl) Fund Solutions Plc	For	For
2	Approve Amendments to the Constitution of the Company	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Mahindra & Mahindra Limited

**Meeting Date:** 09/12/2020

**Country:** India

**Meeting Type:** Special

**Ticker:** 500520

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Postal Ballot Approve Transfer/Dilution of Stake in SsangYong Motor Company, a Material Subsidiary of the Company	For	For

### AECC Aviation Power Co. Ltd.

**Meeting Date:** 09/14/2020

**Country:** China

**Meeting Type:** Special

**Ticker:** 600893

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Adjustment of Implementation Content and Period of Raised Funds Project	For	For

### Aier Eye Hospital Group Co., Ltd.

**Meeting Date:** 09/14/2020

**Country:** China

**Meeting Type:** Special

**Ticker:** 300015

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Change of Registered Address and Amend Articles of Association	For	For

### Beijing Oriental Yuhong Waterproof Technology Co., Ltd.

**Meeting Date:** 09/14/2020

**Country:** China

**Meeting Type:** Special

**Ticker:** 002271

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Beijing Oriental Yuhong Waterproof Technology Co., Ltd.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Interim Profit Distribution and Capitalization of Capital Reserves	For	For
2	Approve Term Expiration of the Independent Director and Elect Cai Zhaoyun as Independent Director	For	For
3	Approve Issuance of Accounts Receivable Asset-backed Notes	For	For
4	Approve Change in Registered Capital	For	For
5	Approve Amendments to Articles of Association	For	For
6	Amend Rules and Procedures Regarding General Meetings of Shareholders	For	For
7	Amend Working System for Independent Directors	For	For
8	Amend Management System of Raised Funds	For	For
9	Approve Foreign Investment in the Construction of a Green Building New Material Industrial Park Project	For	For
10	Approve Foreign Investment in the Construction of a Green New Material Comprehensive Industrial Park Project	For	For
11	Approve Foreign Investment in the Construction of a New Building Material Production Base Project	For	For

### Dongxu Optoelectronic Technology Co., Ltd.

Meeting Date: 09/14/2020

Country: China

Meeting Type: Special

Ticker: 200413

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
EGM BALLOT FOR HOLDERS OF A SHARES			
1	Approve Termination of Raised Funds Investment Projects and Use of Excess Raised Funds to Replenish Working Capital	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Grasim Industries Limited

**Meeting Date:** 09/14/2020

**Country:** India

**Meeting Type:** Annual

**Ticker:** 500300

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	For	For
2	Approve Dividend	For	For
3	Reelect Rajashree Birla as Director	For	Against
4	Reelect Shailendra K. Jain as Director	For	For
5	Amend Object Clause of Memorandum of Association	For	For
6	Amend Articles of Association	For	For
7	Elect Santrupt Misra as Director	For	For
8	Elect Vipin Anand as Director	For	For
9	Approve Rajashree Birla to Continue Office as Non-Executive Director	For	Against
10	Approve Remuneration of Cost Auditors	For	For

### Hengyi Petrochemical Co., Ltd.

**Meeting Date:** 09/14/2020

**Country:** China

**Meeting Type:** Special

**Ticker:** 000703

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Adjustment on Guarantee Provision Plan ELECT NON-INDEPENDENT DIRECTORS VIA CUMULATIVE VOTING	For	For
2.1	Elect Qiu Yibo as Non-Independent Director	For	For
2.2	Elect Fang Xianshui as Non-Independent Director	For	For
2.3	Elect Ni Defeng as Non-Independent Director	For	For
2.4	Elect Lou Jianchang as Non-Independent Director	For	For
2.5	Elect Mao Ying as Non-Independent Director	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Hengyi Petrochemical Co., Ltd.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
2.6	Elect Wu Zhong as Non-Independent Director  ELECT INDEPENDENT DIRECTORS VIA CUMULATIVE VOTING	For	For
3.1	Elect Yang Baizhang as Independent Director	For	For
3.2	Elect Yang Liuyong as Independent Director	For	For
3.3	Elect Chen Sanlian as Independent Director  ELECT SUPERVISORS VIA CUMULATIVE VOTING	For	For
4.1	Elect Li Yugang as Supervisor	For	For
4.2	Elect Jin Danwen as Supervisor	For	For

### Inspur Electronic Information Industry Co., Ltd.

**Meeting Date:** 09/14/2020      **Country:** China  
**Meeting Type:** Special      **Ticker:** 000977

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Elect Ma Li as Supervisor	For	For
2	Approve Adjustment on Related Party Transaction	For	For

### Jiangxi Zhengbang Technology Co., Ltd.

**Meeting Date:** 09/14/2020      **Country:** China  
**Meeting Type:** Special      **Ticker:** 002157

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Elect Lin Feng as Non-independent Director	For	For
2	Approve Repurchase and Cancellation of 2017, 2018, 2019 Performance Shares	For	For
3	Approve Acquisition by Wholly-owned Subsidiary	For	For
4	Approve Repurchase and Cancellation of 2018, 2019 Performance Shares	For	For



## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Jiangxi Zhengbang Technology Co., Ltd.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
5	Approve Issuance of Overseas Bonds of Wholly-owned Subsidiary	For	For
6	Approve Authorization of the Board on Issuance of Overseas Bonds	For	For
7	Approve Provision of Guarantee for Issuance of Overseas Bonds	For	For
8	Approve Commodity Futures Hedging Business	For	For
9	Approve Special Report on the Usage of Previously Raised Funds	For	For
10	Approve Additional Guarantee for Subsidiary	For	For

### Jinke Property Group Co., Ltd.

**Meeting Date:** 09/14/2020      **Country:** China  
**Meeting Type:** Special      **Ticker:** 000656

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Issuance of Offshore USD Bonds	For	For
2	Approve Authorization of the Board to Handle All Related Matters to Offshore USD Bonds	For	For
3	Approve Provision of Guarantee	For	For

### Korea Electric Power Corp.

**Meeting Date:** 09/14/2020      **Country:** South Korea  
**Meeting Type:** Special      **Ticker:** 015760

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Elect Three Inside Directors (Bundled)	For	For
2	Elect Noh Geum-sun and Jung Yeon-gil as a Member of Audit Committee (Bundled)	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Oceanwide Holdings Co., Ltd. (Beijing)

**Meeting Date:** 09/14/2020

**Country:** China

**Meeting Type:** Special

**Ticker:** 000046

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Related Party Transaction on Project Engineering Cooperation Contract Supplementary Agreement with Beijing Xinghuo Real Estate Development Co., Ltd. and Related Parties	For	For
2	Approve Related Party Transaction on Project Engineering Cooperation Contract Supplementary Agreement with Wuhan Central Business District Co., Ltd. and Related Parties	For	For

### Open Text Corporation

**Meeting Date:** 09/14/2020

**Country:** Canada

**Meeting Type:** Annual/Special

**Ticker:** OTEX

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1.1	Elect Director P. Thomas Jenkins	For	For
1.2	Elect Director Mark J. Barrenechea	For	For
1.3	Elect Director Randy Fowlie	For	For
1.4	Elect Director David Fraser	For	For
1.5	Elect Director Gail E. Hamilton	For	For
1.6	Elect Director Robert (Bob) Hau	For	For
1.7	Elect Director Stephen J. Sadler	For	For
1.8	Elect Director Harmit Singh	For	For
1.9	Elect Director Michael Slaunwhite	For	For
1.10	Elect Director Katharine B. Stevenson	For	For
1.11	Elect Director Deborah Weinstein	For	For
2	Ratify KPMG LLP as Auditors	For	For
3	Advisory Vote on Executive Compensation Approach	For	For
4	Amend Employee Stock Purchase Plan	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Open Text Corporation

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
5	Amend Stock Option Plan	For	For

### RiseSun Real Estate Development Co., Ltd.

**Meeting Date:** 09/14/2020      **Country:** China  
**Meeting Type:** Special      **Ticker:** 002146

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Special Plan for Asset-backed Establishment of Final Payment	For	For
2	Approve Provision of Interception and Replenishment as well as Balance Payment and Credit Enhancement for Special Plan for Asset-backed Final Payment	For	For
3	Approve Authorization of Board to Handle All Related Matters	For	For
4	Approve Provision of Guarantee	For	For
5	Approve Provision of Guarantee for Lijiang Rongsheng Kanglv Real Estate Co., Ltd.	For	For
6	Approve Provision of Guarantee for Hebei Rongsheng Building Materials Co., Ltd.	For	For
7	Approve Provision of Guarantee for Xianghe Wanlitong Industrial Co., Ltd.	For	For

### SDIC Capital Co., Ltd.

**Meeting Date:** 09/14/2020      **Country:** China  
**Meeting Type:** Special      **Ticker:** 600061

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Amendments to Articles of Association	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### TCL Technology Group Corp.

**Meeting Date:** 09/14/2020

**Country:** China

**Meeting Type:** Special

**Ticker:** 000100

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Issuance of Debt Financing Instruments in the Inter-bank Bond Market	For	Against
2	Approve Matters Related to the Issuance of Asset Securitization Products	For	For
3	Approve Adjustment on Guarantee Provision Plan	For	For
4	Approve Amendments to Articles of Association	For	For
5	Approve Adjustment on Performance Share Incentive Plan and Draft and Summary of Phase 2 Global Create Enjoyment Plan	For	For
6	Approve Adjustment on Performance Share Incentive Plan and Methods to Assess the Performance of Plan Participants	For	For
7	Approve Draft and Summary on Phase 3 Global Partner Program	For	Against
8	Approve Management System of Phase 3 Global Partner Program	For	Against
9	Approve Authorization of the Board to Handle All Related Matters  APPROVE ADJUSTMENT ON COMPANY'S PLAN FOR ACQUISITION BY CASH PAYMENT AND ISSUANCE OF SHARES AND CONVERTIBLE CORPORATE BONDS AS WELL AS RAISING SUPPORTING FUNDS	For	Against
10.1	Approve Overview of Raising Supporting Funds	For	For
10.2	Approve Type, Par Value and Listing Location	For	For
10.3	Approve Target Subscribers	For	For
10.4	Approve Pricing Reference Date and Issue Price	For	For
10.5	Approve Issue Manner	For	For
10.6	Approve Issue Size	For	For
10.7	Approve Lock-Up Period Arrangement	For	For
10.8	Approve Type, Par Value and Listing Location after Conversion	For	For
10.9	Approve Target Subscribers and Subscription Method	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### TCL Technology Group Corp.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
10.10	Approve Issuance Method	For	For
10.11	Approve Issue Number	For	For
10.12	Approve Conversion Price	For	For
10.13	Approve Source of Conversion Shares	For	For
10.14	Approve Bond Maturity	For	For
10.15	Approve Bond Interest Rates	For	For
10.16	Approve Conversion Period	For	For
10.17	Approve Lock-Up Period	For	For
10.18	Approve Method for Determining the Number of Shares for Conversion and Method on Handling Fractional Shares Upon Conversion	For	For
10.19	Approve Principal and Interest Payments	For	For
10.20	Approve Conditional Mandatory Conversion Clause	For	For
10.21	Approve Conditional Resale Clause	For	For
10.22	Approve Terms for Downward Adjustment of Conversion Price	For	For
10.23	Approve Terms for Upward Adjustment of Conversion Price	For	For
10.24	Approve Conditional Redemption Clause	For	For
10.25	Approve Guarantee and Rating	For	For
10.26	Approve Other Matters	For	For
10.27	Approve Use of Proceeds	For	For
10.28	Approve Resolution Validity Period	For	For
11	Approve Adjustment of the Transaction Plan Does Not Constitute as Major Adjustment of the Restructuring Plan	For	For
12	Approve Termination of Strategic Cooperation Agreements, Conditional Share Subscription Agreements and their Supplementary Agreements, Conditional Convertible Corporate Bond Subscription Agreements and their Supplements	For	For
13	Approve Report (Revised Draft) and Summary on Company's Acquisition by Cash Payment and Issuance of Shares and Convertible Corporate Bonds as well as Raising Supporting Funds	For	For
14	Approve Relevant Audit Report and Pro Forma Review Report	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### TCL Technology Group Corp.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
15	Approve Impact of Dilution of Current Returns on Major Financial Indicators and the Relevant Measures to be Taken	For	For
16	Approve Completeness and Compliance of Implementation of Legal Proceedings of the Transactions and Validity of the Submitted Legal Documents Regarding this Transaction	For	For
17	Approve Transaction Complies with Article 11 and Article 43 of the Management Approach Regarding Major Asset Restructuring of Listed Companies	For	For
18	Approve Transaction Does not Constitute as Major Asset Restructuring and Article 13 of the Management Approach Regarding Major Asset Restructuring of Listed Companies	For	For

### Yunnan Energy New Material Co., Ltd.

Meeting Date: 09/14/2020

Country: China

Meeting Type: Special

Ticker: 002812

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve to Carry Out Deposit, Loan and Guarantee Business in Related Banks	For	Against

### AVIC Capital Co., Ltd.

Meeting Date: 09/15/2020

Country: China

Meeting Type: Special

Ticker: 600705

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Interim Profit Distribution	For	For
2	Approve Company's Eligibility for Corporate Bond Issuance APPROVE CORPORATE BOND ISSUANCE	For	For
3.1	Approve Par Value, Issue Scale	For	For
3.2	Approve Issue Type, Issue Plan and Period	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### AVIC Capital Co., Ltd.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
3.3	Approve Issue Manner	For	For
3.4	Approve Bond Interest Rate and Method of Repayment	For	For
3.5	Approve Target Parties and Placement Arrangement to Shareholders	For	For
3.6	Approve Redemption and Sale Back Terms	For	For
3.7	Approve Credit Enhancement Mechanism	For	For
3.8	Approve Usage of Raised Funds	For	For
3.9	Approve Underwriting Method and Listing Arrangement	For	For
3.10	Approve Credit Status and Safeguard Measures of Debts Repayment	For	For
3.11	Approve Resolution Validity Period	For	For
4	Approve Authorization of the Board to Handle All Related Matters	For	For

### Baoshan Iron & Steel Co., Ltd.

**Meeting Date:** 09/15/2020      **Country:** China  
**Meeting Type:** Special      **Ticker:** 600019

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Short-term Corporate Bond Issuance	For	For
2	Approve Repurchase and Cancellation of Performance Shares	For	For

### BGI Genomics Co., Ltd.

**Meeting Date:** 09/15/2020      **Country:** China  
**Meeting Type:** Special      **Ticker:** 300676

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Provision of Performance Guarantee for Huada Gene Health Technology (Hong Kong) Co., Ltd.	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### BGI Genomics Co., Ltd.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
2	Approve Provision of Guarantee for Huada Biotechnology (Wuhan) Co., Ltd.	For	For
3	Approve Provision of Counter-Guarantee	For	For
4	Approve Additional Daily Related Party Transaction	For	For
5	Approve Commissioned Research and Development	For	For
6	Approve External Donations	For	For
7	Approve Amendments to Articles of Association	For	For
8	Amend Rules and Procedures Regarding General Meetings of Shareholders	For	For
9	Amend Rules and Procedures Regarding Meetings of Board of Directors	For	For
10	Amend Working System for Independent Directors	For	For
11	Amend Related-Party Transaction Management System	For	For
12	Amend Management System for Providing External Guarantees	For	For
13	Amend Foreign Investment Management Method	For	For
14	Approve Implementing Rules for Cumulative Voting System	For	For

### China Cinda Asset Management Co., Ltd.

Meeting Date: 09/15/2020

Country: China

Meeting Type: Special

Ticker: 1359

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Elect Wang Shaoshuang as Director	For	For

### China Fortune Land Development Co., Ltd.

Meeting Date: 09/15/2020

Country: China

Meeting Type: Special

Ticker: 600340



## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### China Fortune Land Development Co., Ltd.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Cancellation of Stock Options and Repurchase Cancellation of Performance Shares	For	For
2	Approve to Adjust the Guarantee Authorization	For	For

### Exide Industries Limited

**Meeting Date:** 09/15/2020      **Country:** India  
**Meeting Type:** Annual      **Ticker:** 500086

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	For	For
2	Confirm First and Second Interim Dividend	For	For
3	Reelect Rajan B. Raheja as Director	For	Against
4	Approve Remuneration of Cost Auditors	For	For

### GCL System Integration Technology Co., Ltd.

**Meeting Date:** 09/15/2020      **Country:** China  
**Meeting Type:** Special      **Ticker:** 002506

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Related Party Transaction in Connection to Signing of the EPC General Contract for the 300MW Photovoltaic Parity Grid Demonstration Project	For	For

### Hartalega Holdings Berhad

**Meeting Date:** 09/15/2020      **Country:** Malaysia  
**Meeting Type:** Annual      **Ticker:** 5168

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Hartalega Holdings Berhad

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Final Dividend	For	For
2	Approve Directors' Fees and Benefits for the Financial Year Ended March 31, 2020	For	For
3	Approve Directors' Fees and Benefits from April 1, 2020 until the next Annual General Meeting	For	For
4	Elect Kuan Mun Leong as Director	For	For
5	Elect Rebecca Fatima Sta. Maria as Director	For	For
6	Elect Nurmala Binti Abdul Rahim as Director	For	For
7	Elect Loo Took Gee as Director	For	For
8	Approve DELOITTE PLT as Auditors and Authorize Board to Fix Their Remuneration	For	For
9	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	For	For
10	Approve Tan Guan Cheong to Continue Office as Independent Non-Executive Director	For	For
11	Authorize Share Repurchase Program	For	For

### Inner Mongolia Baotou Steel Union Co. Ltd.

Meeting Date: 09/15/2020

Country: China

Meeting Type: Special

Ticker: 600010

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Issuance of Non-financial Corporate Debt Financing Instruments	For	For

### LONGi Green Energy Technology Co., Ltd.

Meeting Date: 09/15/2020

Country: China

Meeting Type: Special

Ticker: 601012

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### LONGi Green Energy Technology Co., Ltd.

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Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Interim Profit Distribution	For	For
2	Approve Adjustment of Number and Repurchase Price of Performance Share Incentive Plan	For	For
3	Approve Repurchase and Cancellation of Performance Shares	For	For

### NARI Technology Co., Ltd.

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Meeting Date: 09/15/2020

Country: China

Meeting Type: Special

Ticker: 600406

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Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Repurchase Cancellation of Performance Shares and to Adjust the Repurchase Price	For	For
2	Approve Change of Registered Capital and Amend Articles of Association	For	For
3	Amend Rules and Procedures Regarding General Meetings of Shareholders	For	For
4	Amend Rules and Procedures Regarding Meetings of Board of Directors	For	For
5	Amend Rules and Procedures Regarding Meetings of Board of Supervisors	For	For
6	Approve Capital Injection for Wholly-owned Subsidiary	For	For

### Ninestar Corp.

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Meeting Date: 09/15/2020

Country: China

Meeting Type: Special

Ticker: 002180

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## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Ninestar Corp.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Termination of Fund-raising Investment Project and Use Its Remaining Raised Funds to Replenish Working Capital	For	For
2	Approve Use of Funds for Cash Management	For	Against
3	Approve Provision of Guarantee	For	For

### Ningbo Joyson Electronic Corp.

**Meeting Date:** 09/15/2020      **Country:** China  
**Meeting Type:** Special      **Ticker:** 600699

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Guarantee Provision Plan	For	For

### Raia Drogasil SA

**Meeting Date:** 09/15/2020      **Country:** Brazil  
**Meeting Type:** Special      **Ticker:** RADL3

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve 5-for-1 Stock Split and Amend Article 4 Accordingly	For	For
2	Amend Article 11	For	For
3	Amend Article 14	For	For
4	Consolidate Bylaws	For	For
5	Approve Restricted Stock Plan	For	Against
6	In the Event of a Second Call, the Voting Instructions Contained in this Remote Voting Card May Also be Considered for the Second Call?	None	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Tiger Brands Ltd.

**Meeting Date:** 09/15/2020

**Country:** South Africa

**Meeting Type:** Special

**Ticker:** TBS

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	Special Resolution		
1	Approve Financial Assistance in Terms of Section 45 of the Companies Act	For	For
	Ordinary Resolution		
1	Authorise Ratification of Approved Resolution	For	For

### Western Securities Co., Ltd.

**Meeting Date:** 09/15/2020

**Country:** China

**Meeting Type:** Special

**Ticker:** 002673

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Amendments to Articles of Association	For	For

### Yintai Gold Co., Ltd.

**Meeting Date:** 09/15/2020

**Country:** China

**Meeting Type:** Special

**Ticker:** 000975

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Medium-term Capitalization of Capital Reserves	For	For
2	Approve Increase in Audit Fees	For	For
3	Approve External Guarantee Provision Plan	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Zhaojin Mining Industry Company Limited

Meeting Date: 09/15/2020

Country: China

Meeting Type: Special

Ticker: 1818

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Issuance of Corporate Bonds and Related Transactions	For	For
2	Approve Issuance of Medium-term Notes and Related Transactions	For	For
3	Approve Issuance of Perpetual Medium-term Notes and Related Transactions	For	For
4a	Amend Articles of Association	For	For
4b	Authorize Board to Deal With All Matters in Relation to the Amendment of Articles of Association	For	For

### Zhejiang NHU Co. Ltd.

Meeting Date: 09/15/2020

Country: China

Meeting Type: Special

Ticker: 002001

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	ELECT NON-INDEPENDENT DIRECTORS VIA CUMULATIVE VOTING		
1.1	Elect Hu Baifan as Non-Independent Director	For	For
1.2	Elect Hu Baiyan as Non-Independent Director	For	For
1.3	Elect Shi Guanqun as Non-Independent Director	For	For
1.4	Elect Wang Xuewen as Non-Independent Director	For	For
1.5	Elect Wang Zhengjiang as Non-Independent Director	For	For
1.6	Elect Zhou Guiyang as Non-Independent Director	For	For
1.7	Elect Yu Baijin as Non-Independent Director	For	For
	ELECT INDEPENDENT DIRECTORS VIA CUMULATIVE VOTING		
2.1	Elect Huang Can as Independent Director	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Zhejiang NHU Co. Ltd.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
2.2	Elect Jin Zanfeng as Independent Director	For	For
2.3	Elect Zhu Jianmin as Independent Director	For	For
2.4	Elect Ji Jianyang as Independent Director	For	For
	ELECT SUPERVISORS VIA CUMULATIVE VOTING		
3.1	Elect Lv Guofeng as Supervisor	For	For
3.2	Elect Shi Fangbin as Supervisor	For	For
3.3	Elect Yu Hongwei as Supervisor	For	For
4	Approve Allowance of Independent Directors	For	For

### Alimentation Couche-Tard Inc.

Meeting Date: 09/16/2020

Country: Canada

Meeting Type: Annual

Ticker: ATD.B

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	Meeting for Class A Multiple Voting and Class B Subordinate Voting Shareholders		
1	Approve PricewaterhouseCoopers LLP as Auditors and Authorize Board to Fix Their Remuneration	For	For
2.1	Elect Director Alain Bouchard	For	For
2.2	Elect Director Melanie Kau	For	For
2.3	Elect Director Jean Bernier	For	For
2.4	Elect Director Eric Boyko	For	For
2.5	Elect Director Jacques D'Amours	For	For
2.6	Elect Director Janice L. Fields	For	For
2.7	Elect Director Richard Fortin	For	For
2.8	Elect Director Brian Hannasch	For	For
2.9	Elect Director Marie Josee Lamothe	For	For
2.10	Elect Director Monique F. Leroux	For	For
2.11	Elect Director Real Plourde	For	For
2.12	Elect Director Daniel Rabinowicz	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Alimentation Couche-Tard Inc.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
2.13	Elect Director Louis Tetu	For	For
3	Advisory Vote on Executive Compensation Approach Shareholder Proposals	For	For
4	SP 1: Integrate ESG Criteria into in Establishing Executive Compensation	Against	For
5	SP 2: Determine that a Director is Independent or Non-Independent Be Disclosed in Management Circular	Against	Against
6	SP 3: Adopt a Responsible Employment Policy While Ensuring Its Employees a Living Wage	Against	Against

### A-Living Services Co., Ltd.

**Meeting Date:** 09/16/2020      **Country:** China  
**Meeting Type:** Special      **Ticker:** 3319

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Change of Company Name	For	For
2	Amend Articles of Association	For	For

### Aryzta AG

**Meeting Date:** 09/16/2020      **Country:** Switzerland  
**Meeting Type:** Special      **Ticker:** ARYN

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	Shareholder Proposals Submitted by Cobas Asset Management, SGIIC, S.A. AND VERAISON		
1.1.1	Dismiss Gary McGann as Director and Board Chairman		
1.1.2	Dismiss Dan Flinter as Member of the Board of Directors		
1.1.3	Dismiss Annette Flynn as Member of the Board of Directors	Against	Against



## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Aryzta AG

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1.1.4	Dismiss Rolf Watter as Member of the Board of Directors		
1.1.5	Dismiss Kevin Toland as Member of the Board of Directors  If After the Votes on Agenda Items 1.1 and 1.2 the Max No. of 12 Directors Permitted is Exceeded, the Candidates with the Highest Number of Votes Received under Item 1.2 will be Deemed Elected as Directors  Management Proposal	Against	Against
1.2.1	Elect Andreas G. Schmid as Director  Shareholder Proposals Submitted by Cobas Asset Management, SGIIC, S.A. AND VERAISON	For	For
1.2.2	Elect Urs Jordi as Director	Against	For
1.2.3	Elect Armin Bieri as Director	Against	Against
1.2.4	Elect Heiner Kamps as Director  Elect Board Chairman Whereby the Candidate with the Higher Number of Votes under Agenda Item 1.3 will be Deemed Elected as Chair of the Board of Directors  Management Proposal	Against	For
1.3.1	Elect Andreas G. Schmid as Board Chairman  Shareholder Proposal Submitted by Cobas Asset Management, SGIIC, S.A. AND VERAISON	For	For
1.3.2	Elect Urs Jordi as Board Chairman  If After the Votes on Agenda Item 2, the Max No. of 4 Members of the Compensation Committee Permitted is Exceeded, the Three Candidates with the Highest Number of Votes Received under Item 2 will be Deemed Elected as Members of the Compensation Committee  Management Proposals	Against	Against
2.1	Appoint Jim Leighton as Member of the Compensation Committee	For	For
2.2	Appoint Tim Lodge as Member of the Compensation Committee	For	For
2.3	Appoint Andreas G. Schmid as Member of the Compensation Committee  Shareholder Proposals Submitted by Cobas Asset Management, SGIIC, S.A. AND VERAISON	For	Against

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Aryzta AG

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
2.4	Appoint Armin Bieri as Member of the Compensation Committee	Against	Against
2.5	Appoint Heiner Kamps as Member of the Compensation Committee Management Proposal	Against	For
3	Transact Other Business (Voting)	For	Against

### Auto Trader Group Plc

Meeting Date: 09/16/2020

Country: United Kingdom

Meeting Type: Annual

Ticker: AUTO

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	For	For
2	Approve Remuneration Report	For	For
3	Re-elect Ed Williams as Director	For	For
4	Re-elect Nathan Coe as Director	For	For
5	Re-elect David Keens as Director	For	For
6	Re-elect Jill Easterbrook as Director	For	For
7	Re-elect Jeni Mundy as Director	For	For
8	Re-elect Catherine Faiers as Director	For	For
9	Elect Jamie Warner as Director	For	For
10	Elect Sigga Sigurdardottir as Director	For	For
11	Reappoint KPMG LLP as Auditors	For	For
12	Authorise Board to Fix Remuneration of Auditors	For	For
13	Authorise Issue of Equity	For	For
14	Authorise Issue of Equity without Pre-emptive Rights	For	For
15	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Specified Capital Investment	For	For
16	Authorise Market Purchase of Ordinary Shares	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Auto Trader Group Plc

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
17	Authorise the Company to Call General Meeting with Two Weeks' Notice	For	For
18	Adopt New Articles of Association	For	For

### Bank of Nanjing Co., Ltd.

**Meeting Date:** 09/16/2020      **Country:** China  
**Meeting Type:** Special      **Ticker:** 601009

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Amendments to Articles of Association	For	For
	ELECT NON-INDEPENDENT DIRECTORS VIA CUMULATIVE VOTING		
2.1	Elect Hu Shengrong as Non-Independent Director	For	For
2.2	Elect Lin Jingran as Non-Independent Director	For	For
2.3	Elect Yang Bohao as Non-Independent Director	For	For
2.4	Elect Chen Zheng as Non-Independent Director	For	For
2.5	Elect Yu Lanying as Non-Independent Director	For	For
2.6	Elect Xu Yimin as Non-Independent Director	For	For
2.7	Elect Liu Lini as Non-Independent Director	For	For
	ELECT INDEPENDENT DIRECTORS VIA CUMULATIVE VOTING		
3.1	Elect Chen Donghua as Independent Director	For	For
3.2	Elect Xiao Binqing as Independent Director	For	For
3.3	Elect Shen Yongming as Independent Director	For	For
3.4	Elect Qiang Ying as Independent Director	For	For
	ELECT SUPERVISORS VIA CUMULATIVE VOTING		
4.1	Elect Shen Yongjian as Supervisor	For	For
4.2	Elect Ma Miao as Supervisor	For	For
4.3	Elect Xu Yueping as Supervisor	For	For
4.4	Elect Liu Qilian as Supervisor	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Bank of Nanjing Co., Ltd.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
4.5	Elect Zhang Ding as Supervisor	For	For
4.6	Elect Liu Hechun as Supervisor	For	For

### Beijing Yanjing Brewery Co., Ltd.

**Meeting Date:** 09/16/2020      **Country:** China  
**Meeting Type:** Special      **Ticker:** 000729

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	ELECT NON-INDEPENDENT DIRECTORS VIA CUMULATIVE VOTING		
1.1	Elect Zhao Xiaodong as Non-Independent Director	For	For
1.2	Elect Xie Guangjun as Non-Independent Director	For	For
1.3	Elect Liu Xiangyu as Non-Independent Director	For	For
1.4	Elect Li Guangjun as Non-Independent Director	For	For
1.5	Elect Dong Xuezheng as Non-Independent Director	For	For
1.6	Elect Guo Weiping as Non-Independent Director	For	For
1.7	Elect Jia Fengchao as Non-Independent Director	For	For
1.8	Elect Xiao Guofeng as Non-Independent Director	For	For
1.9	Elect Wu Pei as Non-Independent Director	For	For
1.10	Elect Lin Zhiping as Non-Independent Director	For	For
	ELECT INDEPENDENT DIRECTORS VIA CUMULATIVE VOTING		
2.1	Elect Guo Xiaochuan as Independent Director	For	For
2.2	Elect Zhu Liqing as Independent Director	For	For
2.3	Elect Zhang Guiqing as Independent Director	For	For
2.4	Elect Yin Jianjun as Independent Director	For	For
2.5	Elect Zhou Jian as Independent Director	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Beijing Yanjing Brewery Co., Ltd.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	ELECT SUPERVISORS VIA CUMULATIVE VOTING		
3.1	Elect Wang Lina as Supervisor	For	For
3.2	Elect Wen Qing as Supervisor	For	For

### China Yangtze Power Co., Ltd.

**Meeting Date:** 09/16/2020      **Country:** China  
**Meeting Type:** Special      **Ticker:** 600900

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Introduction of Co-Investors for Power Distribution Project	For	Against

### Hindustan Petroleum Corporation Limited

**Meeting Date:** 09/16/2020      **Country:** India  
**Meeting Type:** Annual      **Ticker:** 500104

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	For	For
2	Approve Final Dividend	For	For
3	Reelect Pushp Kumar Joshi as Director	For	Against
4	Reelect Subhash Kumar as Director	For	Against
5	Elect R Kesavan as Director	For	Against
6	Elect Rakesh Misri as Director	For	Against
7	Approve Remuneration of Cost Auditors	For	For
8	Approve Material Related Party Transactions with Joint Venture Company, HPCL Mittal Energy Limited (HMEL)	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Holmen AB

**Meeting Date:** 09/16/2020

**Country:** Sweden

**Meeting Type:** Special

**Ticker:** HOLM.B

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Elect Chairman of Meeting	For	For
2	Designate Inspector(s) of Minutes of Meeting	For	For
3	Prepare and Approve List of Shareholders	For	For
4	Approve Agenda of Meeting	For	For
5	Acknowledge Proper Convening of Meeting	For	For
6	Approve Dividends of SEK 3.50 Per Share	For	For

### Meinian Onehealth Healthcare Holdings Co., Ltd.

**Meeting Date:** 09/16/2020

**Country:** China

**Meeting Type:** Special

**Ticker:** 002044

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Termination of Fund-raising Investment Project and Use Its Remaining Raised Funds to Replenish Working Capital	For	For
2	Approve Signing of Software Development Service Agreement	For	For
3	Amend Articles of Association	For	For

### S.F. Holding Co., Ltd.

**Meeting Date:** 09/16/2020

**Country:** China

**Meeting Type:** Special

**Ticker:** 002352

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Change in the Raised Funds Investment Project	For	For
2	Amend Management System of Raised Funds	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### S.F. Holding Co., Ltd.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
3	Amend External Investment Management System	For	For
4	Amend Related Party Transaction Internal Control and Decision System	For	For
5	Amend Remuneration Management System of Directors, Supervisors and Senior Management Members	For	For
6	Amend Working System for Independent Directors	For	For
7	Amend Implementing Rules for Cumulative Voting System	For	For
8	Amend Articles of Association	For	For

### Shenzhen Kingdom Sci-tech Co., Ltd.

**Meeting Date:** 09/16/2020      **Country:** China  
**Meeting Type:** Special      **Ticker:** 600446

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Stock Option Incentive Plan and Its Summary	For	Against
2	Approve Methods to Assess the Performance of Plan Participants	For	Against
3	Approve Authorization of the Board to Handle All Related Matters	For	Against
4	Approve Credit Line and Provision of Counter Guarantee	For	For
5	Approve Provision of Guarantee	For	For

### Take-Two Interactive Software, Inc.

**Meeting Date:** 09/16/2020      **Country:** USA  
**Meeting Type:** Annual      **Ticker:** TTWO

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1.1	Elect Director Strauss Zelnick	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Take-Two Interactive Software, Inc.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1.2	Elect Director Michael Dornemann	For	For
1.3	Elect Director J Moses	For	For
1.4	Elect Director Michael Sheresky	For	For
1.5	Elect Director LaVerne Srinivasan	For	For
1.6	Elect Director Susan Tolson	For	For
1.7	Elect Director Paul Viera	For	For
1.8	Elect Director Roland Hernandez	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Amend Omnibus Stock Plan	For	For
4	Ratify Ernst & Young LLP as Auditors	For	For

### Thai Union Group Public Co. Ltd.

Meeting Date: 09/16/2020

Country: Thailand

Meeting Type: Annual

Ticker: TU

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Minutes of Previous Meeting	For	For
2	Approve Annual Report and Acknowledge Operational Results		
3	Approve Financial Statements and Statutory Reports	For	For
4	Approve Allocation of Income	For	For
5.1	Elect Thiraphong Chansiri as Director	For	For
5.2	Elect Chuan Tangchansiri as Director	For	For
5.3	Elect Thamnoon Ananthothai as Director	For	Against
5.4	Elect Nart Liuchareon as Director	For	For
6	Approve Remuneration for the Year 2020 and Bonus of Directors for the Year 2019	For	For
7	Approve Auditors and Authorize Board to Fix Their Remuneration	For	For
8.1	Amend Articles 24 and 25 of the Articles of Association	For	For



## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Thai Union Group Public Co. Ltd.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
8.2	Amend Article 27 of the Articles of Association	For	For
8.3	Amend Article 31 of the Articles of Association	For	For
9	Amend Company's Objectives and Amend Memorandum of Association	For	For
10	Other Business		

### The Foschini Group Ltd.

**Meeting Date:** 09/16/2020      **Country:** South Africa  
**Meeting Type:** Annual      **Ticker:** TFG

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	Ordinary Resolutions		
1	Accept Financial Statements and Statutory Reports for the Year Ended 31 March 2020	For	For
2	Reappoint Deloitte & Touche as Auditors with Michael van Wyk as the Designated Partner	For	For
3	Re-elect Nomahlubi Simamane as Director	For	For
4	Re-elect David Friedland as Director	For	For
5	Re-elect Ronnie Stein as Director	For	For
6	Re-elect Graham Davin as Director	For	For
7	Re-elect Eddy Oblowitz as Member of the Audit Committee	For	For
8	Re-elect Tumi Makgabo-Fiskerstrand as Member of the Audit Committee	For	For
9	Elect Ronnie Stein as Member of the Audit Committee	For	For
10	Re-elect Nomahlubi Simamane as Member of the Audit Committee	For	For
11	Re-elect David Friedland as Member of the Audit Committee	For	For
12	Approve Remuneration Policy	For	For
13	Approve Remuneration Implementation Report	For	For
14	Approve Share Appreciation Rights Plan	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### The Foschini Group Ltd.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
15	Approve Forfeitable Share Plan Special Resolutions	For	For
1	Approve Implementation of Share Appreciation Rights Plan	For	For
2	Approve Implementation of Forfeitable Share Plan	For	For
3	Amend Memorandum of Incorporation	For	For
4	Approve Remuneration of Non-executive Directors	For	For
5	Approve Financial Assistance in Terms of Sections 44 and 45 of the Companies Act Continuation of Ordinary Resolutions	For	For
16	Authorise Ratification of Approved Resolutions	For	For

### Wuhu Shunrong Sanqi Interactive Entertainment Co., Ltd.

Meeting Date: 09/16/2020

Country: China

Meeting Type: Special

Ticker: 002555

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Interim Profit Distribution	For	For
2	Approve Credit Line Application	For	For
3	Elect Hu Yuhang as Non-Independent Director	For	For

### Zoomlion Heavy Industry Science and Technology Co., Ltd.

Meeting Date: 09/16/2020

Country: China

Meeting Type: Special

Ticker: 1157

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
EGM BALLOT FOR HOLDERS OF H SHARES			
1	Approve Profit Distribution Plan and Distribution of Interim Dividend	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Zoomlion Heavy Industry Science and Technology Co., Ltd.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
2	Approve Merger by Absorption of Wholly-owned Subsidiary	For	For

### Zoomlion Heavy Industry Science and Technology Co., Ltd.

**Meeting Date:** 09/16/2020      **Country:** China  
**Meeting Type:** Special      **Ticker:** 1157

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF A SHARES		
1	Approve Profit Distribution Plan and Distribution of Interim Dividend	For	For
2	Approve Merger by Absorption of Wholly-owned Subsidiary	For	For

### GRG Banking Equipment Co., Ltd.

**Meeting Date:** 09/17/2020      **Country:** China  
**Meeting Type:** Special      **Ticker:** 002152

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Company's Eligibility for Private Placement of Shares	For	Against
	APPROVE PRIVATE PLACEMENT OF SHARES		
2.1	Approve Share Type and Par Value	For	Against
2.2	Approve Issue Manner and Issue Time	For	Against
2.3	Approve Issue Size	For	Against
2.4	Approve Target Subscribers and Subscription Method	For	Against
2.5	Approve Pricing Reference Date, Issue Price and Pricing Method	For	Against
2.6	Approve Lock-up Period	For	Against
2.7	Approve Use and Amount of Proceeds	For	Against
2.8	Approve Listing Exchange	For	Against

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### GRG Banking Equipment Co., Ltd.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
2.9	Approve Distribution Arrangement of Undistributed Earnings	For	Against
2.10	Approve Resolution Validity Period	For	Against
3	Approve Plan on Private Placement of Shares	For	Against
4	Approve Feasibility Analysis Report on the Use of Proceeds	For	Against
5	Approve Report on the Usage of Previously Raised Funds	For	Against
	APPROVE TO INTRODUCE STRATEGIC INVESTORS AND SIGNING OF STRATEGIC COOPERATION AGREEMENT		
6.1	Approve Signing of Strategic Cooperation Agreement with China State-owned Enterprise Structural Adjustment Fund Co., Ltd.	For	Against
6.2	Approve Signing of Strategic Cooperation Agreement with Guangzhou City Development Investment Fund Management Co., Ltd.	For	Against
6.3	Approve Signing of Strategic Cooperation Agreement with Guangzhou Development District Financial Holding Group Co., Ltd.	For	Against
6.4	Approve Signing of Strategic Cooperation Agreement with Zhuhai Gree Group Co., Ltd.	For	Against
	APPROVE SIGNING OF CONDITIONAL SHARE SUBSCRIPTION AGREEMENT WITH TARGET SUBSCRIBERS		
7.1	Approve Signing of Conditional Share Subscription Agreement with China State-owned Enterprise Structural Adjustment Fund Co., Ltd.	For	Against
7.2	Approve Signing of Conditional Share Subscription Agreement with Guangzhou China Life City Development Industry Investment Enterprise (Limited Partnership)	For	Against
7.3	Approve Signing of Conditional Share Subscription Agreement with Guangzhou Development District Financial Holding Group Co., Ltd.	For	Against
7.4	Approve Signing of Conditional Share Subscription Agreement with Zhuhai Gree Financial Investment Management Co., Ltd.	For	Against
8	Approve Impact of Dilution of Current Returns on Major Financial Indicators and the Relevant Measures to be Taken	For	Against
9	Approve Formulation of Shareholder Return Plan	For	Against

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### GRG Banking Equipment Co., Ltd.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
10	Approve Special Self-inspection Report on Company's Real Estate Business	For	Against
11	Approve Commitments of Controlling Shareholders, Directors and Senior Management Related to the Special Self-inspection Report on Company's Real Estate Business	For	Against
12	Approve Authorization of Board to Handle All Related Matters	For	Against

### NIKE, Inc.

**Meeting Date:** 09/17/2020      **Country:** USA  
**Meeting Type:** Annual      **Ticker:** NKE

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1a	Elect Director Alan B. Graf, Jr.	For	For
1b	Elect Director Peter B. Henry	For	For
1c	Elect Director Michelle A. Peluso	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
4	Amend Omnibus Stock Plan	For	For
5	Report on Political Contributions Disclosure	Against	For

### Sansteel MinGuang Co., Ltd., Fujian

**Meeting Date:** 09/17/2020      **Country:** China  
**Meeting Type:** Special      **Ticker:** 002110

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Provision of Guarantee	For	For
2	Approve Loan and Related Party Transactions	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Sansteel MinGuang Co., Ltd., Fujian

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
3	Approve Adjustment of Daily Related Party Transaction	For	For

### Secured Income Fund Plc

**Meeting Date:** 09/17/2020      **Country:** United Kingdom  
**Meeting Type:** Special      **Ticker:** SSIF

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Adopt the New Investment Policy	For	For
2	Approve Managed Wind-Down of the Company	For	For
3	Amend Articles of Association	For	For

### Shanghai Putailai New Energy Technology Co., Ltd.

**Meeting Date:** 09/17/2020      **Country:** China  
**Meeting Type:** Special      **Ticker:** 603659

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve to Adjust the Repurchase Price and Repurchase Cancellation of Performance Shares	For	For

### Vanguard Investment Series Plc - Vanguard U.S. Investment Grade Credit Index Fun

**Meeting Date:** 09/17/2020      **Country:** Ireland  
**Meeting Type:** Annual      **Ticker:** Q330JX.F

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports and Review the Company's Affairs	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Vanguard Investment Series Plc - Vanguard U.S. Investment Grade Credit Index Fun

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
2	Ratify PricewaterhouseCoopers as Auditors and Authorise Their Remuneration	For	For

### B&M European Value Retail SA

Meeting Date: 09/18/2020

Country: Luxembourg

Meeting Type: Annual

Ticker: BME

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Receive Board Reports on the Consolidated and Unconsolidated Financial Statements and Annual Accounts	For	For
2	Receive Consolidated and Unconsolidated Financial Statements and Annual Accounts, and Auditors' Reports Thereon	For	For
3	Approve Consolidated Financial Statements and Statutory Reports	For	For
4	Approve Unconsolidated Financial Statements and Annual Accounts	For	For
5	Approve Allocation of Income	For	For
6	Approve Dividends	For	For
7	Approve Remuneration Report	For	For
8	Approve Discharge of Directors	For	For
9	Re-elect Peter Bamford as Director	For	For
10	Re-elect Simon Arora as Director	For	For
11	Re-elect Paul McDonald as Director	For	For
12	Re-elect Ron McMillan as Director	For	For
13	Re-elect Tiffany Hall as Director	For	For
14	Re-elect Carolyn Bradley as Director	For	For
15	Re-elect Gilles Petit as Director	For	For
16	Approve Discharge of Auditors	For	For
17	Reappoint KPMG Luxembourg as Auditors	For	For
18	Authorise Board to Fix Remuneration of Auditors	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### B&M European Value Retail SA

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
19	Authorise Market Purchase of Ordinary Shares	For	For
20	Authorise Issue of Equity without Pre-emptive Rights	For	For
21	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	For	For

### China Longyuan Power Group Corporation Limited

**Meeting Date:** 09/18/2020      **Country:** China  
**Meeting Type:** Special      **Ticker:** 916

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve General Mandate to Apply for Registration and Issuance of Debt Financing Instruments Overseas	For	For

### China TransInfo Technology Co., Ltd.

**Meeting Date:** 09/18/2020      **Country:** China  
**Meeting Type:** Special      **Ticker:** 002373

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	ELECT NON-INDEPENDENT DIRECTORS VIA CUMULATIVE VOTING		
1.1	Elect Xia Shudong as Non-Independent Director	For	For
1.2	Elect Xu Shijun as Non-Independent Director	For	For
1.3	Elect Zhang Pengguo as Non-Independent Director	For	For
1.4	Elect Pan Fan as Non-Independent Director	For	For
1.5	Elect Wang Yejiang as Non-Independent Director	For	For
1.6	Elect Xia Shufeng as Non-Independent Director	For	For
	ELECT INDEPENDENT DIRECTORS VIA CUMULATIVE VOTING		



## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### China TransInfo Technology Co., Ltd.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
2.1	Elect Huang Feng as Independent Director	For	For
2.2	Elect Chen Ronggen as Independent Director	For	For
2.3	Elect Yang Dongrui as Independent Director	For	For
3	Approve Allowance of Independent Directors	For	For
	ELECT SUPERVISORS VIA CUMULATIVE VOTING		
4.1	Elect Sun Dayong as Supervisor	For	For
4.2	Elect Sun Lin as Supervisor	For	For

### Cromwell Property Group

**Meeting Date:** 09/18/2020      **Country:** Australia  
**Meeting Type:** Special      **Ticker:** CMW

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Elect Gary Weiss as Director	Against	Against
2	Elect Joseph Gersh as Director	Against	For

### Davide Campari-Milano NV

**Meeting Date:** 09/18/2020      **Country:** Netherlands  
**Meeting Type:** Special      **Ticker:** CPR

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	Special Meeting Agenda		
1	Open Meeting		
2	Approve Reduction in Share Capital and Amend Articles to Reflect Changes in Capital	For	Against
3	Approve Implementation of Clause 13.11 and Amend Articles of Association	For	Against
4	Elect Fabio Facchini as Director	For	For
5	Approve Remuneration Policy	For	Against

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Daide Campari-Milano NV

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
6	Allow Questions		
7	Close Meeting		

### Detsky Mir PJSC

**Meeting Date:** 09/18/2020      **Country:** Russia  
**Meeting Type:** Special      **Ticker:** DSKY

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Interim Dividends of RUB 2.50 per Share for First Six Months of Fiscal 2020	For	For

### GEM Co., Ltd.

**Meeting Date:** 09/18/2020      **Country:** China  
**Meeting Type:** Special      **Ticker:** 002340

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Amendments to Articles of Association	For	For
2	Elect Tang Xinbing as Non-Independent Director	For	For
3	Elect Pan Feng as Independent Director	For	For
4	Approve Provision of Guarantee	For	Against

### LPP SA

**Meeting Date:** 09/18/2020      **Country:** Poland  
**Meeting Type:** Annual      **Ticker:** LPP

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	Management Proposals		

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### LPP SA

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Open Meeting; Elect Meeting Chairman	For	For
2	Acknowledge Proper Convening of Meeting; Prepare List of Participating Shareholders		
3	Approve Agenda of Meeting	For	For
4.1	Receive Supervisory Board Opinion on General Meeting Agenda		
4.2	Receive Supervisory Board Report on Its Review of Management Board Report on Company's and Group's Operations		
4.3	Receive Supervisory Board Report on Its Review of Standalone Financial Statements		
4.4	Receive Supervisory Board Report on Its Review of Consolidated Financial Statements		
4.5	Receive Management Board Proposal on Allocation of Income		
4.6	Receive Supervisory Board Opinion on Management Board Proposal on Allocation of Income		
4.7	Receive Supervisory Board Assessment of Company's Standing		
4.8	Receive Supervisory Board Report on Board's Work		
4.9	Receive Supervisory Board Report on Company's Compliance with Polish Corporate Governance Code		
4.10	Receive Supervisory Board Report on Company's Policy on Charity Activities		
5	Approve Management Board Report on Company's and Group's Operations	For	For
6	Approve Supervisory Board Report on Board's Work	For	For
7	Approve Financial Statements	For	For
8	Approve Consolidated Financial Statements	For	For
9.1	Approve Discharge of Marek Piechocki (CEO)	For	For
9.2	Approve Discharge of Jacek Kujawa (Deputy CEO)	For	For
9.3	Approve Discharge of Przemyslaw Lutkiewicz (Deputy CEO)	For	For
9.4	Approve Discharge of Slawomir Loboda (Deputy CEO)	For	For
10.1	Approve Discharge of Jerzy Lubianiec (Supervisory Board Chairman)	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### LPP SA

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
10.2	Approve Discharge of Wojciech Olejniczak (Supervisory Board Member)	For	For
10.3	Approve Discharge of Magdalena Sekula (Supervisory Board Member)	For	For
10.4	Approve Discharge of Piotr Piechocki (Supervisory Board Member)	For	For
10.5	Approve Discharge of Antoni Tyminski (Supervisory Board Member)	For	For
10.6	Approve Discharge of Milosz Wisniewski (Supervisory Board Member)	For	For
11	Approve Allocation of Income and Omission of Dividends	For	For
12	Approve Remuneration Policy	For	Against
13	Approve Dematerialization of B Series Shares	For	For
14	Approve Creation of Reserve Capital for Purposes of Share Repurchase Program	For	Against
15	Authorize Share Repurchase Program	For	Against
16	Approve Remuneration of Supervisory Board Chairman	For	For
	Shareholder Proposals		
17	Amend Statute	None	Against
	Management Proposals		
18	Close Meeting		

### NanJi E-Commerce Co., Ltd.

**Meeting Date:** 09/18/2020

**Country:** China

**Meeting Type:** Special

**Ticker:** 002127

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve the Supplementary Explanation of the Stock Option Incentive Plan	For	Against

### Navinfo Co., Ltd.

**Meeting Date:** 09/18/2020

**Country:** China

**Meeting Type:** Special

**Ticker:** 002405

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Navinfo Co., Ltd.

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Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Adjustment of Repurchase Price of Performance Shares and Repurchase and Cancellation of Performance Shares	For	For
2	Amend Articles of Association	For	For
3	Amend Management System of Raised Funds	For	For
4	Approve Company's Eligibility for Private Placement of Shares  APPROVE PLAN FOR PRIVATE PLACEMENT OF NEW SHARES	For	For
5.1	Approve Share Type and Par Value	For	For
5.2	Approve Issue Manner and Issue Time	For	For
5.3	Approve Target Subscribers and Subscription Method	For	For
5.4	Approve Issue Price and Pricing Basis	For	For
5.5	Approve Issue Size	For	For
5.6	Approve Lock-up Period	For	For
5.7	Approve Amount and Use of Proceeds	For	For
5.8	Approve Listing Exchange	For	For
5.9	Approve Distribution Arrangement of Undistributed Earnings	For	For
5.10	Approve Resolution Validity Period	For	For
6	Approve Private Placement of Shares	For	For
7	Approve Feasibility Analysis Report on the Use of Proceeds	For	For
8	Approve Report on the Usage of Previously Raised Funds	For	For
9	Approve Impact of Dilution of Current Returns on Major Financial Indicators and the Relevant Measures to be Taken	For	For
10	Approve Authorization of Board to Handle All Related Matters	For	For
11	Approve Shareholder Return Plan	For	For

### Pearson Plc

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Meeting Date: 09/18/2020

Country: United Kingdom

Meeting Type: Special

Ticker: PSON

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## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Pearson Plc

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Amend Remuneration Policy	For	Against

### Shandong Gold Mining Co., Ltd.

Meeting Date: 09/18/2020

Country: China

Meeting Type: Special

Ticker: 1787

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	EGM BALLOT FOR HOLDERS OF A SHARES Approve Provision of Guarantee for the Financing of an Overseas Subsidiary of the Company	For	For

### Shenzhen Kingdom Sci-tech Co., Ltd.

Meeting Date: 09/18/2020

Country: China

Meeting Type: Special

Ticker: 600446

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	ELECT NON-INDEPENDENT DIRECTORS AND INDEPENDENT DIRECTORS		
1.1	Elect Zhao Jian as Non-Independent Director	For	For
1.2	Elect Du Xuan as Non-Independent Director	For	For
1.3	Elect Li Jieyi as Non-Independent Director	For	For
1.4	Elect Xu Minbo as Non-Independent Director	For	For
1.5	Elect Huang Yuxiang as Non-Independent Director	For	For
1.6	Elect Yang Zhenghong as Independent Director	For	For
1.7	Elect Li Jun as Independent Director	For	For
1.8	Elect Wang Wenruo as Independent Director	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Shenzhen Kingdom Sci-tech Co., Ltd.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	ELECT SUPERVISORS		
2.1	Elect Liu Ying as Supervisor	For	For
2.2	Elect Li Shicong as Supervisor	For	For
3	Approve Repurchase and Cancellation of Performance Shares	For	For
4	Approve Provision of Guarantee	For	For

### Sinopharm Group Co., Ltd.

Meeting Date: 09/18/2020

Country: China

Meeting Type: Special

Ticker: 1099

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Elect Li Zhiming as Director and Authorize Board to Fix His Remuneration and to Enter Into a Service Contract with Him	For	For
2	Elect Yu Qingming as Director and Authorize Board to Fix His Remuneration and to Enter Into a Service Contract with Him	For	For
3	Elect Liu Yong as Director and Authorize Board to Fix His Remuneration and to Enter Into a Service Contract with Him	For	For
4	Elect Chen Qiyu as Director and Authorize Board to Fix His Remuneration and to Enter Into a Service Contract with Him	For	Against
5	Elect Ma Ping as Director and Authorize Board to Fix His Remuneration and to Enter Into a Service Contract with Him	For	For
6	Elect Hu Jianwei as Director and Authorize Board to Fix His Remuneration and to Enter Into a Service Contract with Him	For	For
7	Elect Deng Jindong as Director and Authorize Board to Fix His Remuneration and to Enter Into a Service Contract with Him	For	For
8	Elect Wen Deyong as Director and Authorize Board to Fix His Remuneration and to Enter Into a Service Contract with Him	For	For
9	Elect Guan Xiaohui as Director and Authorize Board to Fix Her Remuneration and to Enter Into a Service Contract with Her	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Sinopharm Group Co., Ltd.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
10	Elect Feng Rongli as Director and Authorize Board to Fix Her Remuneration and to Enter Into a Service Contract with Her	For	For
11	Elect Zhuo Fumin as Director and Authorize Board to Fix His Remuneration and to Enter Into a Service Contract with Him	For	Against
12	Elect Chen Fangruo as Director and Authorize Board to Fix His Remuneration and to Enter Into a Service Contract with Him	For	For
13	Elect Li Peiyu as Director and Authorize Board to Fix His Remuneration and to Enter Into a Service Contract with Him	For	For
14	Elect Wu Tak Lung as Director and Authorize Board to Fix His Remuneration and to Enter Into a Service Contract with Him	For	Against
15	Elect Yu Weifeng as Director and Authorize Board to Fix His Remuneration and to Enter Into a Service Contract with Him	For	For
16	Elect Wu Yifang as Supervisor and Authorize Board to Fix His Remuneration and to Enter Into a Service Contract with Him	For	For
17	Elect Liu Zhengdong as Supervisor and Authorize Board to Fix His Remuneration and to Enter Into a Service Contract with Him	For	For
18	Elect Li Xiaojuan as Supervisor and Authorize Board to Enter Into a Service Contract with Her	For	For
19	Amend Articles of Association	For	For

### Tongling Nonferrous Metals Group Co., Ltd.

Meeting Date: 09/18/2020

Country: China

Meeting Type: Special

Ticker: 000630

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Provision of Guarantee	For	For
2	Approve Spin-off of Subsidiary on ChiNext in Accordance with Relevant Laws and Regulations  APPROVE PLAN FOR SPIN-OFF OF SUBSIDIARY ON CHINEXT	For	For
3.1	Approve Listing Exchange	For	For



## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Tongling Nonferrous Metals Group Co., Ltd.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
3.2	Approve Type	For	For
3.3	Approve Par Value	For	For
3.4	Approve Target Subscribers	For	For
3.5	Approve Listing Time	For	For
3.6	Approve Issue Manner	For	For
3.7	Approve Issue Size	For	For
3.8	Approve Manner of Pricing	For	For
3.9	Approve Implementation of Strategic Placement at the Time of Issuance	For	For
3.10	Approve Use of Proceeds	For	For
3.11	Approve Underwriting Manner	For	For
4	Approve Spin-off of Subsidiary on ChiNext	For	For
5	Approve Compliance with Provisions on Pilot Domestic Listing of Subsidiaries of Listed Companies	For	For
6	Approve Listing to Safeguard the Legitimate Rights and Interests of Shareholders and Creditors	For	For
7	Approve Company's Maintaining Independence and Continuous Operation Ability	For	For
8	Approve Corresponding Standard Operation Ability	For	For
9	Approve Completeness and Compliance of Implementation of Legal Proceedings of the Transactions and Validity of the Submitted Legal Documents Regarding this Transaction	For	For
10	Approve Purpose, Commercial Rationality, Necessity and Feasibility Analysis of this Transaction	For	For
11	Approve Authorization of Board to Handle All Related Matters	For	For

### Visionox Technology, Inc.

Meeting Date: 09/18/2020

Country: China

Meeting Type: Special

Ticker: 002387

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Visionox Technology, Inc.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Guarantee Provision Plan	For	Against

### WUS Printed Circuit (Kunshan) Co., Ltd.

Meeting Date: 09/18/2020      Country: China  
Meeting Type: Special      Ticker: 002463

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Draft and Summary of Stock Option Incentive Plan	For	Against
2	Approve Methods to Assess the Performance of Plan Participants	For	Against
3	Approve Authorization of Board to Handle All Related Matters	For	Against

### Yealink Network Technology Corp. Ltd.

Meeting Date: 09/18/2020      Country: China  
Meeting Type: Special      Ticker: 300628

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve to Adjust Some Performance Evaluation Indicators of the Performance Share Incentive Plan in 2018	For	For
2	Approve to Adjust Some Performance Evaluation Indicators of the Performance Share Incentive Plan in 2020	For	For

### Zee Entertainment Enterprises Limited

Meeting Date: 09/18/2020      Country: India  
Meeting Type: Annual      Ticker: 505537

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Zee Entertainment Enterprises Limited

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	For	For
2	Approve Dividend on the Preference Shares	For	For
3	Approve Dividend	For	For
4	Reelect Ashok Kurien as Director	For	For
5	Approve Remuneration of Cost Auditors	For	For
6	Elect R Gopalan as Director	For	For
7	Elect Piyush Pandey as Director	For	For
8	Elect Alicia Yi as Director	For	For
9	Approve Reappointment and Remuneration of Punit Goenka as Managing Director & Chief Executive Officer	For	For
10	Approve Payment of Commission to Non-Executive Directors	For	For

### Canopy Growth Corporation

Meeting Date: 09/21/2020

Country: Canada

Meeting Type: Annual/Special

Ticker: WEED

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1A	Elect Director Judy A. Schmeling	For	For
1B	Elect Director David Klein	For	For
1C	Elect Director Robert L. Hanson	For	For
1D	Elect Director David Lazzarato	For	For
1E	Elect Director William Newlands	For	For
1F	Elect Director Jim Sabia	For	For
1G	Elect Director Theresa Yanofsky	For	For
2	Approve KPMG LLP as Auditors and Authorize Board to Fix Their Remuneration	For	For
3	Re-approve Omnibus Incentive Plan	For	Against
4	Amend Employee Stock Purchase Plan	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Canopy Growth Corporation

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
5	Advisory Vote on Executive Compensation Approach	For	For
6	Advisory Vote on Say on Pay Frequency	One Year	One Year

### China Huarong Asset Management Co., Ltd.

**Meeting Date:** 09/21/2020      **Country:** China  
**Meeting Type:** Special      **Ticker:** 2799

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Elect Wang Wenjie as Director	For	For
2	Elect Xu Nuo as Director	For	For

### FedEx Corporation

**Meeting Date:** 09/21/2020      **Country:** USA  
**Meeting Type:** Annual      **Ticker:** FDX

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1a	Elect Director Marvin R. Ellison	For	For
1b	Elect Director Susan Patricia Griffith	For	For
1c	Elect Director John C. (Chris) Inglis	For	For
1d	Elect Director Kimberly A. Jabal	For	For
1e	Elect Director Shirley Ann Jackson	For	For
1f	Elect Director R. Brad Martin	For	For
1g	Elect Director Joshua Cooper Ramo	For	For
1h	Elect Director Susan C. Schwab	For	For
1i	Elect Director Frederick W. Smith	For	For
1j	Elect Director David P. Steiner	For	For
1k	Elect Director Rajesh Subramaniam	For	For
1l	Elect Director Paul S. Walsh	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### FedEx Corporation

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Ernst &Young LLP as Auditors	For	For
4	Report on Lobbying Payments and Policy	Against	For
5	Report on Political Contributions Disclosure	Against	For
6	Report on Employee Representation on the Board of Directors	Against	Against
7	Provide Right to Act by Written Consent	Against	For
8	Report on Integrating ESG Metrics Into Executive Compensation Program	Against	For

### Indian Oil Corporation Limited

Meeting Date: 09/21/2020

Country: India

Meeting Type: Annual

Ticker: 530965

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	For	For
2	Confirm Interim Dividend	For	For
3	Reelect G. K. Satish as Director	For	Against
4	Reelect Gurmeet Singh as Director	For	Against
5	Elect Shrikant Madhav Vaidya as Director (Refineries), Designated as Chairman and Approve His Appointment as Whole-time Director, Designated as Chairman	For	For
6	Elect Lata Usendi as Director	For	For
7	Approve Increase in Borrowing Powers	For	For
8	Approve Remuneration of Cost Auditors	For	For

### iShares Public Limited Company - iShares \$ Corp Bond UCITS ETF

Meeting Date: 09/21/2020

Country: Ireland

Meeting Type: Annual

Ticker: LQDE

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### iShares Public Limited Company - iShares \$ Corp Bond UCITS ETF

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	This is a Second Call Meeting Originally Held on 18 September 2020		
1	Accept Financial Statements and Statutory Reports	For	
2	Ratify Deloitte as Auditors	For	
3	Authorise Board to Fix Remuneration of Auditors	For	
4	Re-elect Ros O'Shea as Director	For	
5	Re-elect Jessica Irschick as Director	For	
6	Re-elect Barry O'Dwyer as Director	For	
7	Re-elect Paul McGowan as Director	For	
8	Re-elect Paul McNaughton as Director	For	
9	Re-elect Deirdre Somers as Director	For	
10	Re-elect Teresa O'Flynn as Director	For	

### SCHMOLZ + BICKENBACH AG

Meeting Date: 09/21/2020

Country: Switzerland

Meeting Type: Special

Ticker: STLN

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Change Company Name to Swiss Steel Group AG	For	For
2	Approve CHF 304.2 Million Reduction in Share Capital via Reduction of Nominal Value to Cover Losses	For	For
3	Transact Other Business (Voting)	For	Against

### Unilever NV

Meeting Date: 09/21/2020

Country: Netherlands

Meeting Type: Special

Ticker: UNA

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Unilever NV

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	Special Meeting Agenda		
1	Amend Articles Re: Unification	For	For
2	Approve Unification	For	For
3	Approve Discharge of Executive Directors	For	For
4	Approve Discharge of Non-Executive Directors	For	For

### Yonyou Network Technology Co., Ltd.

Meeting Date: 09/21/2020

Country: China

Meeting Type: Special

Ticker: 600588

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Capital Injection	For	For
2	Approve Amendments to Articles of Association	For	For

### By-Health Co., Ltd.

Meeting Date: 09/22/2020

Country: China

Meeting Type: Special

Ticker: 300146

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	ELECT NON-INDEPENDENT DIRECTORS VIA CUMULATIVE VOTING		
1.1	Elect Liang Yunchao as Non-Independent Director	For	For
1.2	Elect Liang Shuisheng as Non-Independent Director	For	For
1.3	Elect Lin Zhicheng as Non-Independent Director	For	For
1.4	Elect Tang Hui as Non-Independent Director	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### By-Health Co., Ltd.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	ELECT INDEPENDENT DIRECTORS VIA CUMULATIVE VOTING		
2.1	Elect Wang Xi as Independent Director	For	For
2.2	Elect Deng Chuanyuan as Independent Director	For	For
2.3	Elect Liu Jianhua as Independent Director	For	For
3	Elect Wang Wen as Supervisor	For	For
4	Approve Allowance of Independent Directors	For	For
5	Approve Amendments to Articles of Association	For	For
6	Amend Foreign Investment Management Method	For	For
7	Amend Detailed Rules for Online Voting of the Shareholders General Meeting	For	For
8	Amend Rules and Procedures Regarding General Meetings of Shareholders	For	For
9	Amend Rules and Procedures Regarding Meetings of Board of Directors	For	For
10	Amend Working System for Independent Directors	For	For
11	Amend Implementing Rules for Cumulative Voting System	For	For
12	Amend Management System of Raised Funds	For	For
13	Amend Remuneration Management System of Directors, Supervisors and Senior Management Members	For	For
14	Amend Rules and Procedures Regarding Meetings of Board of Supervisors	For	For

### CD Projekt SA

**Meeting Date:** 09/22/2020

**Country:** Poland

**Meeting Type:** Special

**Ticker:** CDR

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Open Meeting		
2	Elect Meeting Chairman	For	For
3	Acknowledge Proper Convening of Meeting		



## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### CD Projekt SA

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
4	Approve Agenda of Meeting	For	For
5	Amend July 28, 2020, AGM, Resolution Re: Incentive Plan	For	Against
6	Approve Issuance of Warrants without Preemptive Rights to Subscribe to Series N Shares for Purpose of Incentive Plan; Approve Conditional Increase in Share Capital via Issuance of N Series Shares	For	Against
7	Close Meeting		

### Emaar, The Economic City

Meeting Date: 09/22/2020

Country: Saudi Arabia

Meeting Type: Special

Ticker: 4220

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	Ordinary Business		
1.1	Elect Jamal Bin Thinyah as Director	None	Abstain
1.2	Elect Ahmed Al Matroushi as Director	None	Abstain
1.3	Elect Arif Al Harmi as Director	None	Abstain
1.4	Elect Mohamed Hafni as Director	None	Abstain
1.5	Elect Mohamed Al Hindi as Director	None	Abstain
1.6	Elect Ahmed Bushnak as Director	None	Abstain
1.7	Elect Falih Hajaj as Director	None	Abstain
1.8	Elect Abdullah Al Fifi as Director	None	Abstain
1.9	Elect Oussama Barayan as Director	None	Abstain
1.10	Elect Tariq Al Saoudi as Director	None	Abstain
1.11	Elect Mohamed Al Badr as Director	None	Abstain
1.12	Elect Waleed Al Musfir as Director	None	Abstain
1.13	Elect Salih Al Yami as Director	None	Abstain
1.14	Elect Yasir Al Qadi as Director	None	Abstain
1.15	Elect as Khalid Al Ajlan Director	None	Abstain
1.16	Elect Mohamed Al Suweid as Director	None	Abstain

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Emaar, The Economic City

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1.17	Elect Mohamed Al Ghamdi as Director	None	Abstain
1.18	Elect as Ahmed Al Sanoussi Director	None	Abstain
1.19	Elect Jassim Al rumeihi as Director	None	Abstain
1.20	Elect Amr Saqr as Director	None	Abstain
1.21	Elect Ramzi Ali as Director	None	Abstain
1.22	Elect Bayat Al Ouweid as Director	None	Abstain
1.23	Elect Ahmed Al Ouweid as Director	None	Abstain
1.24	Elect Abdulilah Al Sheikh as Director	None	Abstain
1.25	Elect Sultan Al Saadoun as Director	None	Abstain
1.26	Elect Mohamed Al Moammar as Director	None	Abstain
1.27	Elect Abdullah Al Huweish as Director	None	Abstain
1.28	Elect Riyadh Al Kharashi as Director	None	Abstain
1.29	Elect Hamad Al Fouzan as Director	None	Abstain
1.30	Elect Saad Al Haqeel as Director	None	Abstain
1.31	Elect Talal Al Moammar as Director	None	Abstain
1.32	Elect Ahmed Murad as Director	None	Abstain
1.33	Elect Omar Makharish as Director	None	Abstain
1.34	Elect Shakir Al Khanani as Director	None	Abstain
1.35	Elect Ghaith Fayiz as Director	None	Abstain
1.36	Elect Tariq Lenjawi as Director	None	Abstain
1.37	Elect Nouf Al Haqbani as Director	None	Abstain
1.38	Elect Abdulrahman Al Khayal as Director	None	Abstain
1.39	Elect Thamir Al Wadee as Director	None	Abstain
1.40	Elect Waleed Bamaarouf as Director	None	Abstain
1.41	Elect Turki Al Oteibi as Director	None	Abstain
1.42	Elect Mohamed Badhrees as Director	None	Abstain
1.43	Elect Fayiz Al Zaydi as Director	None	Abstain
1.44	Elect Abdullah Maqboul as Director	None	Abstain
1.45	Elect Bandar Al Dalji as Director	None	Abstain
1.46	Elect Ahmed Saleem as Director	None	Abstain
1.47	Elect Badr Ridha as Director	None	Abstain

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Emaar, The Economic City

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
2	Amend Audit Committee Charter	For	For

### GAIL (India) Limited

Meeting Date: 09/22/2020

Country: India

Meeting Type: Annual

Ticker: 532155

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	For	For
2	Confirm Interim Dividend	For	For
3	Reelect Ashish Chatterjee as Director	For	Against
4	Reelect A.K. Tiwari as Director	For	Against
5	Authorize Board to Fix Remuneration of Joint Statutory Auditors	For	For
6	Elect E.S. Ranganathan as Director	For	Against
7	Approve Remuneration of Cost Auditors	For	For
8	Approve Material Related Party Transactions with Petronet LNG Limited	For	For

### General Mills, Inc.

Meeting Date: 09/22/2020

Country: USA

Meeting Type: Annual

Ticker: GIS

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1a	Elect Director R. Kerry Clark	For	For
1b	Elect Director David M. Cordani	For	For
1c	Elect Director Roger W. Ferguson, Jr.	For	For
1d	Elect Director Jeffrey L. Harmening	For	For
1e	Elect Director Maria G. Henry	For	For
1f	Elect Director Jo Ann Jenkins	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### General Mills, Inc.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1g	Elect Director Elizabeth C. Lempres	For	For
1h	Elect Director Diane L. Neal	For	For
1i	Elect Director Steve Odland	For	For
1j	Elect Director Maria A. Sastre	For	For
1k	Elect Director Eric D. Sprunk	For	For
1l	Elect Director Jorge A. Uribe	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify KPMG LLP as Auditors	For	For

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1a	Elect Director R. Kerry Clark	For	For
1b	Elect Director David M. Cordani	For	For
1c	Elect Director Roger W. Ferguson, Jr.	For	For
1d	Elect Director Jeffrey L. Harmening	For	For
1e	Elect Director Maria G. Henry	For	For
1f	Elect Director Jo Ann Jenkins	For	For
1g	Elect Director Elizabeth C. Lempres	For	For
1h	Elect Director Diane L. Neal	For	For
1i	Elect Director Steve Odland	For	For
1j	Elect Director Maria A. Sastre	For	For
1k	Elect Director Eric D. Sprunk	For	For
1l	Elect Director Jorge A. Uribe	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify KPMG LLP as Auditors	For	For

### Grupo Financiero Galicia SA

Meeting Date: 09/22/2020

Country: Argentina

Meeting Type: Special

Ticker: GGAL

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Grupo Financiero Galicia SA

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	Meeting for ADR Holders		
1	Consider Holding of Shareholders' Meeting Remotely; Designate Two Shareholders to Sign Minutes of Meeting	For	For
2	Approve Partial Decrease of Discretionary Reserve for Future Profit Distribution in the Amount of ARS 1.7 Billion; Distribute Cash Dividends of ARS 1.7 Billion	For	For

### ICA Gruppen AB

**Meeting Date:** 09/22/2020      **Country:** Sweden  
**Meeting Type:** Special      **Ticker:** ICA

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Open Meeting		
2	Elect Chairman of Meeting	For	For
3	Designate Inspector(s) of Minutes of Meeting	For	For
4	Prepare and Approve List of Shareholders	For	For
5	Approve Agenda of Meeting	For	For
6	Acknowledge Proper Convening of Meeting	For	For
7	Approve Dividends of SEK 6 Per Share	For	For
8	Amend Articles	For	For
9	Close Meeting		

### Power Grid Corporation of India Limited

**Meeting Date:** 09/22/2020      **Country:** India  
**Meeting Type:** Annual      **Ticker:** 532898

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Power Grid Corporation of India Limited

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	For	For
2	Confirm Interim Dividend and Declare Final Dividend	For	For
3	Reelect Seema Gupta as Director	For	Against
4	Authorize Board to Fix Remuneration of Statutory Auditors	For	For
5	Reelect Vinod Kumar Singh as Director (Personnel)	For	Against
6	Reelect Mohammed Taj Mukarrum as Director (Finance)	For	Against
7	Approve Remuneration of Cost Auditors	For	For
8	Authorize Issuance of Secured/Unsecured, Non-Convertible, Cumulative/Non-Cumulative, Redeemable, Taxable/Tax-Free Debentures under Private Placement	For	For

### Shanghai Jin Jiang International Hotels Co., Ltd.

Meeting Date: 09/22/2020

Country: China

Meeting Type: Special

Ticker: 900934

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF A SHARES		
1	Approve Company's Eligibility for Private Placement of Shares	For	For
	APPROVE PLAN FOR PRIVATE PLACEMENT OF NEW SHARES		
2.1	Approve Share Type and Par Value	For	For
2.2	Approve Issue Manner and Issue Time	For	For
2.3	Approve Target Subscribers	For	For
2.4	Approve Issue Price and Pricing Manner	For	For
2.5	Approve Issue Size	For	For
2.6	Approve Lock-up Period	For	For
2.7	Approve Amount and Use of Proceeds	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Shanghai Jin Jiang International Hotels Co., Ltd.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
2.8	Approve Listing Exchange	For	For
2.9	Approve Distribution Arrangement of Undistributed Earnings	For	For
2.10	Approve Resolution Validity Period	For	For
3	Approve Private Placement of Shares	For	For
4	Approve Report on the Usage of Previously Raised Funds	For	For
5	Approve Feasibility Analysis Report on the Use of Proceeds	For	For
6	Approve Shareholder Return Plan	For	For
7	Approve Impact of Dilution of Current Returns on Major Financial Indicators and the Relevant Measures to be Taken	For	For
8	Approve Authorization of Board to Handle All Related Matters	For	For
9	Approve Provision of Guarantee for GDL	For	For
10	Approve Provision of Guarantee	For	For

### Shenzhen Goodix Technology Co., Ltd.

Meeting Date: 09/22/2020

Country: China

Meeting Type: Special

Ticker: 603160

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Employee Share Purchase Plan (Draft) and Summary	For	Against
2	Approve Methods to Assess the Performance of Plan Participants	For	Against
3	Approve Authorization of Board to Handle All Related Matters	For	Against
4	Amend Performance Shares Incentive Plan and Company-level Performance Assessment Related Documents	For	Against
5	Amend Stock Option and Performance Share Incentive Plan as well Company-level Performance Assessment Related Documents	For	Against

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Tesla, Inc.

**Meeting Date:** 09/22/2020

**Country:** USA

**Meeting Type:** Annual

**Ticker:** TSLA

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1.1	Elect Director Elon Musk	For	For
1.2	Elect Director Robyn Denholm	For	Against
1.3	Elect Director Hiromichi Mizuno	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
4	Report on Paid Advertising	Against	Against
5	Adopt Simple Majority Vote	Against	For
6	Report on Employee Arbitration	Against	For
7	Additional Reporting on Human Rights	Against	For

### Ultrapar Participacoes SA

**Meeting Date:** 09/22/2020

**Country:** Brazil

**Meeting Type:** Special

**Ticker:** UGPA3

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Fix Number of Directors at 11	For	For
2	Elect Alexandre Teixeira de Assumpcao Saigh as Director	For	For

### Will Semiconductor Co., Ltd. Shanghai

**Meeting Date:** 09/22/2020

**Country:** China

**Meeting Type:** Special

**Ticker:** 603501



## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Will Semiconductor Co., Ltd. Shanghai

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Draft and Summary of Stock Option Plan and Performance Share Incentive Plan	For	Against
2	Approve Methods to Assess the Performance of Plan Participants	For	Against
3	Approve Authorization of the Board to Handle All Related Matters	For	Against

### Coal India Ltd.

Meeting Date: 09/23/2020

Country: India

Meeting Type: Annual

Ticker: 533278

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	For	For
2	Confirm Interim Dividend as Final Dividend	For	For
3	Reelect Binay Dayal as Director	For	Against
4	Approve Creation of Board Level Post of Director (Business Development)	For	For
5	Elect Pramod Agrawal as Director and Approve Appointment of Pramod Agrawal as Whole time Director designated as Chairman-cum-Managing Director	For	For
6	Elect V.K. Tiwari as Director and Approve Appointment of V.K. Tiwari as Official Part Time Director	For	Against
7	Elect S.N. Tiwary as Director and Approve Appointment of S.N. Tiwary as Whole time Director designated as Director (Marketing)	For	Against
8	Elect Yatinder Prasad as Director and Approve Appointment of Yatinder Prasad as Official Part Time Director	For	Against
9	Approve Remuneration of Cost Auditors	For	For

### Conagra Brands, Inc.

Meeting Date: 09/23/2020

Country: USA

Meeting Type: Annual

Ticker: CAG

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Conagra Brands, Inc.

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Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1a	Elect Director Anil Arora	For	For
1b	Elect Director Thomas "Tony" K. Brown	For	For
1c	Elect Director Sean M. Connolly	For	For
1d	Elect Director Joie A. Gregor	For	For
1e	Elect Director Rajive Johri	For	For
1f	Elect Director Richard H. Lenny	For	For
1g	Elect Director Melissa Lora	For	For
1h	Elect Director Ruth Ann Marshall	For	For
1i	Elect Director Craig P. Omtvedt	For	For
1j	Elect Director Scott Ostfeld	For	For
2	Ratify KPMG LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

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Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1a	Elect Director Anil Arora	For	For
1b	Elect Director Thomas "Tony" K. Brown	For	For
1c	Elect Director Sean M. Connolly	For	For
1d	Elect Director Joie A. Gregor	For	For
1e	Elect Director Rajive Johri	For	For
1f	Elect Director Richard H. Lenny	For	For
1g	Elect Director Melissa Lora	For	For
1h	Elect Director Ruth Ann Marshall	For	For
1i	Elect Director Craig P. Omtvedt	For	For
1j	Elect Director Scott Ostfeld	For	For
2	Ratify KPMG LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Darden Restaurants, Inc.

**Meeting Date:** 09/23/2020

**Country:** USA

**Meeting Type:** Annual

**Ticker:** DRI

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1.1	Elect Director Margaret Shan Atkins	For	For
1.2	Elect Director James P. Fogarty	For	For
1.3	Elect Director Cynthia T. Jamison	For	For
1.4	Elect Director Eugene I. Lee, Jr.	For	For
1.5	Elect Director Nana Mensah	For	For
1.6	Elect Director William S. Simon	For	For
1.7	Elect Director Charles M. Sonstebj	For	For
1.8	Elect Director Timothy J. Wilmott	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify KPMG LLP as Auditors	For	For

### Tebian Electric Apparatus Stock Co., Ltd.

**Meeting Date:** 09/23/2020

**Country:** China

**Meeting Type:** Special

**Ticker:** 600089

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Issuance of Green Short-term Commercial Papers	For	For
2	Approve Issuance of Medium-term Notes	For	For
3	Approve Issuance of Super Short-term Commercial Papers	For	For
4	Approve Amendments to Articles of Association	For	Against

### Artis Real Estate Investment Trust

**Meeting Date:** 09/24/2020

**Country:** Canada

**Meeting Type:** Annual/Special

**Ticker:** AX.UN

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Artis Real Estate Investment Trust

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
A	Fix Number of Trustees at Seven	For	For
B1	Elect Trustee Bruce Jack	For	For
B2	Elect Trustee Armin Martens	For	For
B3	Elect Trustee Ben Rodney	For	For
B4	Elect Trustee Victor Thielmann	For	For
B5	Elect Trustee Wayne Townsend	For	For
B6	Elect Trustee Edward L. Warkentin	For	For
B7	Elect Trustee Lauren Zucker	For	For
C	Approve Deloitte LLP as Auditors and Authorize Board to Fix Their Remuneration	For	For
D	Advisory Vote on Executive Compensation Approach	For	For
E	Amend Unitholder Rights Plan	For	For

### China National Building Material Company Limited

Meeting Date: 09/24/2020

Country: China

Meeting Type: Special

Ticker: 3323

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Elect Fu Jinguang as Director and Authorize Board to Fix His Remuneration	For	For

### EVE Energy Co., Ltd.

Meeting Date: 09/24/2020

Country: China

Meeting Type: Special

Ticker: 300014

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Amend Working System for Independent Directors	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### EVE Energy Co., Ltd.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
2	Amend Management System for Special Deposit and Usage of Raised Funds	For	For
3	Amend Foreign Investment Management System	For	For
4	Amend Related Party Transaction Decision-making System	For	For
5	Amend Rules and Procedures Regarding Meetings of Board of Directors	For	For
6	Amend Rules and Procedures Regarding General Meetings of Shareholders	For	For
7	Amend External Guarantee Management System	For	For
8	Approve Amendments to Articles of Association	For	For
9	Approve Guarantee Provision Plan	For	For

### Evolution Gaming Group AB

Meeting Date: 09/24/2020

Country: Sweden

Meeting Type: Special

Ticker: EVO

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Open Meeting		
2	Elect Chairman of Meeting	For	For
3	Prepare and Approve List of Shareholders	For	For
4	Approve Agenda of Meeting	For	For
5	Designate Inspector(s) of Minutes of Meeting	For	For
6	Acknowledge Proper Convening of Meeting	For	For
7	Approve Issuance of Shares for a Private Placement for NetEnt AB (publ)	For	For
8	Close Meeting		

### FangDa Carbon New Material Co., Ltd.

Meeting Date: 09/24/2020

Country: China

Meeting Type: Special

Ticker: 600516

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### FangDa Carbon New Material Co., Ltd.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Amend Articles of Association	For	For

### Focus Media Information Technology Co., Ltd.

**Meeting Date:** 09/24/2020      **Country:** China  
**Meeting Type:** Special      **Ticker:** 002027

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Amend Venture Capital Management System	For	For
2	Approve to Adjust the Relevant Matters on Appointment of Auditor	For	For

### Houlihan Lokey, Inc.

**Meeting Date:** 09/24/2020      **Country:** USA  
**Meeting Type:** Annual      **Ticker:** HLI

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1.1	Elect Director Irwin N. Gold	For	Withhold
1.2	Elect Director Gillian B. Zucker	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify KPMG LLP as Auditors	For	For

### Lamb Weston Holdings, Inc.

**Meeting Date:** 09/24/2020      **Country:** USA  
**Meeting Type:** Annual      **Ticker:** LW

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Lamb Weston Holdings, Inc.

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Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1a	Elect Director Peter J. Bensen	For	For
1b	Elect Director Charles A. Blixt	For	For
1c	Elect Director Robert J. Coviello	For	For
1d	Elect Director Andre J. Hawaux	For	For
1e	Elect Director W.G. Jurgensen	For	For
1f	Elect Director Thomas P. Maurer	For	For
1g	Elect Director Robert A. Niblock	For	For
1h	Elect Director Hala G. Moddelmog	For	For
1i	Elect Director Maria Renna Sharpe	For	For
1j	Elect Director Thomas P. Werner	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify KPMG LLP as Auditors	For	For

### Lepu Medical Technology (Beijing) Co., Ltd.

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Meeting Date: 09/24/2020

Country: China

Meeting Type: Special

Ticker: 300003

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Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Company's Eligibility for Issuance of Convertible Bonds	For	For
2	Approve Issuance of Convertible Bonds	For	For
2.1	Approve Issue Type	For	For
2.2	Approve Issue Scale	For	For
2.3	Approve Par Value and Issue Price	For	For
2.4	Approve Bond Maturity	For	For
2.5	Approve Bond Interest Rate	For	For
2.6	Approve Method and Term for the Repayment of Principal and Interest	For	For
2.7	Approve Guarantee Matters	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Lepu Medical Technology (Beijing) Co., Ltd.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
2.8	Approve Conversion Period	For	For
2.9	Approve Method for Determining the Number of Shares for Conversion	For	For
2.10	Approve Determination and Adjustment of Conversion Price	For	For
2.11	Approve Terms for Downward Adjustment of Conversion Price	For	For
2.12	Approve Terms of Redemption	For	For
2.13	Approve Terms of Sell-Back	For	For
2.14	Approve Dividend Distribution Post Conversion	For	For
2.15	Approve Issue Manner and Target Subscribers	For	For
2.16	Approve Placing Arrangement for Shareholders	For	For
2.17	Approve Matters Related to Bondholders Meeting	For	For
2.18	Approve Use of Proceeds	For	For
2.19	Approve Raised Funds Management and Deposit Account	For	For
2.20	Approve Resolution Validity Period	For	For
3	Approve Plan for Issuance of Convertible Bonds	For	For
4	Approve Rules and Procedures Regarding Convertible Corporate Bondholders Meeting	For	For
5	Approve Demonstration Analysis Report in Connection to Issuance of Convertible Bonds	For	For
6	Approve Feasibility Analysis Report on the Intended Usage of Raised Funds	For	For
7	Approve Report on the Usage of Previously Raised Funds	For	For
8	Approve Shareholder Return Plan	For	For
9	Approve Authorization of Board to Handle All Related Matters	For	For
10	Approve Impact of Dilution of Current Returns on Major Financial Indicators and the Relevant Measures to be Taken	For	For

### Mercury NZ Limited

**Meeting Date:** 09/24/2020

**Country:** New Zealand

**Meeting Type:** Annual

**Ticker:** MCY



## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Mercury NZ Limited

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Elect Hannah Hamling as Director	For	For
2	Elect Andy Lark as Director	For	For
3	Elect Scott St John as Director	For	For
4	Elect Patrick Strange as Director	For	For

### New Hope Liuhe Co., Ltd.

**Meeting Date:** 09/24/2020      **Country:** China  
**Meeting Type:** Special      **Ticker:** 000876

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Elect Zhang Minggui as Non-independent Director	For	For
2	Approve Financial Services Agreement	For	Against
3	Approve Repurchase and Cancellation of Performance Shares	For	For
4	Approve Related Party Transaction	For	For
5	Approve Perpetual Medium-term Notes	For	For
6	Approve Issuance of Super Short-term Commercial Papers	For	For

### NTPC Limited

**Meeting Date:** 09/24/2020      **Country:** India  
**Meeting Type:** Annual      **Ticker:** 532555

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	For	For
2	Confirm Interim Dividend and Declare Final Dividend	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### NTPC Limited

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
3	Authorize Board to Fix Remuneration of Statutory Auditors	For	For
4	Elect Anil Kumar Gautam as Director (Finance)	For	Against
5	Elect Ashish Upadhyaya as Government Nominee Director	For	Against
6	Elect Dillip Kumar Patel as Director (Human Resources)	For	Against
7	Elect Ramesh Babu V as Director (Operations)	For	Against
8	Elect Chandan Kumar Mondol as Director (Commercial)	For	Against
9	Elect Ujjwal Kanti Bhattacharya as Director (Projects)	For	Against
10	Amend Objects Clause of Memorandum of Association	For	For
11	Amend Articles of Association	For	For
12	Approve Remuneration of Cost Auditors	For	For
13	Approve Issuance of Bonds/Debentures on Private Placement Basis	For	For

### PT Barito Pacific Tbk

Meeting Date: 09/24/2020

Country: Indonesia

Meeting Type: Special

Ticker: BRPT

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Changes in Boards of Directors	For	Against

### SBI Life Insurance Company Limited

Meeting Date: 09/24/2020

Country: India

Meeting Type: Annual

Ticker: 540719

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### SBI Life Insurance Company Limited

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
2	Approve S K Patodia & Associates, Chartered Accountants and S C Bapna & Associates, Chartered Accountants as Joint Statutory Auditors and Authorize Board to Fix Their Remuneration	For	For
3	Approve Appointment and Remuneration of Mahesh Kumar Sharma as Managing Director and Chief Executive Officer	For	For
4	Reelect Deepak Amin as Director	For	For
5	Elect Sunita Sharma as Director	For	For
6	Elect Ashutosh Pednekar as Director		
7	Elect Narayan K. Seshadri as Director	For	For

### Singapore Exchange Limited

Meeting Date: 09/24/2020

Country: Singapore

Meeting Type: Annual

Ticker: S68

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Adopt Financial Statements and Directors' and Auditors' Reports	For	For
2	Approve Final Dividend	For	For
3a	Elect Kwa Chong Seng as Director	For	For
3b	Elect Kevin Kwok as Director	For	For
3c	Elect Lim Chin Hu as Director	For	For
4	Elect Beh Swan Gin as Director	For	For
5	Approve Directors' Fees to be Paid to the Chairman	For	For
6	Approve Directors' Fees to be Paid to All Directors (Other than the Chief Executive Officer)	For	For
7	Approve KPMG LLP as Auditors and Authorize Board to Fix Their Remuneration	For	For
8	Elect Mark Makepeace as Director	For	For
9	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	For	For
10	Authorize Share Repurchase Program	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Singapore Exchange Limited

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Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Adopt Financial Statements and Directors' and Auditors' Reports	For	
2	Approve Final Dividend	For	
3a	Elect Kwa Chong Seng as Director	For	
3b	Elect Kevin Kwok as Director	For	
3c	Elect Lim Chin Hu as Director	For	
4	Elect Beh Swan Gin as Director	For	
5	Approve Directors' Fees to be Paid to the Chairman	For	
6	Approve Directors' Fees to be Paid to All Directors (Other than the Chief Executive Officer)	For	
7	Approve KPMG LLP as Auditors and Authorize Board to Fix Their Remuneration	For	
8	Elect Mark Makepeace as Director	For	
9	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	For	
10	Authorize Share Repurchase Program	For	

### Sunwoda Electronic Co., Ltd.

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**Meeting Date:** 09/24/2020      **Country:** China  
**Meeting Type:** Special      **Ticker:** 300207

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Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Amend Articles of Association	For	For

### VTB Bank PJSC

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**Meeting Date:** 09/24/2020      **Country:** Russia  
**Meeting Type:** Annual      **Ticker:** VTBR

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## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### VTB Bank PJSC

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Annual Report	For	For
2	Approve Financial Statements	For	For
3	Approve Allocation of Income	For	For
4	Approve Allocation of Undistributed Profit from Previous Years	For	For
5	Approve Dividends on Common and Preferred Shares	For	For
6	Approve Dividends on Type 1 Preferred Share	For	For
7	Approve Remuneration of Directors	For	For
8	Approve Remuneration of Members of Audit Commission	For	For
9	Fix Number of Directors at 11 Elect 11 Directors by Cumulative Voting	For	For
10.1	Elect Matthias Warnig as Director	None	Against
10.2	Elect Dmitrii Grigorenko as Director	None	Against
10.3	Elect Yves-Thibault De Silguy as Director	None	Against
10.4	Elect Mikhail Zadornov as Director	None	Against
10.5	Elect Andrei Kostin as Director	None	Against
10.6	Elect Israfail Aidyn oglu Mammadov as Director	None	For
10.7	Elect Aleksei Moiseev as Director	None	Against
10.8	Elect Igor Repin as Director	None	For
10.9	Elect Maksim Reshetnikov as Director	None	Against
10.10	Elect Valerii Sidorenko as Director	None	Against
10.11	Elect Aleksandr Sokolov as Director	None	Against
11	Fix Number of Audit Commission Members at Five	For	For
12	Elect Pavel Buchnev, Evgenii Gontmakher, Mikhail Krasnov, Zakhar Sabantsev and Vadim Soskov as Members of Audit Commission	For	For
13	Ratify Ernst & Young as Auditor	For	For
14	Approve New Edition of Charter	For	For
15	Approve New Edition of Regulations on Board of Directors	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### VTB Bank PJSC

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
16	Approve New Edition of Regulations on Management	For	For
17	Approve New Edition of Regulations on Audit Commission	For	For
18	Approve Company's Membership in Big Data Association	For	For

### Yunnan Energy New Material Co., Ltd.

**Meeting Date:** 09/24/2020      **Country:** China  
**Meeting Type:** Special      **Ticker:** 002812

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Use of Idle Raised Funds for Cash Management	For	For
2	Approve Use of Idle Raised Funds to Supplement Working Capital	For	For

### Bank of Shanghai Co., Ltd.

**Meeting Date:** 09/25/2020      **Country:** China  
**Meeting Type:** Special      **Ticker:** 601229

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Elect Zhu Jian as Non-Independent Director	For	For
2	Elect Jia Ruijun as Supervisor	For	For

### China Shenhua Energy Company Limited

**Meeting Date:** 09/25/2020      **Country:** China  
**Meeting Type:** Special      **Ticker:** 1088

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### China Shenhua Energy Company Limited

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Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES		
1	Approve General Mandate to Repurchase H Shares and Related Transactions	For	For

### China Shenhua Energy Company Limited

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**Meeting Date:** 09/25/2020      **Country:** China  
**Meeting Type:** Special      **Ticker:** 1088

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Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	CLASS MEETING FOR HOLDERS OF H SHARES		
1	Approve General Mandate to Repurchase H Shares and Related Transactions	For	For

### China Shenhua Energy Company Limited

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**Meeting Date:** 09/25/2020      **Country:** China  
**Meeting Type:** Special      **Ticker:** 1088

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Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF A SHARES		
1	Approve General Mandate to Repurchase H Shares and Related Transactions	For	For

### China Shenhua Energy Company Limited

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**Meeting Date:** 09/25/2020      **Country:** China  
**Meeting Type:** Special      **Ticker:** 1088

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## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### China Shenhua Energy Company Limited

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	CLASS MEETING FOR HOLDERS OF A SHARES Approve General Mandate to Repurchase H Shares and Related Transactions	For	For

### Companhia de Saneamento Basico do Estado de Sao Paulo SABESP

**Meeting Date:** 09/25/2020      **Country:** Brazil  
**Meeting Type:** Special      **Ticker:** SBSP3

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Elect Ernesto Mascellani Neto as Fiscal Council Member	For	For

### Dongfeng Motor Group Company Limited

**Meeting Date:** 09/25/2020      **Country:** China  
**Meeting Type:** Special      **Ticker:** 489

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Plan of the Initial Public Offering of Renminbi Ordinary Shares (A Shares) and Listing on GEM	For	For
2	Authorize Board and Persons Authorized to Deal With All Matters in Relation to the Initial Public Offering of Renminbi Ordinary Shares (A Shares) and Listing on GEM	For	For
3	Approve Use of Proceeds Raised from the Initial Public Offering of Renminbi Ordinary Shares (A Shares) and Listing on GEM and Its Feasibility Analysis Report	For	For
4	Approve Accumulated Profits Distribution Plan Prior to the Initial Public Offering of Renminbi Ordinary Shares (A Shares) and Listing on GEM	For	For



## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Dongfeng Motor Group Company Limited

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
5	Approve Three-Year Dividend Distribution Plan for Shareholders After the Initial Public Offering of Renminbi Ordinary Shares (A Shares) and Listing on GEM	For	For
6	Approve Dilution of Immediate Returns Due to Initial Public Offering of Renminbi Ordinary Shares (A Shares) and Listing on GEM and Remedial Measures	For	For
7	Approve A Share Price Stabilization Plan within Three Years After the Initial Public Offering of Renminbi Ordinary Shares (A Shares) and Listing on GEM	For	For
8	Amend Articles of Association	For	For
9	Approve Undertakings as to the Initial Public Offering of Renminbi Ordinary Shares (A Shares) and Listing on GEM	For	For
10	Approve Formulation of the Administrative System for A Share Connected Transactions	For	For
11	Approve Formulation of the Administrative System for External Guarantees	For	For
12	Approve Formulation of the Administrative System for A Share Proceeds	For	For
13	Amend Rules and Procedures Regarding General Meetings of Shareholders	For	Against
14	Amend Rules and Procedures Regarding Meetings of Board of Directors	For	Against
15	Amend Rules and Procedures Regarding Meetings of Supervisory Committee	For	For
16	Approve Formulation of the Working Rules of Independent Non-Executive Directors	For	For
17	Approve Adjusting the Work Subsidy Standard Plan for the Relevant External Directors	For	For
	ELECT DIRECTORS		
18.1	Elect Zhu Yanfeng as Director	For	Against
18.2	Elect Li Shaozhu as Director	For	For
18.3	Elect You Zheng as Director	For	For
18.4	Elect Yang Qing as Director	For	For
18.5	Elect Leung Wai Lap, Philip as Director	For	For
18.6	Elect Zong Qingsheng as Director	For	For
18.7	Elect Hu Yiguang as Director	For	For
	ELECT SUPERVISORS		
19.1	Elect He Wei as Supervisor	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Dongfeng Motor Group Company Limited

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
19.2	Elect Bao Hongxiang as Supervisor	For	For

### Dongfeng Motor Group Company Limited

**Meeting Date:** 09/25/2020      **Country:** China  
**Meeting Type:** Special      **Ticker:** 489

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	CLASS MEETING FOR HOLDERS OF H SHARES		
1	Approve Plan of the Initial Public Offering of Renminbi Ordinary Shares (A Shares) and Listing on GEM	For	For
2	Authorize Board and Persons Authorized to Deal With All Matters in Relation to the Initial Public Offering of Renminbi Ordinary Shares (A Shares) and Listing on GEM	For	For
3	Approve Use of Proceeds Raised from the Initial Public Offering of Renminbi Ordinary Shares (A Shares) and Listing on GEM and Its Feasibility Analysis Report	For	For
4	Approve Accumulated Profits Distribution Plan Prior to the Initial Public Offering of Renminbi Ordinary Shares (A Shares) and Listing on GEM	For	For
5	Approve Dilution of Immediate Returns Due to Initial Public Offering of Renminbi Ordinary Shares (A Shares) and Listing on GEM and Remedial Measures	For	For
6	Approve A Share Price Stabilization Plan within Three Years After the Initial Public Offering of Renminbi Ordinary Shares (A Shares) and Listing on GEM	For	For
7	Approve Undertakings as to the Initial Public Offering of Renminbi Ordinary Shares (A Shares) and Listing on GEM	For	For

### GCL System Integration Technology Co., Ltd.

**Meeting Date:** 09/25/2020      **Country:** China  
**Meeting Type:** Special      **Ticker:** 002506

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### GCL System Integration Technology Co., Ltd.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Counter Guarantee Provision	For	For
2	Approve Termination of Shareholding Increase Plan	For	For

### HELLA GmbH & Co. KGaA

Meeting Date: 09/25/2020

Country: Germany

Meeting Type: Annual

Ticker: HLE

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports for Fiscal 2019/2020	For	For
2	Approve Allocation of Income and Omission of Dividends	For	For
3	Approve Discharge of Personally Liable Partner for Fiscal 2019/2020	For	For
4	Approve Discharge of Supervisory Board for Fiscal 2019/2020	For	For
5	Approve Discharge of Shareholders' Committee for Fiscal 2019/2020	For	For
6	Ratify PricewaterhouseCoopers GmbH as Auditors for Fiscal 2020/2021	For	For

### Huaxin Cement Co., Ltd.

Meeting Date: 09/25/2020

Country: China

Meeting Type: Special

Ticker: 900933

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
EGM BALLOT FOR HOLDERS OF A SHARES			
1	Approve Draft and Summary of Core Employee Share Purchase Plan	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Huaxin Cement Co., Ltd.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
2	Approve Authorization of the Board to Handle All Matters Related to Core Employee Share Purchase Plan  ELECT NON-INDEPENDENT DIRECTOR VIA CUMULATIVE VOTING	For	For
3.1	Elect Karen Tan as Non-Independent Director	For	For

### Hubei Biocause Pharmaceutical Co., Ltd.

**Meeting Date:** 09/25/2020      **Country:** China  
**Meeting Type:** Special      **Ticker:** 000627

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Change of Registered Address	For	For
2	Approve Amendments to Articles of Association	For	For

### Inner Mongolia Yili Industrial Group Co., Ltd.

**Meeting Date:** 09/25/2020      **Country:** China  
**Meeting Type:** Special      **Ticker:** 600887

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Draft and Summary on Long-term Service Plan	For	Against
2	Approve Long-term Service Plan Management Rules (Draft)	For	Against
3	Approve Repurchase and Cancellation of Performance Shares	For	For
4	Approve Amendments to Articles of Association	For	For

### Kossan Rubber Industries Bhd.

**Meeting Date:** 09/25/2020      **Country:** Malaysia  
**Meeting Type:** Special      **Ticker:** 7153

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Kossan Rubber Industries Bhd.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Bonus Issue of New Ordinary Shares	For	For

### Mercari, Inc.

**Meeting Date:** 09/25/2020      **Country:** Japan  
**Meeting Type:** Annual      **Ticker:** 4385

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Amend Articles to Clarify Director Authority on Shareholder Meetings - Clarify Director Authority on Board Meetings	For	For
2.1	Elect Director Yamada, Shintaro	For	For
2.2	Elect Director Koizumi, Fumiaki	For	For
2.3	Elect Director Takayama, Ken	For	For
2.4	Elect Director Namatame, Masashi	For	For
2.5	Elect Director Shinoda, Makiko	For	For
3	Approve Deep Discount Stock Option Plan	For	For

### NetEase, Inc.

**Meeting Date:** 09/25/2020      **Country:** Cayman Islands  
**Meeting Type:** Annual      **Ticker:** 9999

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1a	Elect William Lei Ding as Director	For	For
1b	Elect Alice Yu-Fen Cheng as Director	For	For
1c	Elect Denny Ting Bun Lee as Director	For	For
1d	Elect Joseph Tze Kay Tong as Director	For	For
1e	Elect Lun Feng as Director	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### NetEase, Inc.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1f	Elect Michael Man Kit Leung as Director	For	For
1g	Elect Michael Sui Bau Tong as Director	For	For
2	Approve Appointment of PricewaterhouseCoopers Zhong Tian LLP and PricewaterhouseCoopers as Auditors	For	For

### NetEase, Inc.

**Meeting Date:** 09/25/2020      **Country:** Cayman Islands  
**Meeting Type:** Annual      **Ticker:** 9999

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	Meeting for ADR Holders		
1a	Elect William Lei Ding as Director	For	Do Not Vote
1b	Elect Alice Yu-Fen Cheng as Director	For	Do Not Vote
1c	Elect Denny Ting Bun Lee as Director	For	Do Not Vote
1d	Elect Joseph Tze Kay Tong as Director	For	Do Not Vote
1e	Elect Lun Feng as Director	For	Do Not Vote
1f	Elect Michael Man Kit Leung as Director	For	Do Not Vote
1g	Elect Michael Sui Bau Tong as Director	For	Do Not Vote
2	Approve Appointment of PricewaterhouseCoopers Zhong Tian LLP and PricewaterhouseCoopers as Auditors	For	Do Not Vote

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	Meeting for ADR Holders		
1a	Elect William Lei Ding as Director	For	For
1b	Elect Alice Yu-Fen Cheng as Director	For	For
1c	Elect Denny Ting Bun Lee as Director	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### NetEase, Inc.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1d	Elect Joseph Tze Kay Tong as Director	For	For
1e	Elect Lun Feng as Director	For	For
1f	Elect Michael Man Kit Leung as Director	For	For
1g	Elect Michael Sui Bau Tong as Director	For	For
2	Approve Appointment of PricewaterhouseCoopers Zhong Tian LLP and PricewaterhouseCoopers as Auditors	For	For

### Novolipetsk Steel

**Meeting Date:** 09/25/2020      **Country:** Russia  
**Meeting Type:** Special      **Ticker:** NLMK

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Interim Dividends of RUB 4.75 per Share for First Six Months of Fiscal 2020	For	For

### Sberbank Russia PJSC

**Meeting Date:** 09/25/2020      **Country:** Russia  
**Meeting Type:** Annual      **Ticker:** SBER

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Annual Report	For	For
2	Approve Allocation of Income and Dividends of RUB 18.70 per Ordinary Share and RUB 18.70 per Preferred Share	For	For
3	Ratify PricewaterhouseCoopers as Auditor Elect 14 Directors by Cumulative Voting	For	For
4.1	Elect Esko Tapani Aho as Director	None	For
4.2	Elect Leonid Boguslavskii as Director	None	For
4.3	Elect Herman Gref as Director	None	Against
4.4	Elect Bella Zlatkis as Director	None	Against

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Sberbank Russia PJSC

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
4.5	Elect Sergei Ignatev as Director	None	Against
4.6	Elect Mikhail Kovalchuk as Director	None	Against
4.7	Elect Vladimir Kolychev as Director	None	Against
4.8	Elect Nikolai Kudriavtsev as Director	None	For
4.9	Elect Aleksandr Kuleshov as Director	None	For
4.10	Elect Gennadii Melikian as Director	None	For
4.11	Elect Maksim Oreshkin as Director	None	Against
4.12	Elect Anton Siluanov as Director	None	Against
4.13	Elect Dmitrii Chernyshenko as Director	None	Against
4.14	Elect Nadya Wells as Director	None	For
5	Approve Related-Party Transaction Re: Liability Insurance for Directors, Executives, and Company	For	For
6	Amend Charter	For	For

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Annual Report	For	For
2	Approve Allocation of Income and Dividends of RUB 18.70 per Ordinary Share and RUB 18.70 per Preferred Share	For	For
3	Ratify PricewaterhouseCoopers as Auditor	For	For
	Elect 14 Directors by Cumulative Voting		
4.1	Elect Esko Tapani Aho as Director	None	For
4.2	Elect Leonid Boguslavskii as Director	None	For
4.3	Elect Herman Gref as Director	None	Against
4.4	Elect Bella Zlatkis as Director	None	Against
4.5	Elect Sergei Ignatev as Director	None	Against
4.6	Elect Mikhail Kovalchuk as Director	None	Against
4.7	Elect Vladimir Kolychev as Director	None	Against
4.8	Elect Nikolai Kudriavtsev as Director	None	For
4.9	Elect Aleksandr Kuleshov as Director	None	For
4.10	Elect Gennadii Melikian as Director	None	For



## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Sberbank Russia PJSC

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
4.11	Elect Maksim Oreshkin as Director	None	Against
4.12	Elect Anton Siluanov as Director	None	Against
4.13	Elect Dmitrii Chernyshenko as Director	None	Against
4.14	Elect Nadya Wells as Director	None	For
5	Approve Related-Party Transaction Re: Liability Insurance for Directors, Executives, and Company	For	For
6	Amend Charter	For	For

### Sberbank Russia PJSC

Meeting Date: 09/25/2020

Country: Russia

Meeting Type: Annual

Ticker: SBER

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	Meeting for ADR/GDR Holders		
1	Approve Annual Report	For	For
2	Approve Allocation of Income and Dividends of RUB 18.70 per Ordinary Share and RUB 18.70 per Preferred Share	For	For
3	Ratify PricewaterhouseCoopers as Auditor	For	For
	Elect 14 Directors by Cumulative Voting		
4.1	Elect Esko Tapani Aho as Director	None	For
4.2	Elect Leonid Boguslavskii as Director	None	For
4.3	Elect Herman Gref as Director	None	Against
4.4	Elect Bella Zlatkis as Director	None	Against
4.5	Elect Sergei Ignatev as Director	None	Against
4.6	Elect Mikhail Kovalchuk as Director	None	Against
4.7	Elect Vladimir Kolychev as Director	None	Against
4.8	Elect Nikolai Kudriavtsev as Director	None	For
4.9	Elect Aleksandr Kuleshov as Director	None	For
4.10	Elect Gennadii Melikian as Director	None	For
4.11	Elect Maksim Oreshkin as Director	None	Against

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Sberbank Russia PJSC

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
4.12	Elect Anton Siluanov as Director	None	Against
4.13	Elect Dmitrii Chernyshenko as Director	None	Against
4.14	Elect Nadya Wells as Director	None	For
5	Approve Related-Party Transaction Re: Liability Insurance for Directors, Executives, and Company	For	For
6	Amend Charter	For	For

### Schroder Real Estate Investment Trust Ltd.

Meeting Date: 09/25/2020

Country: Guernsey

Meeting Type: Annual

Ticker: SREI

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	For	For
2	Approve Remuneration Report	For	For
3	Re-elect Lorraine Baldry as Director	For	For
4	Re-elect Stephen Bligh as Director	For	For
5	Re-elect Alastair Hughes as Director	For	For
6	Re-elect Graham Basham as Director	For	For
7	Ratify Ernst & Young LLP as Auditors	For	For
8	Authorise Board to Fix Remuneration of Auditors	For	For
9	Approve Company's Dividend Policy	For	For
10	Authorise Market Purchase of Ordinary Shares	For	For
11	Authorise Issue of Equity without Pre-emptive Rights	For	For

### SDIC Power Holdings Co., Ltd.

Meeting Date: 09/25/2020

Country: China

Meeting Type: Special

Ticker: 600886

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### SDIC Power Holdings Co., Ltd.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Annual Work Report of the Eleventh Board of Directors Remuneration and Appraisal Committee	For	For

### Shanghai International Port (Group) Co., Ltd.

**Meeting Date:** 09/25/2020      **Country:** China  
**Meeting Type:** Special      **Ticker:** 600018

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Issuance of Letter of Guarantee	For	Against
2	Elect Sun Ligan as Supervisor	For	For

### Unigroup Guoxin Microelectronics Co., Ltd.

**Meeting Date:** 09/25/2020      **Country:** China  
**Meeting Type:** Special      **Ticker:** 002049

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Provision of Guarantee	For	For
2	Elect Miao Gang as Non-Independent Director	For	For
3	Approve to Appoint Auditor	For	For

### Universal Scientific Industrial (Shanghai) Co., Ltd.

**Meeting Date:** 09/25/2020      **Country:** China  
**Meeting Type:** Special      **Ticker:** 601231

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Universal Scientific Industrial (Shanghai) Co., Ltd.

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Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Company's Eligibility for Issuance of Convertible Bonds  APPROVE PLAN ON ISSUANCE OF CONVERTIBLE BONDS	For	For
2.1	Approve Type	For	For
2.2	Approve Issue Size	For	For
2.3	Approve Par Value and Issue Price	For	For
2.4	Approve Bond Maturity	For	For
2.5	Approve Bond Coupon Rate	For	For
2.6	Approve Period and Manner of Repayment of Capital and Interest	For	For
2.7	Approve Conversion Period	For	For
2.8	Approve Method on Handling Fractional Shares Upon Conversion	For	For
2.9	Approve Determination and Adjustment of Conversion Price	For	For
2.10	Approve Terms for Downward Adjustment of Conversion Price	For	For
2.11	Approve Terms of Redemption	For	For
2.12	Approve Terms of Sell-Back	For	For
2.13	Approve Attribution of Profit and Loss During the Conversion Period	For	For
2.14	Approve Issue Manner and Target Subscribers	For	For
2.15	Approve Placing Arrangement for Shareholders	For	For
2.16	Approve Use of Proceeds	For	For
2.17	Approve Guarantee Matters	For	For
2.18	Approve Matters Relating to Meetings of Bondholders	For	For
2.19	Approve Resolution Validity Period	For	For
3	Approve Issuance of Convertible Bonds	For	For
4	Approve Feasibility Analysis Report on the Use of Proceeds	For	For
5	Approve Formulation of Rules and Procedures Regarding General Meetings of Convertible Bondholders	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Universal Scientific Industrial (Shanghai) Co., Ltd.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
6	Approve Impact of Dilution of Current Returns on Major Financial Indicators and the Relevant Measures to be Taken	For	For
7	Approve Shareholder Return Plan	For	For
8	Approve Authorization of Board to Handle All Related Matters Regarding Issuance of Convertible Bonds	For	For
9	Approve Employee Share Purchase Plan (Draft) and Summary	For	Against
10	Approve Methods to Assess the Performance of Plan Participants	For	Against
11	Approve Authorization of Board to Handle All Related Matters Regarding Employee Share Purchase Plan	For	Against

### Visionox Technology, Inc.

Meeting Date: 09/25/2020

Country: China

Meeting Type: Special

Ticker: 002387

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Provision of Guarantee and Related Party Transactions	For	For
2	Approve Issuance of Debt Financing Instruments	For	For

### Yango Group Co., Ltd.

Meeting Date: 09/25/2020

Country: China

Meeting Type: Special

Ticker: 000671

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Employee Share Purchase Plan (Draft) and Summary	For	For
2	Approve Employee Share Purchase Plan Management Method	For	For
3	Approve Authorization of Board to Handle All Related Matters	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Yango Group Co., Ltd.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
4	Approve Provision of Guarantee for Hefei Guangyu Real Estate Development Co., Ltd.	For	For
5	Approve Provision of Guarantee for Taicang Wanxin Real Estate Development Co., Ltd.	For	For

### BAIC BluePark New Energy Technology Co., Ltd.

Meeting Date: 09/28/2020 Country: China  
Meeting Type: Special Ticker: 600733

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Elect Jiang Deyi as Non-Independent Director	For	For

### Beijing Capital Development Co., Ltd.

Meeting Date: 09/28/2020 Country: China  
Meeting Type: Special Ticker: 600376

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Provision of Liquidity Support for Asset Securitization Project	For	For
2	Approve Change in Loan Application	For	For

### Bharat Petroleum Corporation Limited

Meeting Date: 09/28/2020 Country: India  
Meeting Type: Annual Ticker: 500547

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	For	For
2	Confirm Interim Dividend	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Bharat Petroleum Corporation Limited

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
3	Reelect K. Ellangovan as Director	For	Against
4	Authorize Board to Fix Remuneration of Auditors	For	For
5	Elect Rajesh Aggarwal as Director	For	Against
6	Approve Remuneration of Cost Auditors	For	For
7	Approve Material Related Party Transactions with Bharat Oman Refineries Limited	For	Against
8	Approve BPCL Employee Stock Purchase Scheme 2020	For	Against
9	Approve Offer of Shares Under the BPCL Employee Stock Purchase Scheme 2020 to the Executive/ Whole-time Director(s) of Subsidiary Company(ies) who are on Lien with the Company	For	Against
10	Approve Secondary Acquisition of Shares Through Trust Route for the Implementation of the BPCL Employee Stock Purchase Scheme 2020	For	Against
11	Approve Provision of Money for Share Repurchase by the Trust for the Benefit of Employees Under the BPCL Employee Stock Purchase Scheme 2020	For	Against

### China Petroleum & Chemical Corp.

Meeting Date: 09/28/2020

Country: China

Meeting Type: Special

Ticker: 386

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF A SHARES		
1	Approve Disposal of Assets and External Investment	For	For
2	Approve Special Interim Dividend Distribution Plan for 2020	For	For
3	Elect Zhang Shaofeng as Director	For	For

### China Petroleum & Chemical Corporation

Meeting Date: 09/28/2020

Country: China

Meeting Type: Special

Ticker: 386

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### China Petroleum & Chemical Corporation

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES		
1	Approve Disposal of Assets and External Investment	For	For
2	Approve Special Interim Dividend Distribution Plan for 2020	For	For
3	Elect Zhang Shaofeng as Director	For	For

### Country Garden Services Holdings Company Limited

**Meeting Date:** 09/28/2020      **Country:** Cayman Islands  
**Meeting Type:** Special      **Ticker:** 6098

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Adopt Share Option Scheme	For	Against

### Diageo Plc

**Meeting Date:** 09/28/2020      **Country:** United Kingdom  
**Meeting Type:** Annual      **Ticker:** DGE

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	For	For
2	Approve Remuneration Report	For	For
3	Approve Remuneration Policy	For	For
4	Approve Final Dividend	For	For
5	Elect Melissa Bethell as Director	For	For
6	Re-elect Javier Ferran as Director	For	For
7	Re-elect Susan Kilsby as Director	For	For
8	Re-elect Lady Mendelsohn as Director	For	For



## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Diageo Plc

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
9	Re-elect Ivan Menezes as Director	For	For
10	Re-elect Kathryn Mikells as Director	For	For
11	Re-elect Alan Stewart as Director	For	For
12	Reappoint PricewaterhouseCoopers LLP as Auditors	For	For
13	Authorise the Audit Committee to Fix Remuneration of Auditors	For	For
14	Authorise EU Political Donations and Expenditure	For	For
15	Authorise Issue of Equity	For	For
16	Amend Diageo 2001 Share Incentive Plan	For	For
17	Approve Diageo 2020 Sharesave Plan	For	For
18	Approve Diageo Deferred Bonus Share Plan	For	For
19	Authorise the Company to Establish International Share Plans	For	For
20	Authorise Issue of Equity without Pre-emptive Rights	For	For
21	Authorise Market Purchase of Ordinary Shares	For	For
22	Authorise the Company to Call General Meeting with Two Weeks' Notice	For	For
23	Adopt New Articles of Association	For	For
24	Authorise 2019 Share Buy-backs and Employee Benefit and Share Ownership Trust Transactions	For	For

### Dongxu Optoelectronic Technology Co., Ltd.

**Meeting Date:** 09/28/2020

**Country:** China

**Meeting Type:** Special

**Ticker:** 200413

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HODLERS OF A SHARES		
1	Approve Signing of Investment Agreement	For	For
2	Approve Provision of Equity Pledge Guarantee	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Frasers Centrepont Trust

**Meeting Date:** 09/28/2020

**Country:** Singapore

**Meeting Type:** Special

**Ticker:** J69U

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Proposed ARF Transaction	For	For
2	Approve Issuance of New Units Under the Equity Fund Raising	For	For
3	Approve Issuance and Placements of New Units to the Sponsor Group	For	For
4	Approve Whitewash Resolution	For	For
5	Approve Proposed Bedok Point Divestment	For	For

### Grandjoy Holdings Group Co., Ltd.

**Meeting Date:** 09/28/2020

**Country:** China

**Meeting Type:** Special

**Ticker:** 000031

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1.1	ELECT SUPERVISORS VIA CUMULATIVE VOTING Elect Yang Lijun as Supervisor	For	For

### Hesteel Co., Ltd.

**Meeting Date:** 09/28/2020

**Country:** China

**Meeting Type:** Special

**Ticker:** 000709

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Shut Down of Tangshan Branch of HBIS Co., Ltd. and Signing of Relocation Agreement	For	For
2	Approve Equity Transfer Agreement	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Lasertec Corp.

**Meeting Date:** 09/28/2020

**Country:** Japan

**Meeting Type:** Annual

**Ticker:** 6920

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 27	For	For
2.1	Elect Director Okabayashi, Osamu	For	For
2.2	Elect Director Kusunose, Haruhiko	For	For
2.3	Elect Director Uchiyama, Shu	For	For
2.4	Elect Director Moriizumi, Koichi	For	For
2.5	Elect Director Seki, Hirokazu	For	For
2.6	Elect Director Ebihara, Minoru	For	For
2.7	Elect Director Shimoyama, Takayuki	For	For
2.8	Elect Director Mihara, Koji	For	For
3.1	Appoint Statutory Auditor Tsukasaki, Takeaki	For	For
3.2	Appoint Statutory Auditor Asami, Koichi	For	For
3.3	Appoint Statutory Auditor Ishiguro, Miyuki	For	For
3.4	Appoint Statutory Auditor Izumo, Eiichi	For	For
4	Appoint Alternate Statutory Auditor Saito, Yuji	For	For
5	Approve Annual Bonus	For	For

### LIC Housing Finance Limited

**Meeting Date:** 09/28/2020

**Country:** India

**Meeting Type:** Annual

**Ticker:** 500253

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	For	For
2	Approve Dividend	For	For
3	Reelect Sanjay Kumar Khemani as Director	For	For
4	Approve Issuance of Non-Convertible Debentures on Private Placement Basis	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### LIC Housing Finance Limited

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
5	Elect Siddhartha Mohanty as Director and Approve Appointment and Remuneration of Siddhartha Mohanty as Managing Director & Chief Executive Officer	For	For
6	Reelect V K Kukreja as Director	For	For
7	Reelect Ameet Patel as Director	For	For
8	Elect Vipin Anand as Director	For	For

### Motherson Sumi Systems Limited

Meeting Date: 09/28/2020

Country: India

Meeting Type: Annual

Ticker: 517334

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	For	For
2	Reelect Laksh Vaaman Sehgal as Director	For	For
3	Reelect Shunichiro Nishimura as Director	For	For
4	Reelect Naveen Ganzu as Director	For	For
5	Approve Remuneration of Cost Auditors	For	For

### OFILM Group Co., Ltd.

Meeting Date: 09/28/2020

Country: China

Meeting Type: Special

Ticker: 002456

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Interim Profit Distribution	For	For
2	Approve Financial Leasing Business and Provision of Its Guarantee	For	Against
3	Approve Credit Line and Guarantee	For	Against
4	Approve Foreign Exchange Derivatives Transaction Estimates	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### PetroChina Company Limited

**Meeting Date:** 09/28/2020

**Country:** China

**Meeting Type:** Special

**Ticker:** 857

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES		
1	Approve Transactions and Authorize the Chairman to Deal with All Related Matters	For	For
2	Elect Huang Yongzhang as Director	For	For

### PetroChina Company Limited

**Meeting Date:** 09/28/2020

**Country:** China

**Meeting Type:** Special

**Ticker:** 857

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF A SHARES		
1	Approve Transactions and Authorize the Chairman to Deal with All Related Matters	For	For
2	Elect Huang Yongzhang as Director	For	For

### Shanghai Tunnel Engineering Co., Ltd.

**Meeting Date:** 09/28/2020

**Country:** China

**Meeting Type:** Special

**Ticker:** 600820

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Equity Acquisition	For	For

### Sinolink Securities Co., Ltd.

**Meeting Date:** 09/28/2020

**Country:** China

**Meeting Type:** Special

**Ticker:** 600109

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Sinolink Securities Co., Ltd.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Elect Liu Yunhong as Independent Director	For	For

### Tonghua Dongbao Pharmaceutical Co., Ltd.

**Meeting Date:** 09/28/2020      **Country:** China  
**Meeting Type:** Special      **Ticker:** 600867

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Amendments to Articles of Association	For	For
2	Amend Rules and Procedures Regarding Meetings of Board of Directors	For	For
	ELECT NON-INDEPENDENT DIRECTORS VIA CUMULATIVE VOTING		
3.1	Elect Wang Wei as Non-Independent Director	For	For
3.2	Elect Ju Anshen as Non-Independent Director	For	For
	ELECT INDEPENDENT DIRECTOR VIA CUMULATIVE VOTING		
4.1	Elect Bi Yan as Independent Director	For	For
	ELECT SUPERVISORS VIA CUMULATIVE VOTING		
5.1	Elect Wang Junye as Supervisor	For	For

### Topchoice Medical Corp.

**Meeting Date:** 09/28/2020      **Country:** China  
**Meeting Type:** Special      **Ticker:** 600763

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Acquisition of Dental Business	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Venustech Group Inc.

**Meeting Date:** 09/28/2020

**Country:** China

**Meeting Type:** Special

**Ticker:** 002439

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Change in Usage of Raised Funds	For	For
2	Approve Company's Exemption from Fulfilling Commitments Related to Fundraising Projects	For	For
3	Approve Increase the Implementation Subject of Fundraising Projects and Use Partial Raised Funds to Increase Capital	For	For

### ADO Properties S.A.

**Meeting Date:** 09/29/2020

**Country:** Luxembourg

**Meeting Type:** Annual

**Ticker:** ADJ

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	Annual Meeting Agenda		
1	Receive Special Board's Reports (Non-Voting)		
2	Receive Management Board Report on Financial Statements and Statutory Reports (Non-Voting)		
3	Approve Financial Statements	For	For
4	Approve Consolidated Financial Statements	For	For
5	Approve Allocation of Income	For	For
6	Approve Discharge of Directors	For	For
7	Reelect Peter Maser as Director	For	For
8	Reelect Thierry Beaudemoulin as Director	For	For
9	Reelect Maximilian Rienecker as Director	For	For
10	Reelect Arzu Akkemik as Director	For	For
11	Reelect Michael Butter as Director	For	For
12	Elect Thomas Zinnocker as Director	For	For
13	Elect Claus Jorgensen as Director	For	For
14	Elect Thilo Schmid as Director	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### ADO Properties S.A.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
15	Approve Remuneration of Directors	For	For
16	Renew Appointment of KPMG Luxembourg as Auditor	For	For
17	Approve Share Repurchase	For	For
18	Approve Remuneration Policy	For	Against
19	Approve Remuneration Report	For	Against

### ADO Properties S.A.

**Meeting Date:** 09/29/2020      **Country:** Luxembourg  
**Meeting Type:** Special      **Ticker:** ADJ

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	Special Meeting Agenda		
1	Authorize Issuance of Equity or Equity-Linked Securities without Preemptive Rights and Amend Article 5 Accordingly	For	Against
2	Change Company Name to ADLER Group S.A. and Amend Article 1 Accordingly	For	For
3	Approve Full Restatement of the Articles of Incorporation	For	Against

### alstria office REIT-AG

**Meeting Date:** 09/29/2020      **Country:** Germany  
**Meeting Type:** Annual      **Ticker:** AOX

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Receive Financial Statements and Statutory Reports for Fiscal 2019 (Non-Voting)		
2	Approve Allocation of Income and Dividends of EUR 0.52 per Share	For	For



## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### alstria office REIT-AG

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
3	Approve Increase in the Dividend by Partially Changing the Profit Carried Forward in Accordance with Agenda Item 2 or if Rejected, Approve Investment in Green Projects	For	For
4	Approve Discharge of Management Board for Fiscal 2019	For	For
5	Approve Discharge of Supervisory Board for Fiscal 2019	For	For
6	Ratify KPMG AG as Auditors for Fiscal 2020	For	For
7.1	Elect Johannes Conradi to the Supervisory Board	For	For
7.2	Elect Marianne Voigt to the Supervisory Board	For	For
8.1	Approve Creation of EUR 35.5 Million Pool of Capital with Partial Exclusion of Preemptive Rights	For	For
8.2	Exclude Preemptive Rights up to 5 Percent of Share Capital Against Contributions in Cash or Kind for the Capital Pool Proposed under Item 8.1	For	For
8.3	Exclude Preemptive Rights up to a Further 5 Percent of Share Capital Against Contributions in Cash or Kind for the Capital Pool Proposed under Item 8.1	For	For
9	Approve Creation of EUR 260,000 Pool of Conditional Capital without Preemptive Rights	For	For
10	Approve Remuneration of Supervisory Board	For	For
11	Approve Issuance of Warrants/Bonds with Warrants Attached/Convertible Bonds with Partial Exclusion of Preemptive Rights up to Aggregate Nominal Amount of EUR 419 Million; Approve Creation of EUR 16.8 Million Pool of Capital to Guarantee Conversion Rights	For	For
12	Approve Issuance of Convertible Profit-Sharing Certificates without Preemptive Rights up to an Aggregate Nominal Amount of EUR 1 Million to Employees of the Company; Approve Creation of EUR 1 Million Pool of Capital to Guarantee Conversion Rights	For	For
13	Authorize Share Repurchase Program and Reissuance or Cancellation of Repurchased Shares	For	For
14	Amend Articles Re: Proof of Entitlement and General Meeting Participation	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Asahi Intecc Co., Ltd.

**Meeting Date:** 09/29/2020

**Country:** Japan

**Meeting Type:** Annual

**Ticker:** 7747

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 8.81	For	For
2.1	Elect Director Miyata, Masahiko	For	For
2.2	Elect Director Miyata, Kenji	For	For
2.3	Elect Director Kato, Tadakazu	For	For
2.4	Elect Director Terai, Yoshinori	For	For
2.5	Elect Director Matsumoto, Munechika	For	For
2.6	Elect Director Ito, Mizuho	For	For
2.7	Elect Director Nishiuchi, Makoto	For	For
2.8	Elect Director Ito, Kiyomichi	For	For
2.9	Elect Director Shibazaki, Akinori	For	For
2.10	Elect Director Sato, Masami	For	For
3.1	Elect Director and Audit Committee Member Ota, Hiroshi	For	For
3.2	Elect Director and Audit Committee Member Tomida, Ryuji	For	For
3.3	Elect Director and Audit Committee Member Hanano, Yasunari	For	For
4	Elect Alternate Director and Audit Committee Member Fukaya, Ryoko	For	For

### Bohai Leasing Co., Ltd.

**Meeting Date:** 09/29/2020

**Country:** China

**Meeting Type:** Special

**Ticker:** 000415

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Provision for Asset Impairment	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Capitaland Commercial Trust

**Meeting Date:** 09/29/2020      **Country:** Singapore  
**Meeting Type:** Special      **Ticker:** C61U

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Trust Deed Amendments	For	For

### Capitaland Commercial Trust

**Meeting Date:** 09/29/2020      **Country:** Singapore  
**Meeting Type:** Court      **Ticker:** C61U

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	Court-Ordered Meeting		
1	Approve Proposed Trust Scheme	For	For

### Capitaland Mall Trust

**Meeting Date:** 09/29/2020      **Country:** Singapore  
**Meeting Type:** Special      **Ticker:** C38U

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Trust Deed Amendments	For	For
2	Approve Proposed Merger of Capitaland Mall Trust and Capitaland Commercial Trust	For	For
3	Approve Issuance of Consideration Units of Capitaland Mall Trust to the Holders of Units in Capitaland Commercial Trust	For	For

### First Capital Real Estate Investment Trust

**Meeting Date:** 09/29/2020      **Country:** Canada  
**Meeting Type:** Annual/Special      **Ticker:** FCR.UN

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### First Capital Real Estate Investment Trust

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1.1	Elect Trustee Bernard McDonell	For	For
1.2	Elect Trustee Adam E. Paul	For	For
1.3	Elect Trustee Leonard Abramsky	For	For
1.4	Elect Trustee Paul C. Douglas	For	For
1.5	Elect Trustee Jon N. Hagan	For	For
1.6	Elect Trustee Annalisa King	For	For
1.7	Elect Trustee Aladin (Al) W. Mawani	For	For
1.8	Elect Trustee Dori J. Segal	For	For
1.9	Elect Trustee Andrea Stephen	For	For
2	Approve Ernst & Young LLP as Auditors and Authorize the Trustees to Fix Their Remuneration	For	For
3	Advisory Vote on Executive Compensation Approach	For	For
4	Approve Unitholder Rights Plan	For	For

### Glenmark Pharmaceuticals Limited

Meeting Date: 09/29/2020

Country: India

Meeting Type: Annual

Ticker: 532296

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Accept Standalone Financial Statements and Statutory Reports	For	For
2	Accept Consolidated Financial Statements and Statutory Reports	For	For
3	Approve Dividend	For	For
4	Reelect V S Mani as Director	For	For
5	Approve Suresh Surana & Associates LLP, Chartered Accountants as Auditors and Authorize Board to Fix Their Remuneration	For	For
6	Elect Rajesh Desai as Director	For	For
7	Elect Dipankar Bhattacharjee as Director	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Glenmark Pharmaceuticals Limited

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
8	Approve Remuneration of Cost Auditors	For	For
9	Approve Increase in Borrowing Limits	For	For
10	Approve Pledging of Assets for Debt	For	For
11	Approve Issuance of Debt Securities	For	For

### HCL Technologies Limited

**Meeting Date:** 09/29/2020      **Country:** India  
**Meeting Type:** Annual      **Ticker:** 532281

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	For	For
2	Approve Final Dividend	For	For
3	Reelect Roshni Nadar Malhotra as Director	For	For
4	Elect Mohan Chellappa as Director	For	For
5	Elect Simon John England as Director	For	For
6	Elect Shikhar Neelkamal Malhotra as Director	For	For
7	Reelect Thomas Sieber as Director	For	For

### Lens Technology Co., Ltd.

**Meeting Date:** 09/29/2020      **Country:** China  
**Meeting Type:** Special      **Ticker:** 300433

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Provision of Guarantee	For	For

### Meituan Dianping

**Meeting Date:** 09/29/2020      **Country:** Cayman Islands  
**Meeting Type:** Special      **Ticker:** 3690

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Meituan Dianping

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1a	Approve Change of English Name and Adopt Chinese Name as Dual Foreign Name of the Company	For	For
1b	Authorize Board to Deal with All Matters in Relation to the Change of English Name and Adoption of Chinese Name as Dual Foreign Name of the Company	For	For

### Muyuan Foods Co., Ltd.

Meeting Date: 09/29/2020

Country: China

Meeting Type: Special

Ticker: 002714

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Company's Eligibility for Issuance of Convertible Bonds APPROVE ISSUANCE OF CONVERTIBLE BONDS	For	For
2.1	Approve Issue Type	For	For
2.2	Approve Issue Scale	For	For
2.3	Approve Par Value and Issue Price	For	For
2.4	Approve Bond Period	For	For
2.5	Approve Interest Rate	For	For
2.6	Approve Repayment Period and Manner	For	For
2.7	Approve Guarantee Matters	For	For
2.8	Approve Conversion Period	For	For
2.9	Approve Determination and Adjustment of Conversion Price	For	For
2.10	Approve Terms for Downward Adjustment of Conversion Price	For	For
2.11	Approve Determination of Number of Conversion Shares	For	For
2.12	Approve Terms of Redemption	For	For
2.13	Approve Terms of Sell-Back	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Muyuan Foods Co., Ltd.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
2.14	Approve Dividend Distribution Post Conversion	For	For
2.15	Approve Issue Manner and Target Parties	For	For
2.16	Approve Placing Arrangement for Shareholders	For	For
2.17	Approve Matters Relating to Meetings of Bond Holders	For	For
2.18	Approve Usage of Raised Funds	For	For
2.19	Approve Raised Funds Management	For	For
2.20	Approve Resolution Validity Period	For	For
3	Approve Plan on Convertible Bond Issuance	For	For
4	Approve Feasibility Analysis Report on the Use of Proceeds	For	For
5	Approve Report on the Usage of Previously Raised Funds	For	For
6	Approve Impact of Dilution of Current Returns on Major Financial Indicators and the Relevant Measures to be Taken	For	For
7	Approve Principles of Bondholders Meeting	For	For
8	Approve Shareholder Return Plan	For	For
9	Approve Special Deposit Account for Raised Funds	For	For
10	Approve Authorization of Board to Handle All Related Matters	For	For
11	Approve Repurchase and Cancellation of Performance Shares	For	For
12	Approve Amendments to Articles of Association	For	For

### Newland Digital Technology Co., Ltd.

Meeting Date: 09/29/2020

Country: China

Meeting Type: Special

Ticker: 000997

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Repurchase and Cancellation of Performance Shares	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Newland Digital Technology Co., Ltd.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
2	Approve Change in Registered Capital and Amend Articles of Association	For	For
3	Approve Repurchase and Cancellation of Performance Shares (Continued)	For	For
4	Approve Change in Registered Capital and Amend Articles of Association (Continued)	For	For
5	Approve Change in Raised Funds Project Implementation Manner	For	For

### NHPC Limited

Meeting Date: 09/29/2020

Country: India

Meeting Type: Annual

Ticker: 533098

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	For	For
2	Confirm Interim Dividend and Declare Final Dividend	For	For
3	Reelect Nikhil Kumar Jain as Director	For	Against
4	Reelect Mahesh Kumar Mittal as Director	For	Against
5	Authorize Board to Fix Remuneration of Auditors	For	For
6	Elect Abhay Kumar Singh as Director and Approve Appointment of Abhay Kumar Singh as Chairman and Managing Director	For	For
7	Elect Yamuna Kumar Chaubey as Director (Technical)	For	Against

### OMV AG

Meeting Date: 09/29/2020

Country: Austria

Meeting Type: Annual

Ticker: OMV

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Receive Financial Statements and Statutory Reports for Fiscal 2019 (Non-Voting)		



## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### OMV AG

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
2	Approve Allocation of Income and Dividends of EUR 1.75 per Share	For	For
3	Approve Discharge of Management Board for Fiscal 2019	For	For
4	Approve Discharge of Supervisory Board for Fiscal 2019	For	For
5	Approve Remuneration of Supervisory Board Members	For	For
6	Ratify Ernst & Young as Auditors for Fiscal 2020	For	For
7	Approve Remuneration Policy	For	For
8.1	Approve Long Term Incentive Plan 2020 for Key Employees	For	For
8.2	Approve Equity Deferral Plan	For	For
9.1	Elect Gertrude Tumpel-Gugerell as Supervisory Board Member	For	For
9.2	Elect Wolfgang Berndt as Supervisory Board Member (In a Letter from Sept. 17, 2020, Mr. Berndt has Declared that He Will Not Stand for Election)	For	For
	Shareholder Proposal Submitted by Oesterreichische Beteiligungs AG		
9.3	Elect Mark Garrett as Supervisory Board Member	None	For
	Management Proposal		
10	Approve Creation of EUR 32.7 Million Pool of Capital without Preemptive Rights to Guarantee Conversion Rights for Share Plans	For	For

### Pan Pacific International Holdings Corp.

Meeting Date: 09/29/2020

Country: Japan

Meeting Type: Annual

Ticker: 7532

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 12	For	For
2.1	Elect Director Yoshida, Naoki	For	Abstain
2.2	Elect Director Shintani, Seiji	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Pan Pacific International Holdings Corp.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
2.3	Elect Director Matsumoto, Kazuhiro	For	For
2.4	Elect Director Sekiguchi, Kenji	For	For
2.5	Elect Director Nishii, Takeshi	For	For
2.6	Elect Director Sakakibara, Ken	For	For
2.7	Elect Director Moriya, Hideki	For	For
2.8	Elect Director Maruyama, Tetsuji	For	For
2.9	Elect Director Ishii, Yuji	For	For
2.10	Elect Director Kubo, Isao	For	For
2.11	Elect Director Yasuda, Takao	For	For
3.1	Elect Director and Audit Committee Member Ariga, Akio	For	For
3.2	Elect Director and Audit Committee Member Inoue, Yukihiko	For	For
3.3	Elect Director and Audit Committee Member Yoshimura, Yasunori	For	For
3.4	Elect Director and Audit Committee Member Fukuda, Tomiaki	For	For

### QL Resources Berhad

Meeting Date: 09/29/2020

Country: Malaysia

Meeting Type: Annual

Ticker: 7084

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Final Dividend	For	For
2	Elect Chia Song Kun as Director	For	For
3	Elect Chia Song Kooi as Director	For	For
4	Elect Kow Poh Gek as Director	For	For
5	Elect Low Teng Lum as Director	For	For
6	Elect Wee Beng Chuan as Director	For	For
7	Approve Directors' Fees	For	For
8	Approve Directors' Benefits	For	For
9	Approve Additional Directors' Fees	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### QL Resources Berhad

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
10	Approve KPMG PLT as Auditors and Authorize Board to Fix Their Remuneration	For	For
11	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	For	For
12	Approve Bonus Issue of New Ordinary Shares	For	For
13	Authorize Share Repurchase Program	For	For
14	Approve Implementation of Shareholders' Mandate for Recurrent Related Party Transactions	For	For

### Shenzhen Expressway Company Limited

Meeting Date: 09/29/2020

Country: China

Meeting Type: Special

Ticker: 548

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES		
1	Approve Absorption and Merger of Certain Wholly-Owned Subsidiaries	For	For
2	Elect Chen Zhi Sheng as Director	For	For

### Sociedad Quimica y Minera de Chile SA

Meeting Date: 09/29/2020

Country: Chile

Meeting Type: Special

Ticker: SQM.B

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Interim Dividends of USD 0.38 per Share	For	For

### Zhejiang Wolvo Bio-Pharmaceutical Co., Ltd.

Meeting Date: 09/29/2020

Country: China

Meeting Type: Special

Ticker: 300357

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Zhejiang Wolwo Bio-Pharmaceutical Co., Ltd.

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Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve to Appoint Auditor	For	For
2	Approve Amendments to Articles of Association	For	For
3	Amend Rules and Procedures Regarding General Meetings of Shareholders	For	For
4	Amend Rules and Procedures Regarding Meetings of Board of Directors	For	For
5	Amend Rules and Procedures Regarding Meetings of Board of Supervisors	For	For
6	Amend Working System for Independent Directors	For	For
7	Amend Related-Party Transaction Management System	For	For
8	Amend Management System for Providing External Guarantees	For	For
9	Amend Decision Making System for Providing External Investments	For	For
10	Amend Information Disclosure Management System	For	For

### AECC Aero-engine Control Co., Ltd.

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Meeting Date: 09/30/2020

Country: China

Meeting Type: Special

Ticker: 000738

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Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Related Party Transaction in Connection to Capital Injection Agreement	For	For
2	Approve Signing of Conditional Capital Injection Agreement	For	For
3	Approve Authorization of the Board and Its Authorized Persons to Handle All Related Matters	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Alibaba Group Holding Limited

**Meeting Date:** 09/30/2020

**Country:** Cayman Islands

**Meeting Type:** Annual

**Ticker:** 9988

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Amend Articles of Association	For	For
2.1	Elect Maggie Wei Wu as Director	For	Against
2.2	Elect Kabir Misra as Director	For	Against
2.3	Elect Walter Teh Ming Kwauk as Director	For	For
3	Ratify PricewaterhouseCoopers as Auditors	For	For

### Alibaba Group Holding Limited

**Meeting Date:** 09/30/2020

**Country:** Cayman Islands

**Meeting Type:** Annual

**Ticker:** 9988

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	Meeting for ADR Holders		
1	Amend Articles of Association	For	Do Not Vote
2.1	Elect Maggie Wei Wu as Director	For	Do Not Vote
2.2	Elect Kabir Misra as Director	For	Do Not Vote
2.3	Elect Walter Teh Ming Kwauk as Director	For	Do Not Vote
3	Ratify PricewaterhouseCoopers as Auditors	For	Do Not Vote

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	Meeting for ADR Holders		
1	Amend Articles of Association	For	For
2.1	Elect Maggie Wei Wu as Director	For	Against

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Alibaba Group Holding Limited

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
2.2	Elect Kabir Misra as Director	For	Against
2.3	Elect Walter Teh Ming Kwauk as Director	For	For
3	Ratify PricewaterhouseCoopers as Auditors	For	For

### ASX Limited

**Meeting Date:** 09/30/2020      **Country:** Australia  
**Meeting Type:** Annual      **Ticker:** ASX

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
3a	Elect Damian Roche as Director	For	For
3b	Elect Rob Woods as Director	For	For
4	Approve Remuneration Report	For	For
5	Approve Grant of Performance Rights to Dominic Stevens	For	For

### Bank of Hangzhou Co., Ltd.

**Meeting Date:** 09/30/2020      **Country:** China  
**Meeting Type:** Special      **Ticker:** 600926

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Company's Eligibility for Issuance of Convertible Bonds APPROVE ISSUANCE OF CONVERTIBLE BONDS	For	For
2.1	Approve Issue Type	For	For
2.2	Approve Issue Scale	For	For
2.3	Approve Par Value and Issue Price	For	For
2.4	Approve Bond Period	For	For
2.5	Approve Interest Rate	For	For
2.6	Approve Repayment Period and Manner	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Bank of Hangzhou Co., Ltd.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
2.7	Approve Conversion Period	For	For
2.8	Approve Determination and Adjustment of Conversion Price	For	For
2.9	Approve Terms for Downward Adjustment of Conversion Price	For	For
2.10	Approve Determination of Number of Conversion Shares	For	For
2.11	Approve Dividend Distribution Post Conversion	For	For
2.12	Approve Terms of Redemption	For	For
2.13	Approve Terms of Sell-Back	For	For
2.14	Approve Issue Manner and Target Parties	For	For
2.15	Approve Placing Arrangement for Shareholders	For	For
2.16	Approve Matters Relating to Meetings of Bond Holders	For	For
2.17	Approve Usage of Raised Funds	For	For
2.18	Approve Guarantee Matters	For	For
2.19	Approve Resolution Validity Period	For	For
3	Approve Feasibility Analysis Report on the Use of Proceeds	For	For
4	Approve Report on the Usage of Previously Raised Funds	For	For
5	Approve Impact of Dilution of Current Returns on Major Financial Indicators and the Relevant Measures to be Taken	For	For
6	Approve Authorization of Board to Handle All Related Matters	For	For
7	Approve Issuance of Financial Bonds and Special Authorization Within Limit	For	For
8	Amend Rules and Procedures Regarding Meetings of Board of Directors	For	For
9	Amend Rules and Procedures Regarding Meetings of Board of Supervisors	For	For
10	Elect Li Changqing as Independent Director	For	For

### Bharat Electronics Limited

Meeting Date: 09/30/2020

Country: India

Meeting Type: Annual

Ticker: 500049

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Bharat Electronics Limited

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	For	For
2	Confirm Interim Dividend and Declare Final Dividend	For	For
3	Reelect Anandi Ramalingam as Director	For	Against
4	Elect Shikha Gupta as Director	For	Against
5	Elect Dinesh Kumar Batra as Director	For	Against
6	Elect M V Rajasekhar as Director	For	Against
7	Approve Remuneration of Cost Auditors	For	For

### Chongqing Changan Automobile Co. Ltd.

Meeting Date: 09/30/2020

Country: China

Meeting Type: Special

Ticker: 200625

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF A SHARES		
1	Approve Signing of Financial Service Agreement with Bingqi Equipment Group Finance Co., Ltd.	For	Against
2	Approve Signing of Financial Service Agreement with Changan Auto Finance Co., Ltd.	For	Against

### Colruyt SA

Meeting Date: 09/30/2020

Country: Belgium

Meeting Type: Annual

Ticker: COLR

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	Annual Meeting Agenda		



## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Colruyt SA

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Receive and Approve Directors' and Auditors' Reports, and Report of the Works Council	For	For
2	Approve Remuneration Report	For	Against
3a	Adopt Financial Statements	For	For
3b	Accept Consolidated Financial Statements	For	For
4	Approve Dividends of EUR 1.35 Per Share	For	For
5	Approve Allocation of Income	For	For
6	Reelect Korys NV, Permanently Represented by Dries Colpaert, as Director	For	For
7	Approve Discharge of Directors	For	For
8	Approve Discharge of Auditors	For	For
9	Transact Other Business		

### Jinke Property Group Co., Ltd.

Meeting Date: 09/30/2020

Country: China

Meeting Type: Special

Ticker: 000656

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Additional Guarantee Provision Plan	For	For
2	Approve Transfer of the Surplus Funds of the Controlled Subsidiary According to the Equity Ratio	For	For

### L'Occitane International S.A.

Meeting Date: 09/30/2020

Country: Luxembourg

Meeting Type: Annual

Ticker: 973

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	For	For
2	Approve Final Dividend	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### L'Occitane International S.A.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
3.1	Elect Thomas Levilion as Director	For	For
3.2	Elect Charles Mark Broadley as Director	For	Against
3.3	Elect Jackson Chik Sum Ng as Director	For	For
4	Elect Séan Harrington as Director	For	For
5A	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	For	Against
5B	Authorize Repurchase of Issued Share Capital	For	For
5C	Authorize Reissuance of Repurchased Shares	For	Against
6	Approve PricewaterhouseCoopers as Statutory Auditor	For	For
7	Approve PricewaterhouseCoopers as External Auditor	For	For
8	Approve Share Option Plan 2020 and Related Transactions	For	Against
9	Authorize Board to Fix Remuneration of Directors	For	For
10	Approve Discharge of Directors	For	For
11	Approve Discharge of Statutory Auditor	For	For
12	Approve PricewaterhouseCoopers' Remuneration as Statutory Auditor	For	For

### LSR Group PJSC

**Meeting Date:** 09/30/2020      **Country:** Russia  
**Meeting Type:** Special      **Ticker:** LSRG

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Interim Dividends of RUB 20 per Share for First Six Months of Fiscal 2020	For	For

### LSR Group PJSC

**Meeting Date:** 09/30/2020      **Country:** Russia  
**Meeting Type:** Special      **Ticker:** LSRG

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### LSR Group PJSC

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Meeting for GDR Holders Approve Interim Dividends of RUB 20 per Share for First Six Months of Fiscal 2020	For	For

### MakeMyTrip Limited

**Meeting Date:** 09/30/2020      **Country:** Mauritius  
**Meeting Type:** Annual      **Ticker:** MMYT

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve KPMG as Auditors and Authorize Board to Fix Their Remuneration	For	For
2	Accept Financial Statements and Statutory Reports	For	For
3	Reelect Director Deep Kalra	For	Against
4	Reelect Director Rajesh Magow	For	Against
5	Reelect Director James Jianzhang Liang	For	For
6	Reelect Director Hyder Aboobakar	For	Against

### Mobile TeleSystems PJSC

**Meeting Date:** 09/30/2020      **Country:** Russia  
**Meeting Type:** Special      **Ticker:** MTSS

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Interim Dividends of RUB 8.93 per Share for First Six Months of Fiscal 2020	For	For
2	Approve Company's Membership in Open Network Technologies	For	For
3	Approve New Edition of Regulations on Board of Directors	For	For
4	Approve New Edition of Regulations on Management	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Mobile TeleSystems PJSC

**Meeting Date:** 09/30/2020

**Country:** Russia

**Meeting Type:** Special

**Ticker:** MTSS

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	Meeting for ADR Holders		
1	Approve Interim Dividends of RUB 8.93 per Share for First Six Months of Fiscal 2020	For	For
2	Approve Company's Membership in Open Network Technologies	For	For
3	Approve New Edition of Regulations on Board of Directors	For	For
4	Approve New Edition of Regulations on Management	For	For

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	Meeting for ADR Holders		
1	Approve Interim Dividends of RUB 8.93 per Share for First Six Months of Fiscal 2020	For	For
2	Approve Company's Membership in Open Network Technologies	For	For
3	Approve New Edition of Regulations on Board of Directors	For	For
4	Approve New Edition of Regulations on Management	For	For

### NOVATEK JSC

**Meeting Date:** 09/30/2020

**Country:** Russia

**Meeting Type:** Special

**Ticker:** NVTK

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Interim Dividends of RUB 11.82 per Share for First Six Months of Fiscal 2020	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### NOVATEK JSC

**Meeting Date:** 09/30/2020

**Country:** Russia

**Meeting Type:** Special

**Ticker:** NVTK

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	Meeting for GDR Holders		
1	Approve Interim Dividends of RUB 11.82 per Share for First Six Months of Fiscal 2020	For	For

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	Meeting for GDR Holders		
1	Approve Interim Dividends of RUB 11.82 per Share for First Six Months of Fiscal 2020	For	For

### PhosAgro PJSC

**Meeting Date:** 09/30/2020

**Country:** Russia

**Meeting Type:** Special

**Ticker:** PHOR

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	Meeting for GDR Holders		
1	Approve Interim Dividends for First Six Months of Fiscal 2020	For	For

### Polyus PJSC

**Meeting Date:** 09/30/2020

**Country:** Russia

**Meeting Type:** Special

**Ticker:** PLZL

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Interim Dividends for First Six Months of Fiscal 2020	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Polyus PJSC

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Interim Dividends for First Six Months of Fiscal 2020	For	For

### Tatneft PJSC

**Meeting Date:** 09/30/2020      **Country:** Russia  
**Meeting Type:** Special      **Ticker:** TATN

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Interim Dividends for First Six Months of Fiscal 2020	For	For

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Interim Dividends for First Six Months of Fiscal 2020	For	For

### Tatneft PJSC

**Meeting Date:** 09/30/2020      **Country:** Russia  
**Meeting Type:** Special      **Ticker:** TATN

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	Meeting for ADR/GDR Holders		
1	Approve Interim Dividends for First Six Months of Fiscal 2020	For	For

### Vedanta Limited

**Meeting Date:** 09/30/2020      **Country:** India  
**Meeting Type:** Annual      **Ticker:** 500295

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Vedanta Limited

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Accept Standalone Financial Statements and Statutory Reports	For	For
2	Accept Consolidated Financial Statements and Statutory Reports	For	For
3	Confirm First Interim Dividend	For	For
4	Reelect GR Arun Kumar as Director	For	For
5	Elect Anil Kumar Agarwal as Director	For	For
6	Reelect Priya Agarwal as Director	For	For
7	Approve Reappointment and Remuneration of GR Arun Kumar as Whole-Time Director Designated as Chief Financial Officer (CFO)	For	For
8	Approve Payment of Remuneration to Srinivasan Venkatakrisnan as Whole-Time Director Designated as Chief Executive Officer (CEO)	For	Against
9	Approve Remuneration of Cost Auditors	For	For

### Volkswagen AG

Meeting Date: 09/30/2020

Country: Germany

Meeting Type: Annual

Ticker: VOW3

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Receive Financial Statements and Statutory Reports for Fiscal 2019 (Non-Voting)		
2	Approve Allocation of Income and Dividends of EUR 4.80 per Ordinary Share and EUR 4.86 per Preferred Share	For	For
3.1	Approve Discharge of Management Board Member H. Diess for Fiscal 2019	For	Against
3.2	Approve Discharge of Management Board Member O. Blume for Fiscal 2019	For	Against
3.3	Approve Discharge of Management Board Member J. Heizmann (until Oct. 1, 2019) for Fiscal 2019	For	Against
3.4	Approve Discharge of Management Board Member G. Kilian for Fiscal 2019	For	Against

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

## Volkswagen AG

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
3.5	Approve Discharge of Management Board Member A. Renschler for Fiscal 2019	For	Against
3.6	Approve Discharge of Management Board Member A. Schot for Fiscal 2019	For	Against
3.7	Approve Discharge of Management Board Member S. Sommer for Fiscal 2019	For	Against
3.8	Approve Discharge of Management Board Member H. D. Werner for Fiscal 2019	For	Against
3.9	Approve Discharge of Management Board Member F. Witter for Fiscal 2019	For	Against
4.1	Approve Discharge of Supervisory Board Member H.D. Poetsch for Fiscal 2019	For	Against
4.2	Approve Discharge of Supervisory Board Member J. Hofmann for Fiscal 2019	For	Against
4.3	Approve Discharge of Supervisory Board Member H.A. Al Abdulla for Fiscal 2019	For	Against
4.4	Approve Discharge of Supervisory Board Member H. S. Al Jaber for Fiscal 2019	For	Against
4.5	Approve Discharge of Supervisory Board Member B. Althusmann for Fiscal 2019	For	Against
4.6	Approve Discharge of Supervisory Board Member B. Dietze (until May 31, 2019) for Fiscal 2019	For	Against
4.7	Approve Discharge of Supervisory Board Member H.-P. Fischer for Fiscal 2019	For	Against
4.8	Approve Discharge of Supervisory Board Member M. Heiss for Fiscal 2019	For	Against
4.9	Approve Discharge of Supervisory Board Member U. Hueck (until Feb. 8, 2019) for Fiscal 2019	For	Against
4.10	Approve Discharge of Supervisory Board Member J. Jaervklo for Fiscal 2019	For	Against
4.11	Approve Discharge of Supervisory Board Member U. Jakob for Fiscal 2019	For	Against
4.12	Approve Discharge of Supervisory Board Member L. Kiesling for Fiscal 2019	For	Against
4.13	Approve Discharge of Supervisory Board Member P. Mosch for Fiscal 2019	For	Against
4.14	Approve Discharge of Supervisory Board Member B. Murkovic for Fiscal 2019	For	Against
4.15	Approve Discharge of Supervisory Board Member B. Osterloh for Fiscal 2019	For	Against
4.16	Approve Discharge of Supervisory Board Member H.M. Piech for Fiscal 2019	For	Against



## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Volkswagen AG

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
4.17	Approve Discharge of Supervisory Board Member F.O. Porsche for Fiscal 2019	For	Against
4.18	Approve Discharge of Supervisory Board Member W. Porsche for Fiscal 2019	For	Against
4.19	Approve Discharge of Supervisory Board Member C. Schoenhardt (from June 21, 2019)for Fiscal 2019	For	Against
4.20	Approve Discharge of Supervisory Board Member A. Stimoniaris for Fiscal 2019	For	Against
4.21	Approve Discharge of Supervisory Board Member S. Weil for Fiscal 2019	For	Against
4.22	Approve Discharge of Supervisory Board Member W. Weresch (from Feb. 21, 2019) for Fiscal 2019	For	Against
5	Elect Hussain Abdulla to the Supervisory Board	For	Against
6	Amend Articles Re: Proof of Entitlement	For	For
7.1	Ratify Ernst & Young GmbH as Auditors for Fiscal 2020	For	For
7.2	Ratify Ernst & Young GmbH as Auditors for the Consolidated Interim Financial Statements and Interim Management Report until Sep. 30, 2020 and the First Quarter of Fiscal 2021	For	For

### Wallenstam AB

Meeting Date: 09/30/2020

Country: Sweden

Meeting Type: Special

Ticker: WALL.B

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Open Meeting		
2	Elect Chairman of Meeting	For	For
3	Prepare and Approve List of Shareholders	For	For
4	Approve Agenda of Meeting	For	For
5	Designate Inspector(s) of Minutes of Meeting	For	For
6	Acknowledge Proper Convening of Meeting	For	For
7	Approve Dividends of SEK 0.50 Per Share	For	For
8	Close Meeting		

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Wuxi Lead Intelligent Equipment Co., Ltd.

**Meeting Date:** 09/30/2020

**Country:** China

**Meeting Type:** Special

**Ticker:** 300450

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Company's Eligibility for Issuance of Shares to Specific Targets APPROVE ISSUANCE OF SHARES TO SPECIFIC TARGETS	For	For
2.1	Approve Share Type and Par Value	For	For
2.2	Approve Issue Manner and Issue Time	For	For
2.3	Approve Target Subscribers and Subscription Method	For	For
2.4	Approve Issue Price and Pricing Principle	For	For
2.5	Approve Issue Size	For	For
2.6	Approve Lock-up Period	For	For
2.7	Approve Amount and Use of Proceeds	For	For
2.8	Approve Distribution Arrangement of Undistributed Earnings	For	For
2.9	Approve Listing Exchange	For	For
2.10	Approve Resolution Validity Period	For	For
3	Approve Plan for Issuance of Shares to Specific Targets	For	For
4	Approve Demonstration Analysis Report in Connection to Issuance of Shares to Specific Targets	For	For
5	Approve Feasibility Analysis Report on the Use of Proceeds	For	For
6	Approve Related Party Transactions in Connection to Issuance of Shares to Specific Targets	For	For
7	Approve the Company's Introduction of Strategic Investors	For	For
8	Approve Signing of Conditional Strategic Cooperation Agreement	For	For
9	Approve Signing of Conditional Share Subscription Agreement	For	For
10	Approve Impact of Dilution of Current Returns on Major Financial Indicators and the Relevant Measures to be Taken	For	For

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### Wuxi Lead Intelligent Equipment Co., Ltd.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
11	Approve Authorization of Board or Board Authorized Person to Handle All Related Matters	For	For
12	Approve Related Party Transaction in Connection to Abandonment of 1 Joint Patent Right	For	For

### Yanzhou Coal Mining Co., Ltd.

**Meeting Date:** 09/30/2020      **Country:** China  
**Meeting Type:** Special      **Ticker:** 1171

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
EGM BALLOT FOR HOLDERS OF H SHARES			
1	Amend Articles of Association	For	For

### Yanzhou Coal Mining Co., Ltd.

**Meeting Date:** 09/30/2020      **Country:** China  
**Meeting Type:** Special      **Ticker:** 1171

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
EGM BALLOT FOR HOLDERS OF A SHARES			
1	Amend Articles of Association	For	For

### ZTE Corporation

**Meeting Date:** 09/30/2020      **Country:** China  
**Meeting Type:** Special      **Ticker:** 763

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
EGM BALLOT FOR HOLDERS OF A SHARES			

## Vote Summary Report

Date range covered: 09/01/2020 to 09/30/2020

### ZTE Corporation

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1.00	Approve Acquisition of Equity Interests in ZTE Microelectronics by Renxing Technology and the Waiver of Preemptive Subscription Rights by the Company	For	For
2.00	Approve Cooperation Agreement with Hengjian Xinxin and Huitong Rongxin	For	For

### ZTE Corporation

**Meeting Date:** 09/30/2020

**Country:** China

**Meeting Type:** Special

**Ticker:** 763

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES		
1.00	Approve Acquisition of Equity Interests in ZTE Microelectronics by Renxing Technology and the Waiver of Preemptive Subscription Rights by the Company	For	For
2.00	Approve Cooperation Agreement with Hengjian Xinxin and Huitong Rongxin	For	For